

# Open quantum physics: recent topics in non-Hermitian systems and beyond

University of Tokyo  
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## Collaborators:

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Eugene Demler (Harvard), Richard Shmidt (Harvard→MPQ), Leticia Tarruell (ICFO),  
Ignacio Cirac (MPQ), Tao Shi (MPQ→CAS), Mari Carmen Banuls (MPQ)



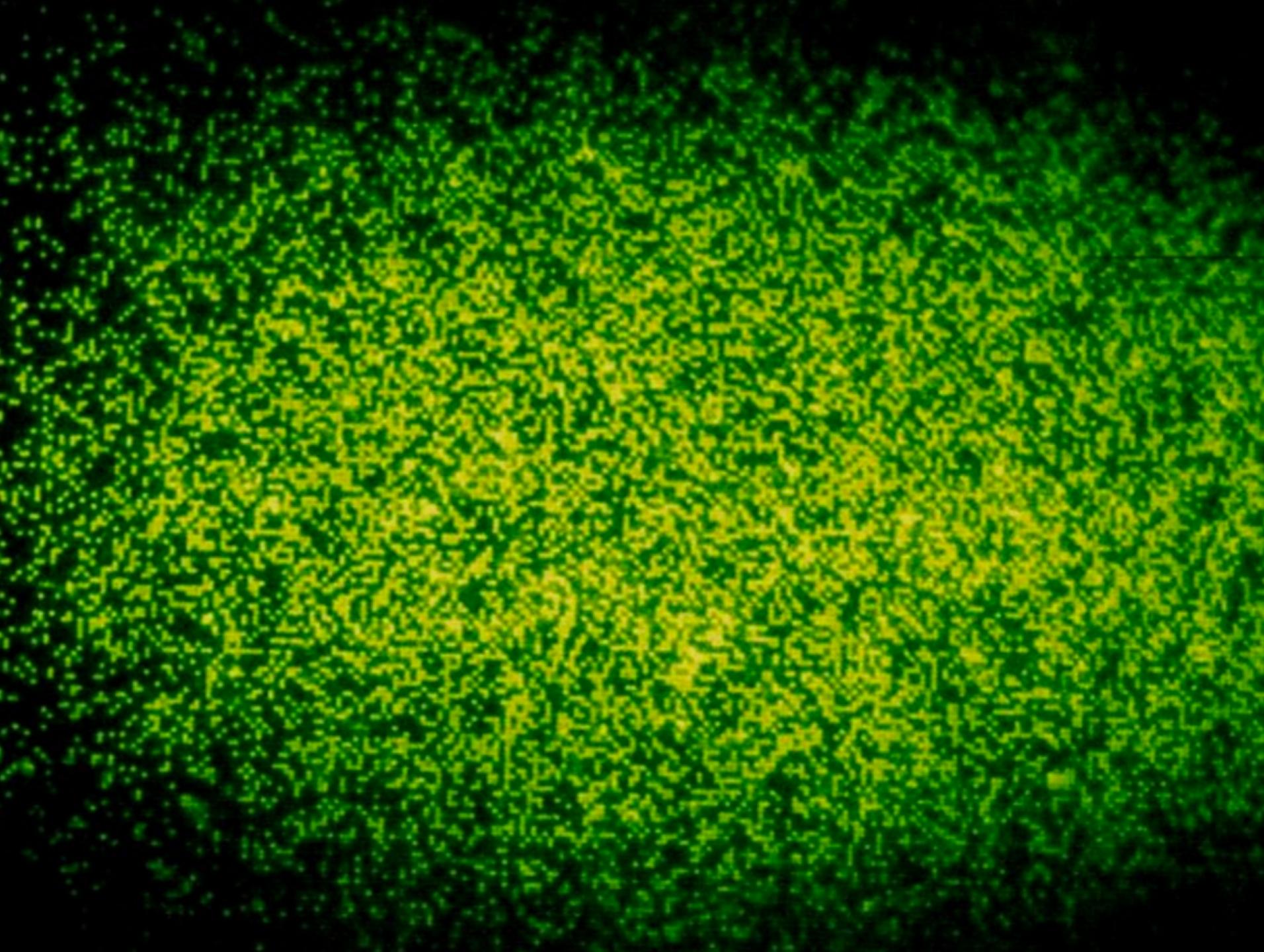
# Microscopic observation of Macroscopic Quantum systems

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Revolutionary techniques to **observe** and **manipulate** many-body systems:

- Observing many-body systems at the single-atom level.





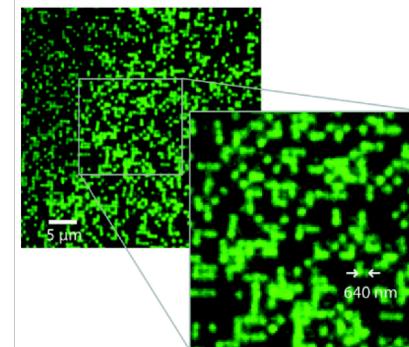
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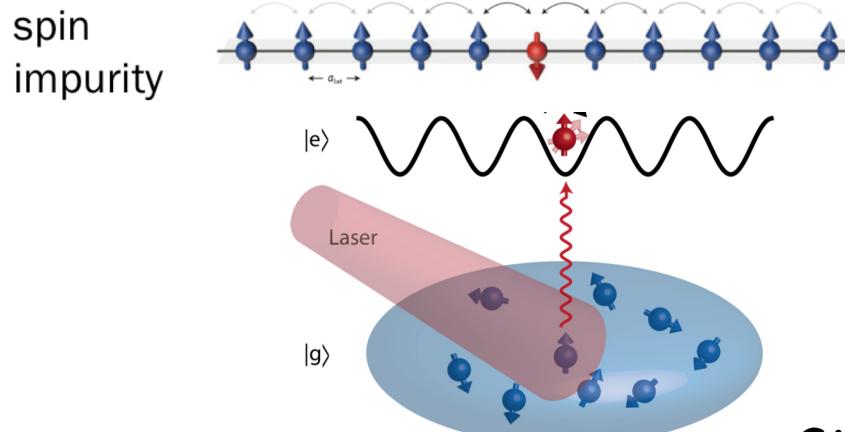


single-shot imaging

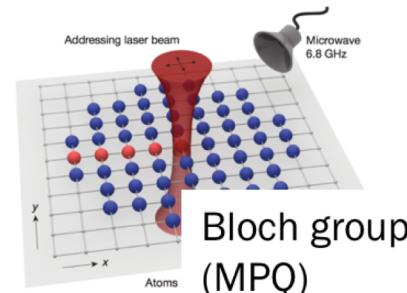


**Measurement backaction on many-body systems?**

- Manipulating **single** quantum particles in many-body systems.



Single-site addressing



**Strongly correlated open systems?**

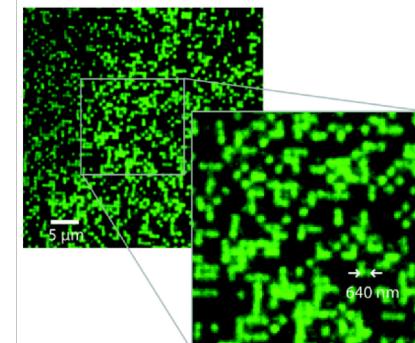
# Microscopic observation of Macroscopic Quantum systems

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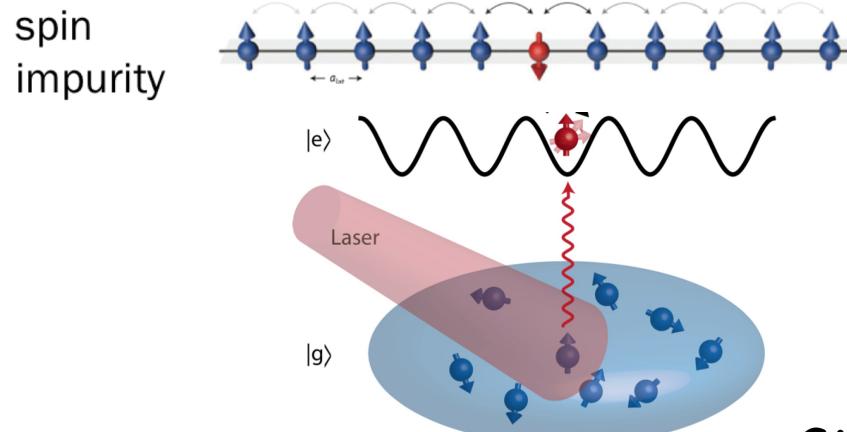
- Observing many-body systems at the **single-atom** level.



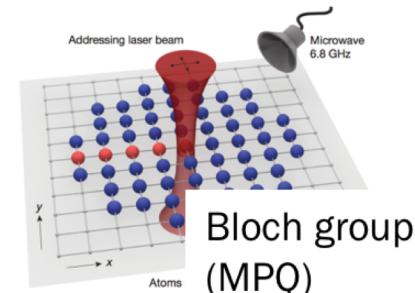
single-shot imaging



New frontier pioneered with **microscopic observation** and **manipulation capabilities of many-body systems?**

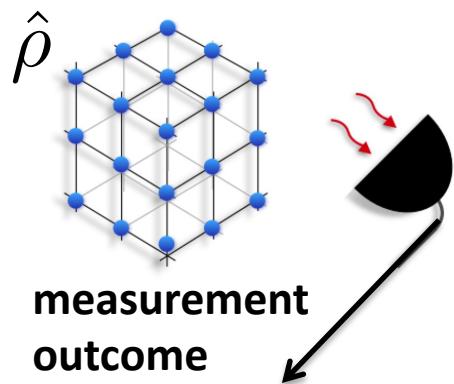


Single-site addressing



Strongly correlated open systems?

# Continuous Monitoring of Quantum Systems: Quantum Trajectory



**Non-unitary** dynamics due to the measurement backaction.

If one does not know measurement outcomes,

→ Lindblad master equation (**dissipative dynamics**)

$$\frac{d\hat{\rho}}{dt} = -i[\hat{H}, \hat{\rho}] - \sum_a \left( \frac{1}{2} \hat{L}_a^\dagger \hat{L}_a \hat{\rho} + \frac{1}{2} \hat{\rho} \hat{L}_a^\dagger \hat{L}_a \right) - \hat{L}_a \hat{\rho} \hat{L}_a^\dagger$$

$\hat{L}_a$  : jump operator

## Continuous observation

If one can **access** the information about measurement outcomes,

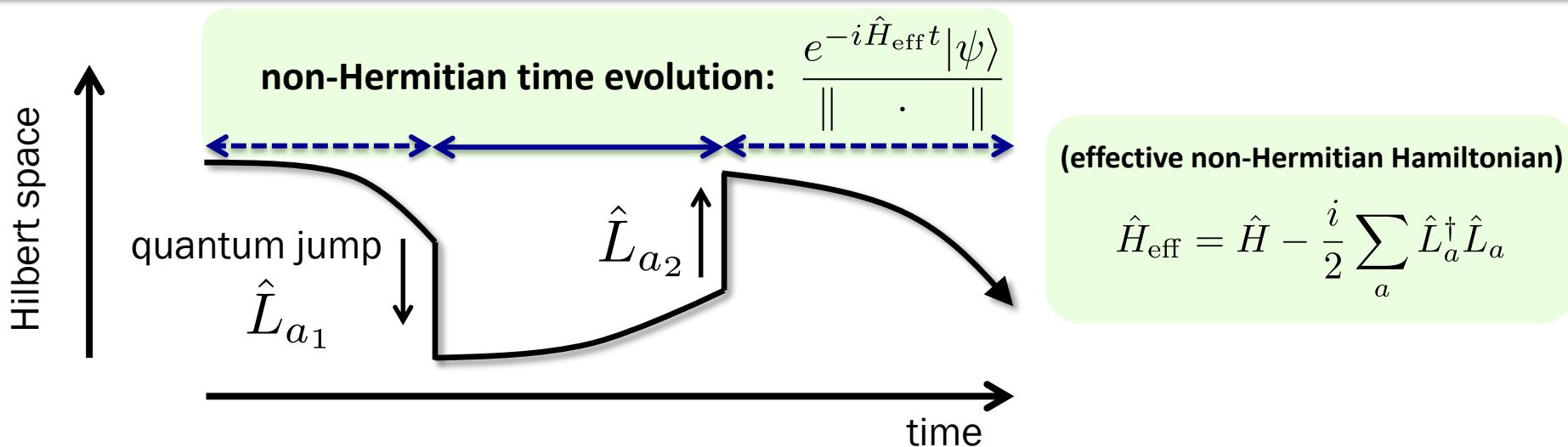
→ **Quantum trajectory dynamics** (keeping the **purity** of the state)

$$d|\psi\rangle = -i\hat{H}|\psi\rangle dt - \underbrace{\sum_a \frac{1}{2} \left( \hat{L}_a^\dagger \hat{L}_a - \langle \hat{L}_a^\dagger \hat{L}_a \rangle \right) |\psi\rangle dt}_{\begin{array}{l} \text{unitary} \\ \text{evolution} \end{array}} + \underbrace{\sum_a \left( \frac{\hat{L}_a |\psi\rangle}{\sqrt{\langle \hat{L}_a^\dagger \hat{L}_a \rangle}} - |\psi\rangle \right) dN_a}_{\begin{array}{l} \text{non-unitary evolution} \\ \text{without quantum jumps} \end{array}}$$

**stochastic quantum jumps**

\*Taking ensemble average  $E$  over  $dN_a$ ,  $\hat{\rho} = E[|\psi\rangle\langle\psi|]$  obeys Lindblad master eq.

# Continuous Monitoring of Quantum Systems: Quantum Trajectory



## Continuous observation

If one can access the information about measurement outcomes,  
 → **Quantum trajectory dynamics** (keeping the **purity** of the state)

$$d|\psi\rangle = \underbrace{-i\hat{H}|\psi\rangle dt}_{\text{unitary evolution}} - \underbrace{\sum_a \frac{1}{2} \left( \hat{L}_a^\dagger \hat{L}_a - \langle \hat{L}_a^\dagger \hat{L}_a \rangle \right) |\psi\rangle dt}_{\text{(norm preservation)}} + \underbrace{\sum_a \left( \frac{\hat{L}_a |\psi\rangle}{\sqrt{\langle \hat{L}_a^\dagger \hat{L}_a \rangle}} - |\psi\rangle \right) dN_a}_{\text{stochastic quantum jumps}}$$

\*Taking ensemble average  $E$  over  $dN_a$ ,  $\hat{\rho} = E[|\psi\rangle\langle\psi|]$  obeys Lindblad master eq.

# Continuous Observation: the ability to access quantum jumps

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What does differentiate **continuous observation** from dissipation?

**The ability to access the information about quantum jumps.**

examples of continuously monitored dynamics:

- complete time record of all quantum jumps

$$\hat{\rho}_{\text{traj}}(t) = |\psi_{\text{traj}}(t)\rangle\langle\psi_{\text{traj}}(t)|$$

- coarse-grained information: the number of quantum jumps

**post-measurement state**

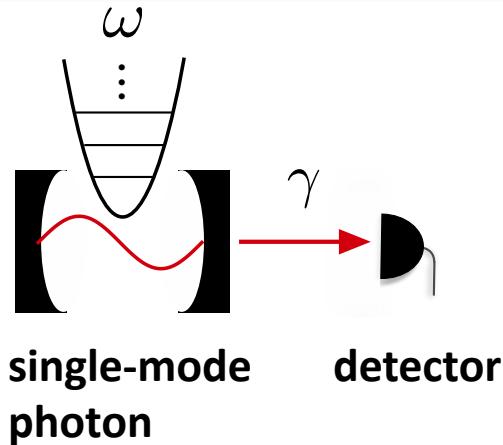
$$\hat{\rho}_{\text{post}}(t) = \sum_{i \in \mathcal{D}} |\psi_{\text{traj},i}(t)\rangle\langle\psi_{\text{traj},i}(t)|$$

$\mathcal{D}$  : subspace of quantum trajectories  
determined by a number of jumps

- no-count process: **non-Hermitian** dynamics

Such continuously observed dynamics is different from  
the dissipative Lindblad dynamics (where the system is easily driven  
into, e.g., an infinite temperature state or the vacuum).

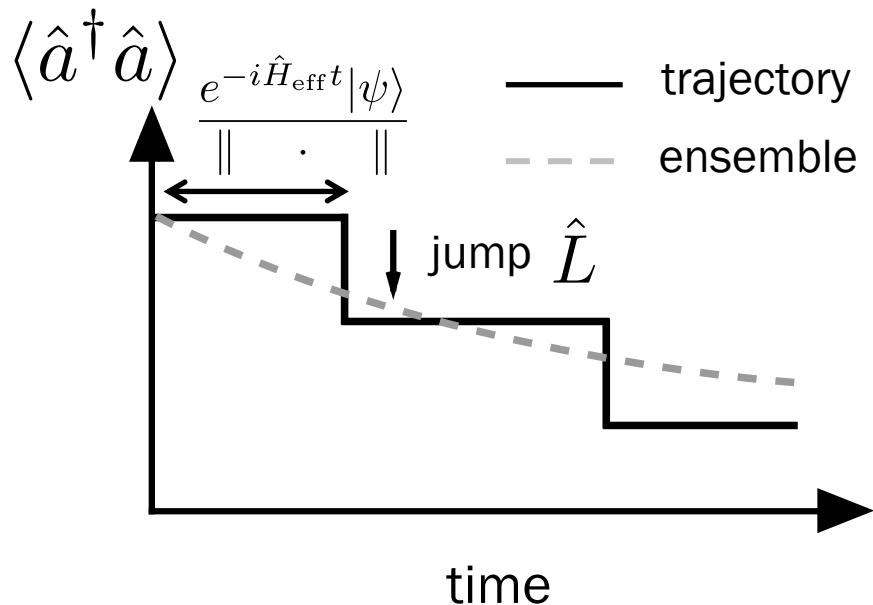
# Simple example: continuous observation of cavity photons



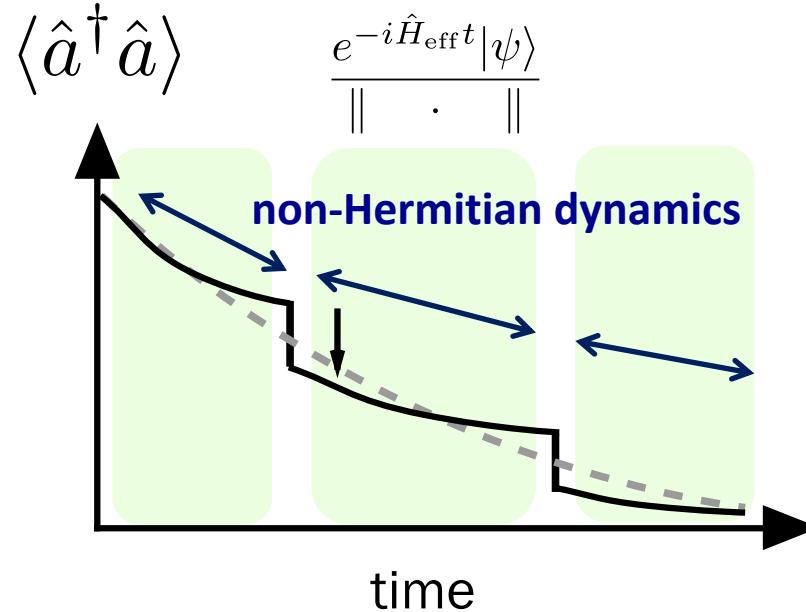
Effective Hamiltonian :  $\hat{H}_{\text{eff}} = \left( \omega - \frac{i\gamma}{2} \right) \hat{a}^\dagger \hat{a}$

jump operator :  $\hat{L} = \sqrt{\gamma} \hat{a}$

example 1: Fock state

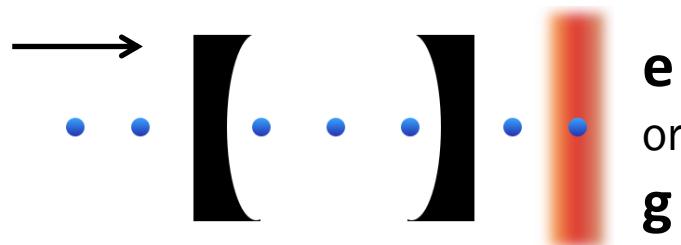


example 2: Squeezed state

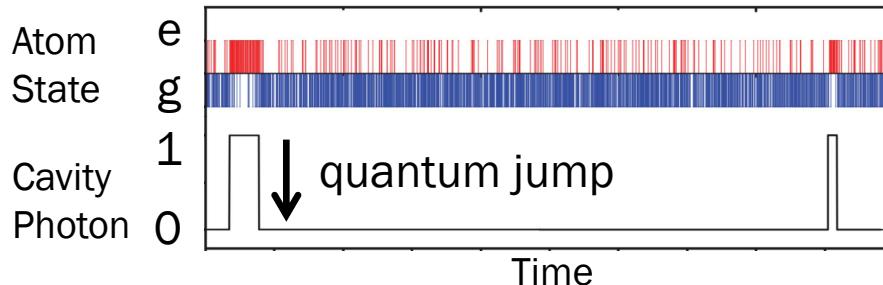


# Experiments: continuous observation of small quantum systems

## Microwave cavity photon



### observation of quantum jumps



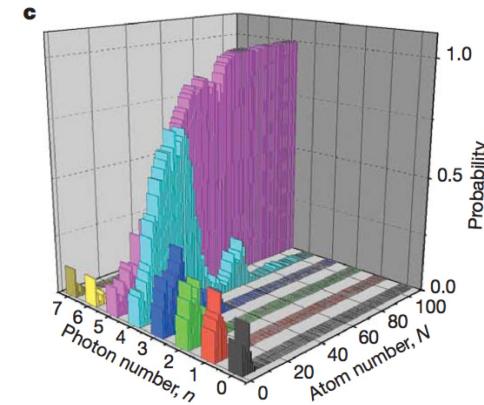
Gleyzes et al., Nature 446, 297 (2007)



Serge Haroche  
2012 Nobel Prize



### observation of wavefunction collapse



Guerlin et al., Nature 448, 889 (2007)

## Superconducting qubit

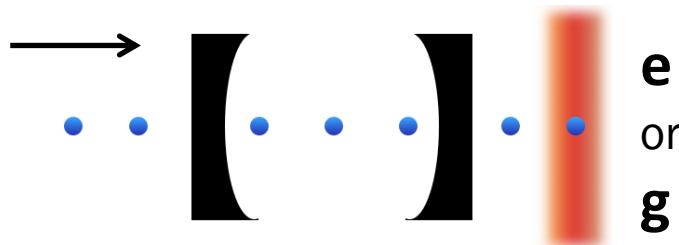
Vijay et al., PRL 106, 110502 (2011)

## Quantum dots

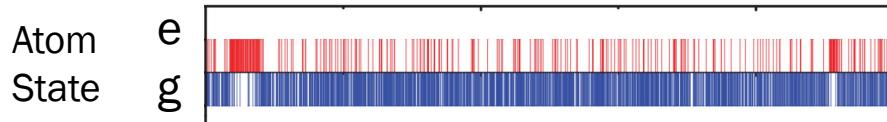
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# Experiments: continuous observation of small quantum systems

## Microwave cavity photon



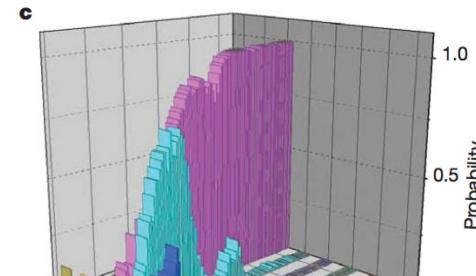
observation of quantum jumps



Serge Haroche  
2012 Nobel Prize



observation of wavefunction collapse



Restricted to quantum systems  
with **small** degrees of freedom

## Superconducting qubit

Vijay et al., PRL 106, 110502 (2011)

## Quantum dots

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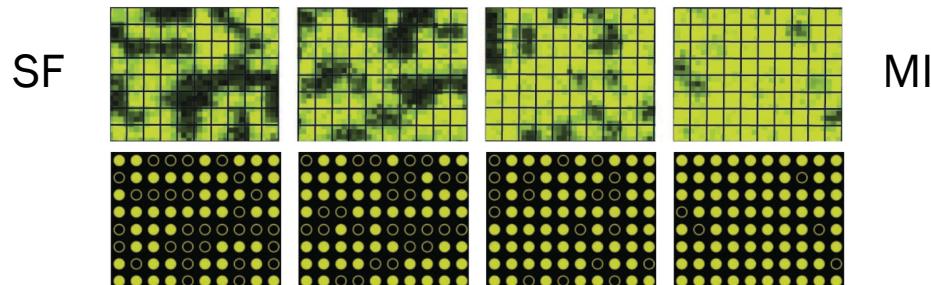
# Quantum gas microscopy

Offers a new approach to quantum many-body systems  
via *in-situ* imaging of ultracold atoms

# Developments in Quantum Gas Microscopy



Superfluid-to-Mott insulator transition has been observed at the **single-particle** level.



W. S. Bakr et al., Science 329, 547 (2010).

## Recent breakthroughs:

Measurement of entanglement entropy:

Islam et al. Nature 528 77 (2015).

Observation of antiferromagnetic fermionic correlations:

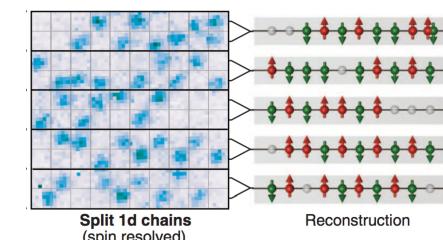
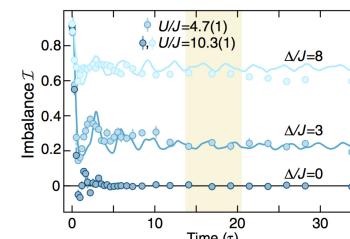
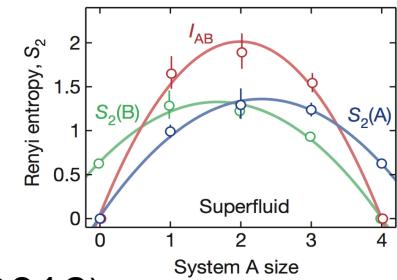
Cheuk et al., Parsons et al., Boll et al., Science 353 1253-1260 (2016),

Mazurenko et al., Nature 545 462 (2017).

Observation of many-body localization:

Schreiber et al. Science 349 842 (2015),

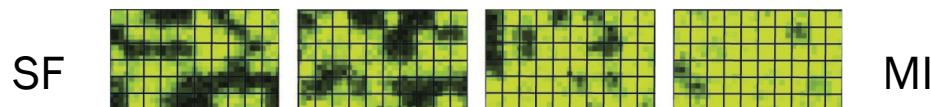
J-y. Choi et al. ibid 352 1547 (2016)



# Developments in Quantum Gas Microscopy



Superfluid-to-Mott insulator transition has been observed at the **single-particle** level.



New direction: Continuous monitoring of many-body systems by QGM.

Many-body physics

Interplay

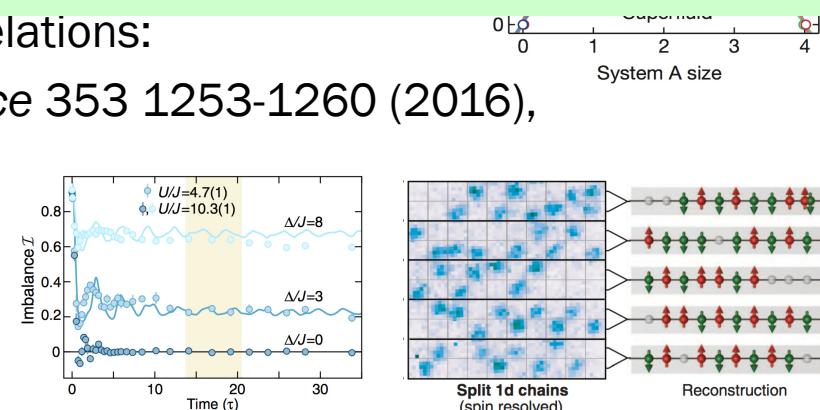
Measurement  
backaction

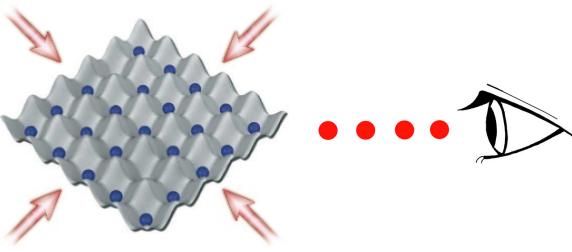
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Observation of many-body localization:

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J.-y. Choi et al. *ibid* 352 1547 (2016)





# 1. Quantum many-body systems under continuous observation

Wavefunction collapse and super-resolved imaging:



YA and M. Ueda, PRL 115, 095301 (2015).

YA and M. Ueda, Opt. Lett. 41, 72-75 (2016).

Quantum critical phenomena:

YA, S. Furukawa and M. Ueda, Nat. Commun. 8, 15791 (2017).

YA, S. Furukawa and M. Ueda, PRA 94, 053615 (2016).

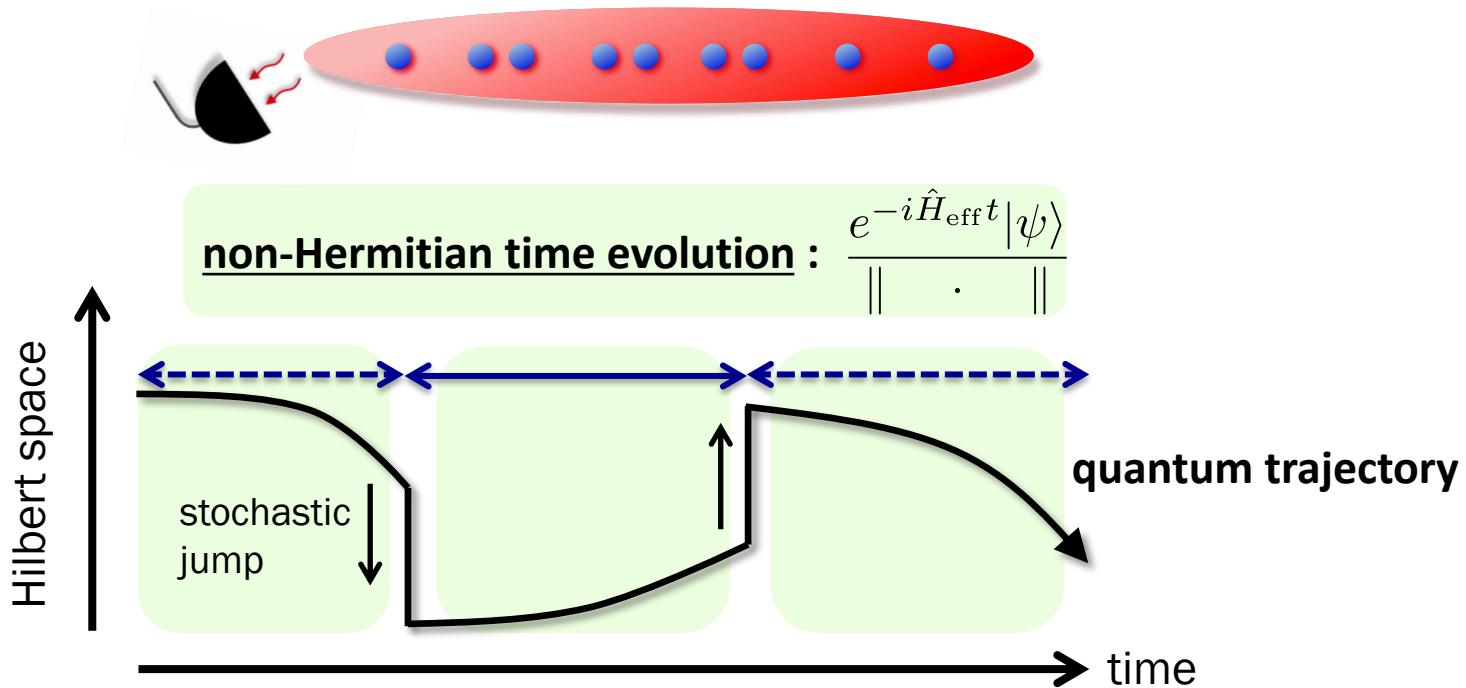
Nonequilibrium dynamics:

YA and M. Ueda, PRL 120, 185301 (2018).

YA and M. Ueda, PRA 95, 022124 (2017).

# Quantum critical phenomena under continuous observation

Imagine that we **continuously monitor** a strongly correlated many-body system.



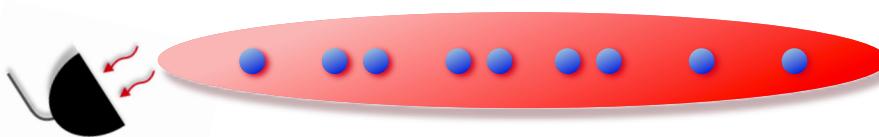
effective Hamiltonian: 
$$\hat{H}_{\text{eff}} = \hat{H} - \frac{i}{2} \sum_a \hat{L}_a^\dagger \hat{L}_a$$

---

measurement backaction

# Quantum critical phenomena under continuous observation

Q. Does such measurement backaction change universality class of quantum critical phenomena?



Idea:

(sine-Gordon model)

(PT-symmetry)

Quantum Phase Transition + Spectral Singularity →

New universality class  
unique to open systems?

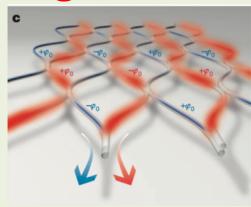


Singularity due to non-diagonalizability  
= *unique* to non-Hermitian systems

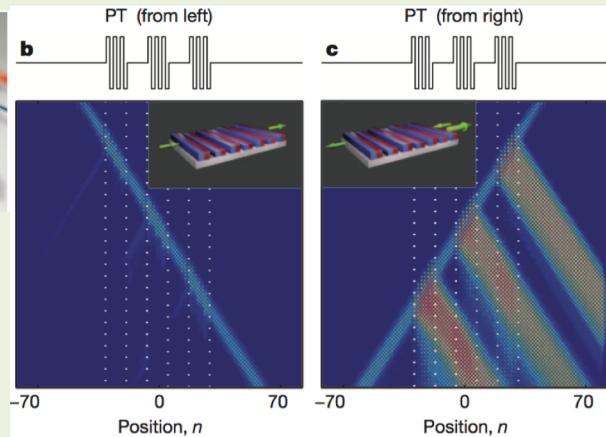
# Experimental studies of non-Hermitian systems

Non-hermitian systems exhibit unique features at Exceptional Point (i.e., Spectral singularity) and have attracted considerable attentions. Nat. Phys. 14, 11 (2018); Nat. Photon. 11, 741 (2017).

periodic  
gain-loss



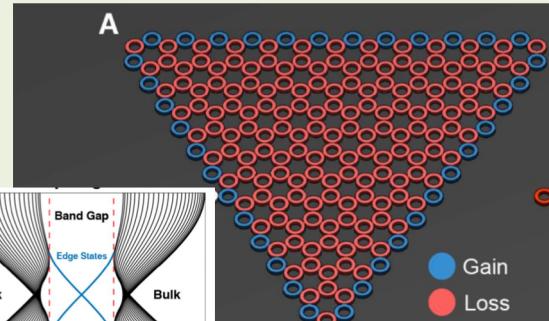
unidirectionality



Regensberger et al. Nature 488 167 (2012)

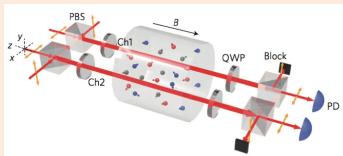
Optics

“Lasing” edge mode

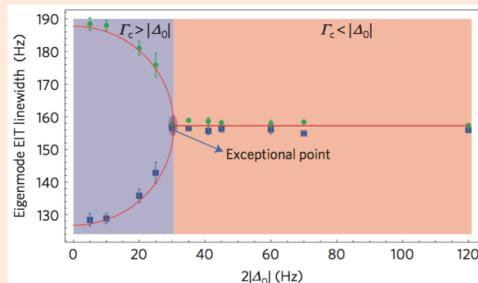


Harari et al. Science  
10.1126/science.aar4003 (2018)

Thermal atomic gas



bifurcation in  
collective spin mode

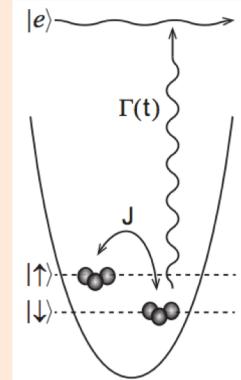


Peng et al. Nature Phys. 12, 1139 (2016)

\*Open quantum  
systems:

ultracold atoms  
J. Li et al., arXiv:1608.05061

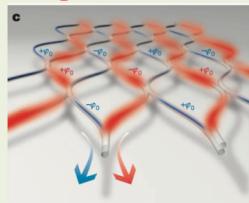
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L. Xiao et al., Nat. Phys. (2017)



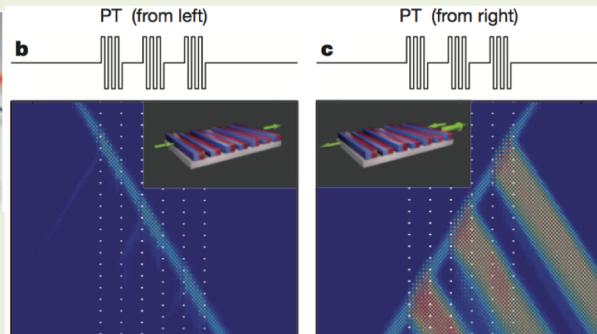
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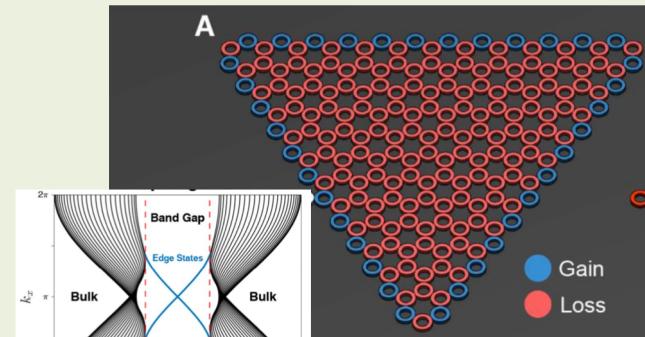


unidirectionality



Optics

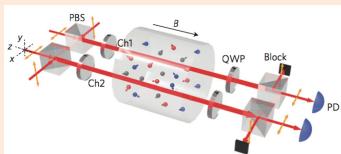
“Lasing” edge mode



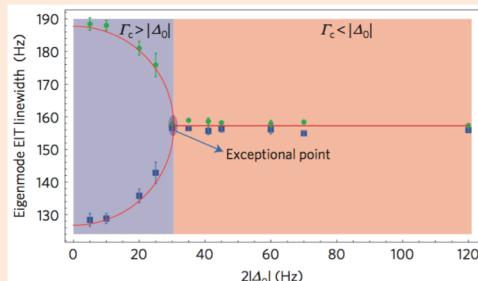
One-body (mean-field) phenomena have been well explored.

We extend the idea of PT-symmetry to many-body systems.

Thermal atomic gas



bifurcation in  
collective spin mode

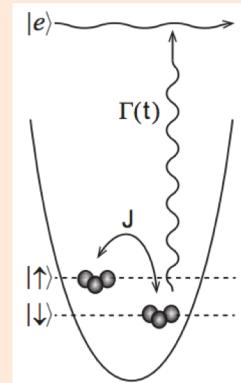


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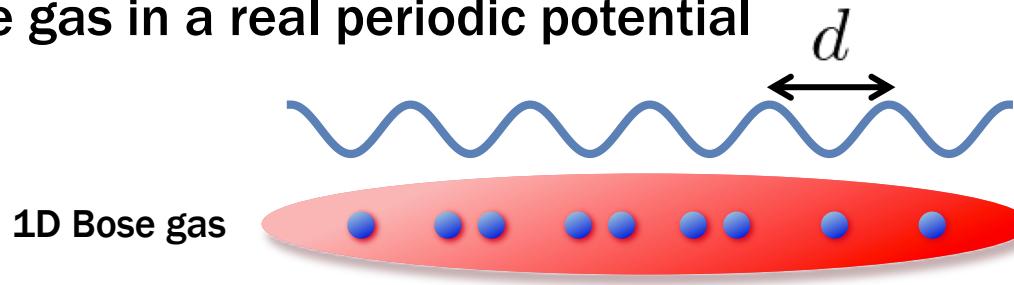
ultracold atoms  
J. Li et al., arXiv:1608.05061

quantum walks  
L. Xiao et al., Nat. Phys. (2017)



# Many-body paradigm: BKT transition and sine-Gordon model

1D Bose gas in a real periodic potential



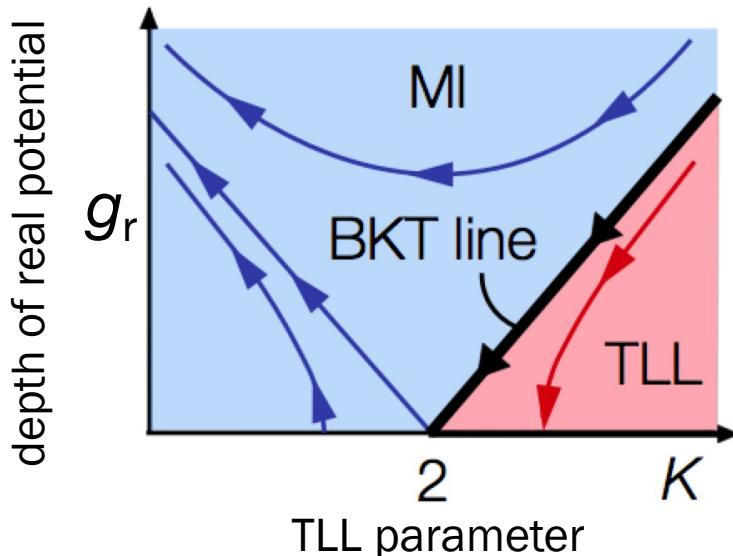
shallow periodic potential  
(far-detuned light)

$$U(x) = U_r \cos\left(\frac{2\pi x}{d}\right)$$

Low-energy Hamiltonian (sine-Gordon model):

$$\hat{H} = \int dx \left\{ \frac{\hbar v}{2\pi} \left[ K(\partial_x \hat{\theta})^2 + \frac{1}{K} (\partial_x \hat{\phi})^2 \right] + V(\hat{\phi}) \right\}, \quad V(\hat{\phi}) = \frac{g_r}{\pi} \cos(2\hat{\phi})$$

RG flows & Phase diagram:



gapped phase  
(Mott insulator (MI))

Berezinskii-Kosterlitz-Thouless  
transition

gapless quantum critical phase  
(Tomonaga-Luttinger liquid (TLL))

2016 Nobel Prize

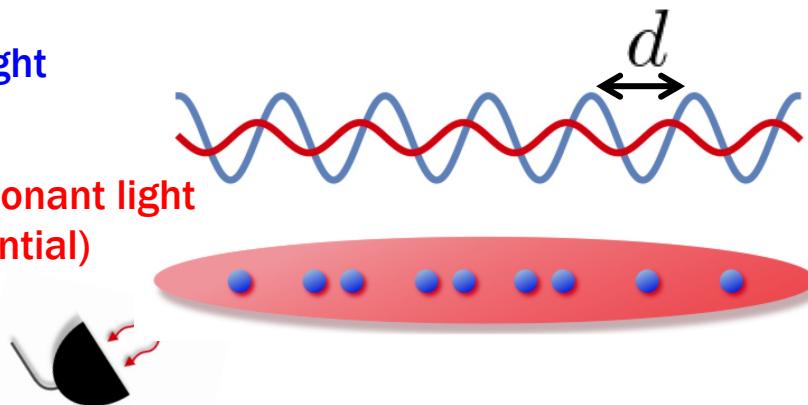


# Under continuous observation: Generalized sine-Gordon model

1D Bose gas subject to spatially modulated **one-body loss**

a far-detuned light  
(real potential)

a weak near-resonant light  
(imaginary potential)



$$U(x) = \frac{U_r \cos\left(\frac{2\pi x}{d}\right)}{-iU_i \sin\left(\frac{2\pi x}{d}\right) - iU_i}$$

periodic gain-loss structure

Low-energy Hamiltonian (Generalized sine-Gordon model):

$$\hat{H} = \int dx \left\{ \frac{\hbar v}{2\pi} \left[ K(\partial_x \hat{\theta})^2 + \frac{1}{K} (\partial_x \hat{\phi})^2 \right] + V(\hat{\phi}) \right\}$$

$$V(\hat{\phi}) = \frac{g_r}{\pi} \cos(2\hat{\phi}) - \frac{ig_i}{\pi} \sin(2\hat{\phi})$$

$g_r$  : depth of **real** potential  
 $g_i$  : depth of **imaginary** potential

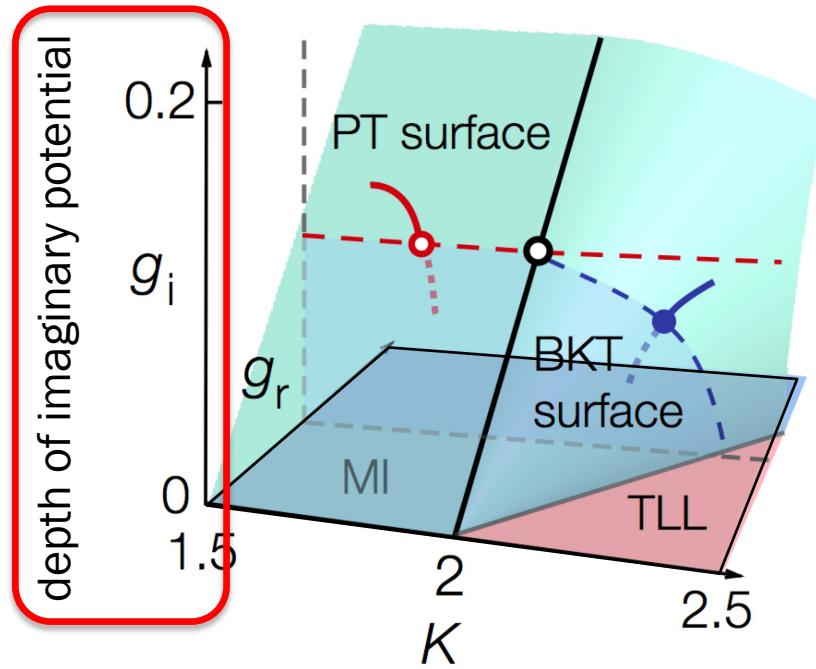


$$\hat{\mathcal{P}}\hat{\phi}\hat{\mathcal{P}} = -\hat{\phi} \quad \hat{\mathcal{T}}i\hat{\mathcal{T}} = -i \quad \rightarrow \quad [\hat{H}, \hat{\mathcal{P}}\hat{\mathcal{T}}] = 0 \quad \text{PT symmetry}$$

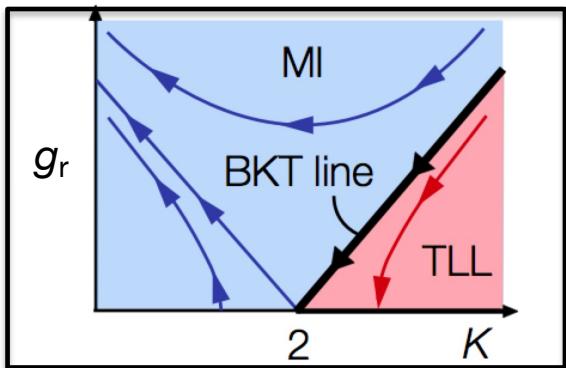
Bender & Boettcher PRL (1998)

# Phase diagram of PT-symmetric sine-Gordon model

## PT-symmetric sine-Gordon

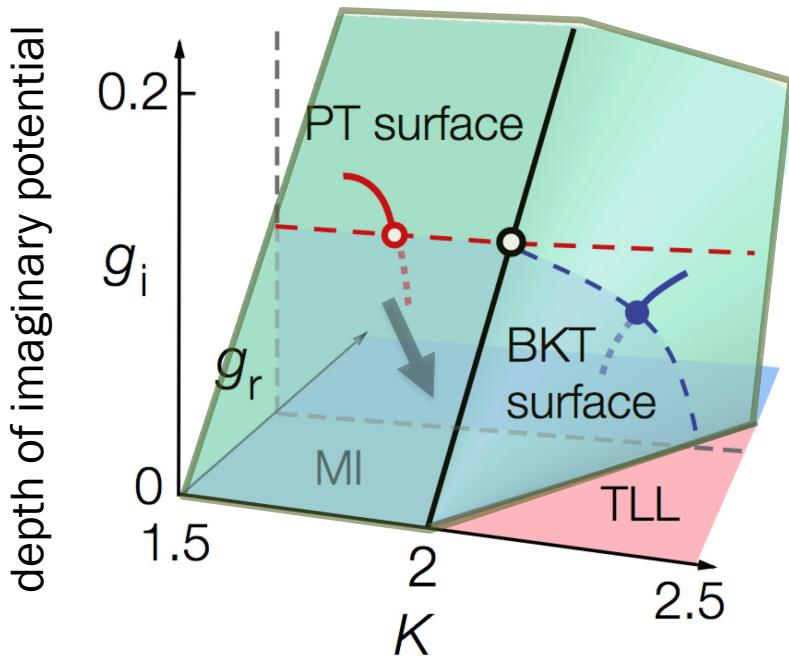


\*c.f.) conventional sine-Gordon



# Phase diagram of PT-symmetric sine-Gordon model

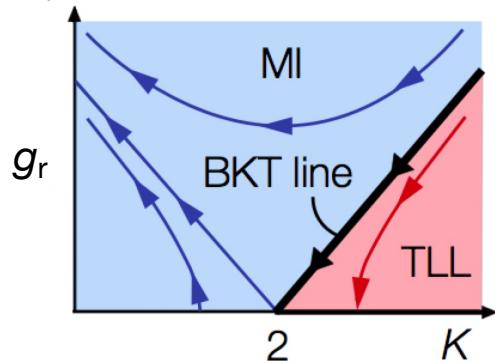
## PT-symmetric sine-Gordon



2D phase boundary:

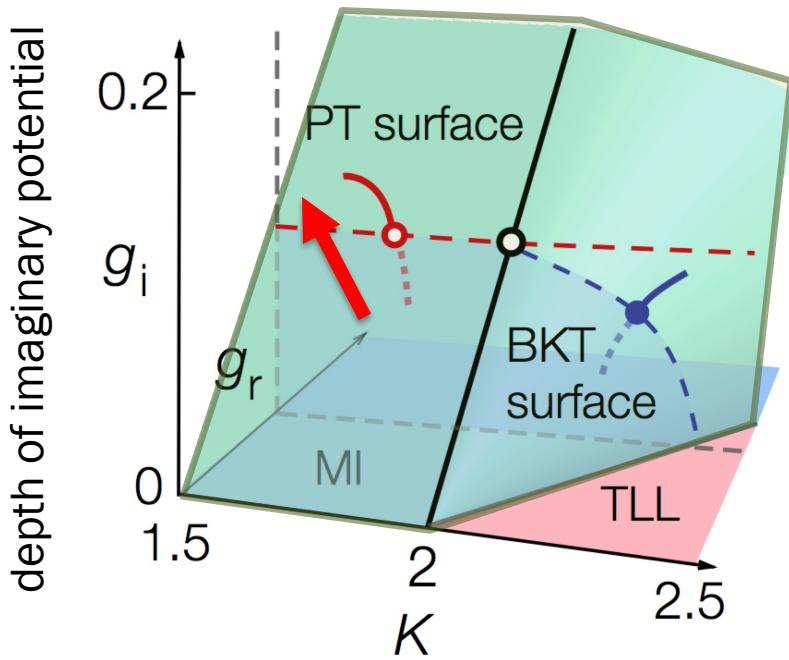
- MI phase (below) and TLL phase (above)

\*c.f.) conventional sine-Gordon



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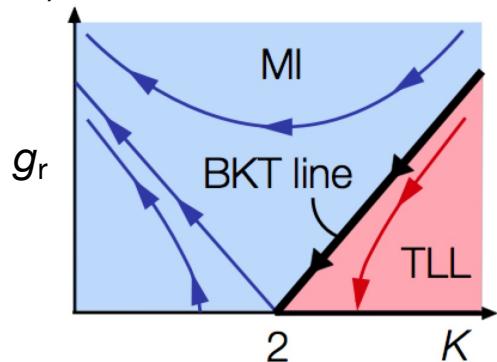
## PT-symmetric sine-Gordon



2D phase boundary:

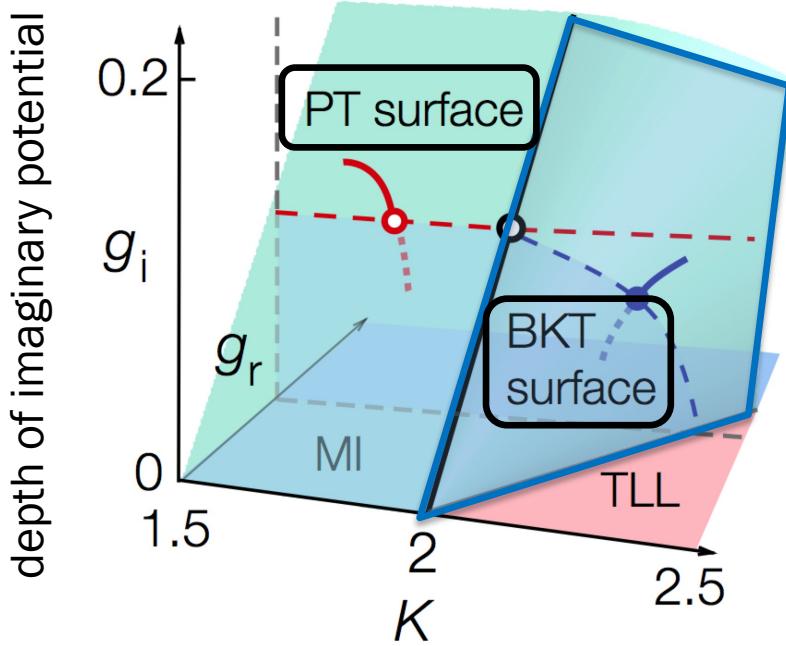
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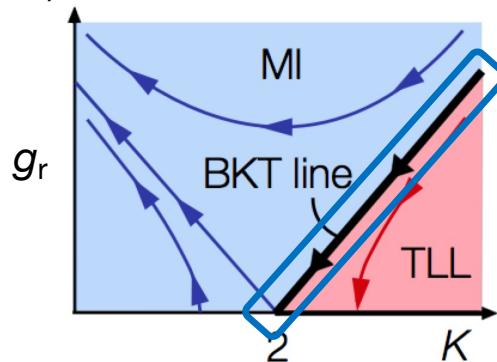
2D phase boundary:

- MI phase (below) and TLL phase (above)

Two phase transitions:

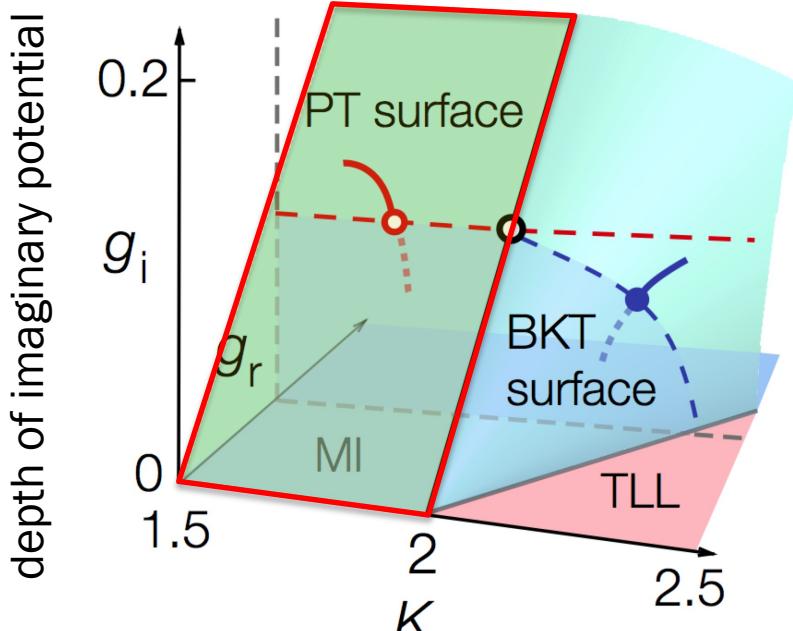
- BKT transition ( $K>2$ )
- PT transition ( $K<2$ )

\*c.f.) conventional sine-Gordon

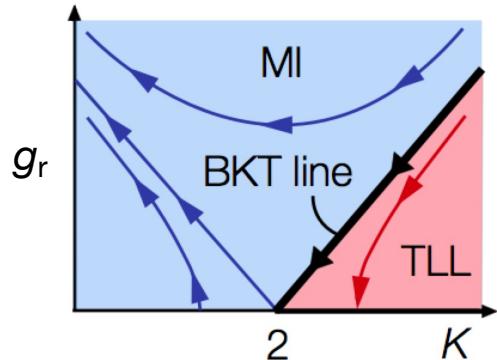


# Phase diagram of PT-symmetric sine-Gordon model

## PT-symmetric sine-Gordon



\*c.f.) conventional sine-Gordon



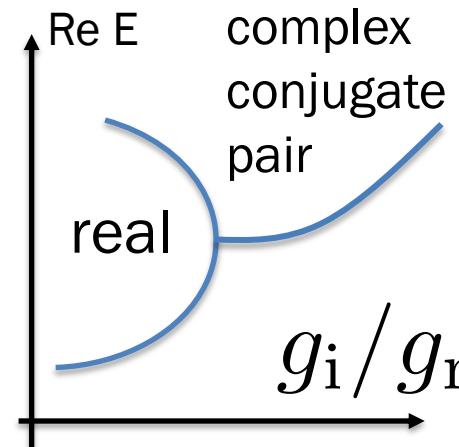
2D phase boundary:

- MI phase (below) and TLL phase (above)

Two phase transitions:

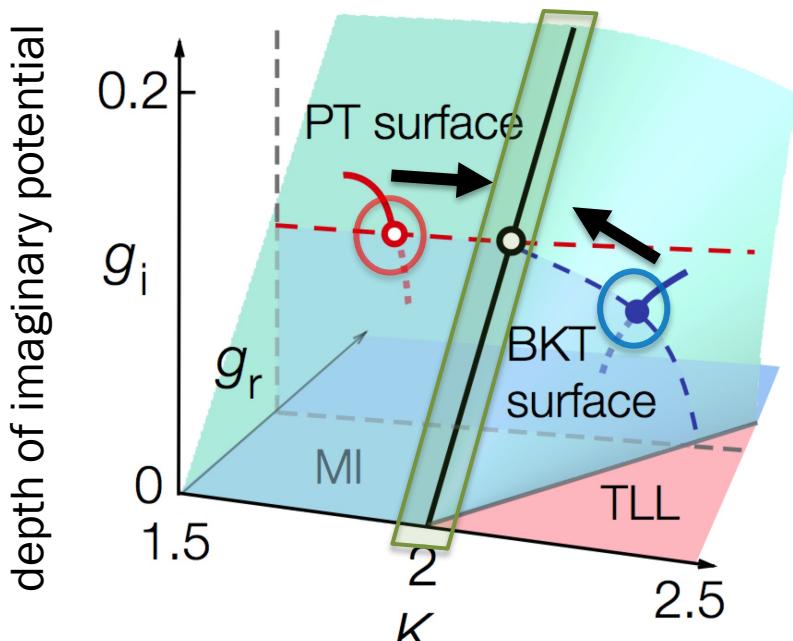
- BKT transition ( $K>2$ )
- **PT transition ( $K<2$ )**

Measurement-induced QPT  
**Spectral Singularity**

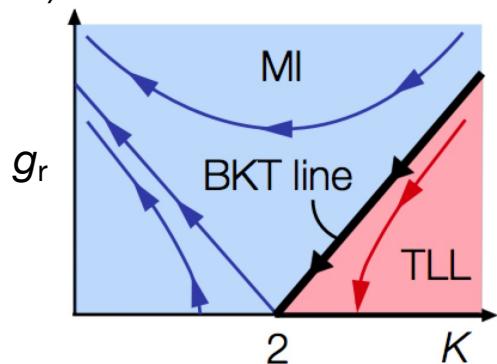


# Phase diagram of PT-symmetric sine-Gordon model

## PT-symmetric sine-Gordon



\*c.f.) conventional sine-Gordon



2D phase boundary:

- MI phase (below) and TLL phase (above)

Two phase transitions:

- BKT transition ( $K>2$ )
- **PT transition ( $K<2$ )**

Measurement-induced QPT  
**Spectral Singularity**

**Merging line:**

- Each point = RG **fixed** point.
- **Scale invariance** at  $K=2$ ,  $g_s=g_c$

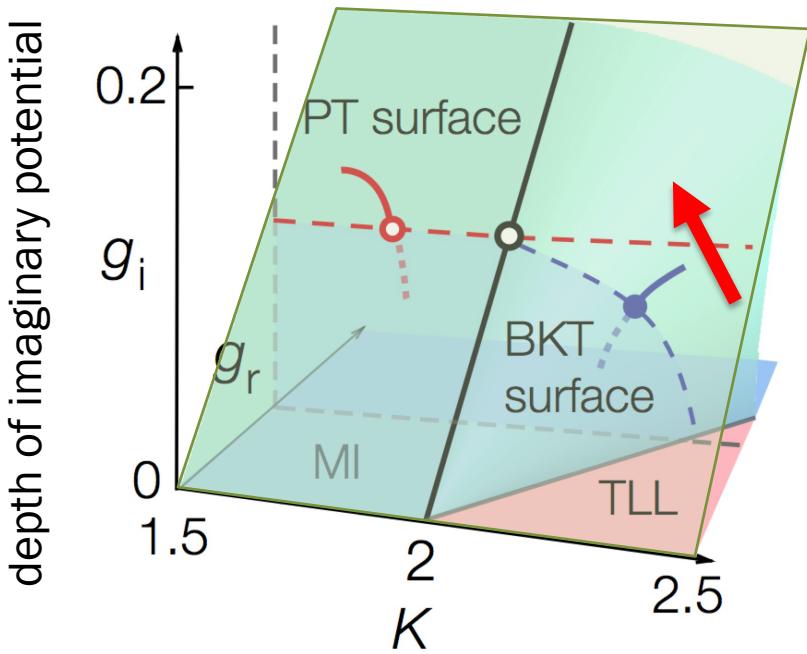
**Spectral singularity**  
+  
**quantum critical point**  
→ a nontrivial RG fixed point.

New universality class.

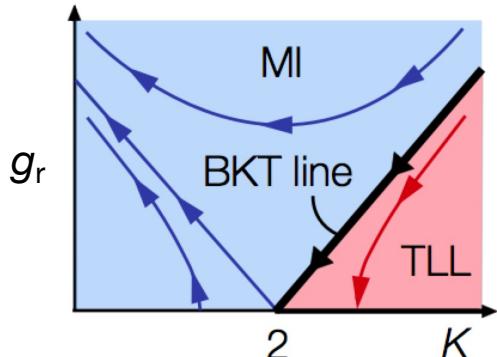
cf. non-unitary CFT [Ikhlef et al., PRL 116, 130601 (2016)].

# New type of RG flows: Violation of c-theorem

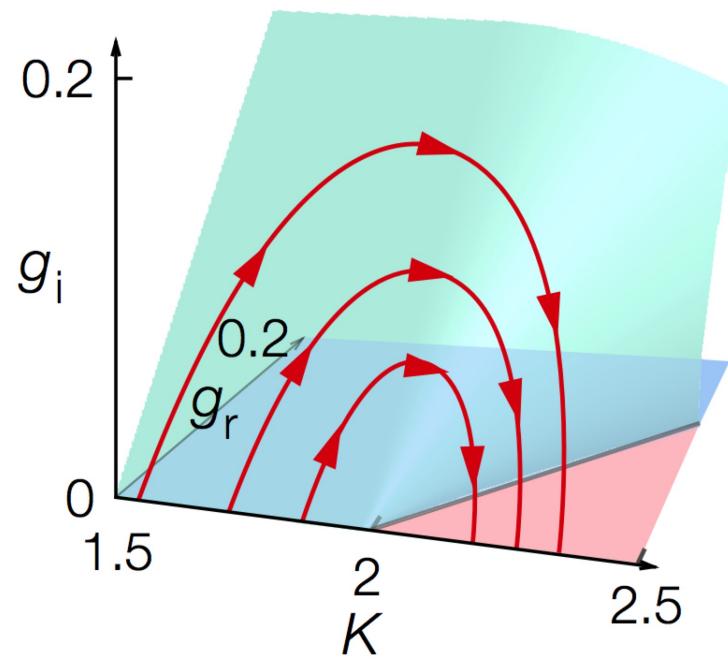
## PT-symmetric sine-Gordon



\*c.f.) conventional sine-Gordon



## PT broken ( $g_i > g_r$ )



- Semicircular RG flows appear:**  
→ anomalous **increase** of TLL parameter  $K$   
= **varying** critical exponent:

$$\langle \hat{\Psi}^\dagger(r) \hat{\Psi}(0) \rangle \propto (1/r)^{\frac{1}{2K}}$$

- Violation of c-theorem**  
(Zamorodchikov, 1984)

$$\frac{dc}{dl} < 0$$

$c$  : central charge     $l$  : RG scale

# Numerical test of RG phase diagram (exact diagonalization)

PT-symmetric spin-chain model:

$$\hat{H}_L = \sum_{m=1}^N \left[ - (J + (-1)^m i\gamma) (\hat{S}_m^x \hat{S}_{m+1}^x + \hat{S}_m^y \hat{S}_{m+1}^y) + \Delta \hat{S}_m^z \hat{S}_{m+1}^z + (-1)^m h_s \hat{S}_m^z \right]$$

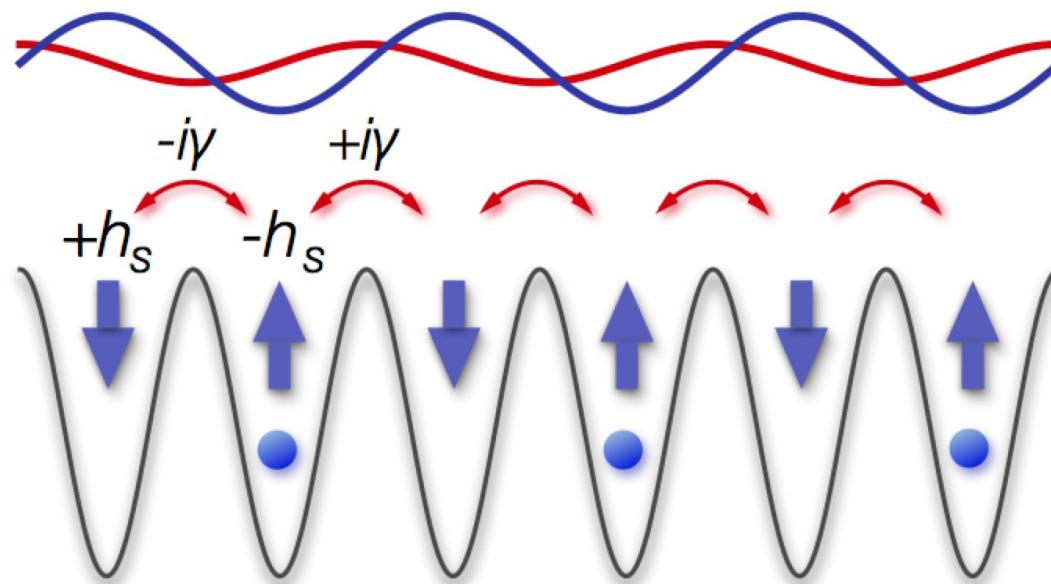
Correspondence to the effective field theory:  $(-\Delta, h_s, \gamma) \Leftrightarrow (K, g_c, g_s)$

**Physical implementation in ultracold atoms:**

PT symmetric  
shallow potential

deep optical lattice  
**(irrelevant** to  
critical behavior)

hard-core bosons  
↑ : presence  
↓ : absence



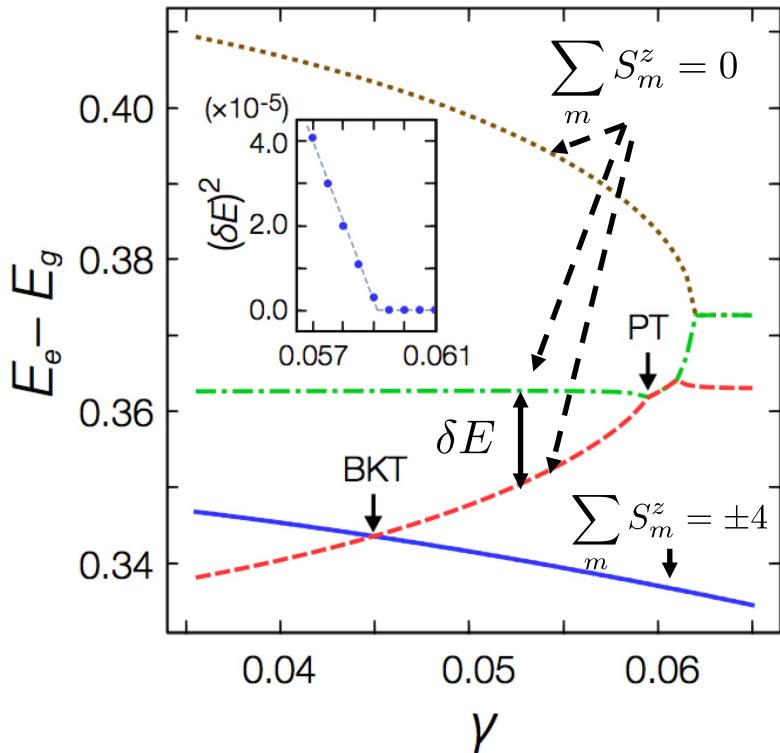
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Correspondence to the effective field theory:  $(-\Delta, h_s, \gamma) \Leftrightarrow (K, g_c, g_s)$

Typical low-energy excitation spectrum



**BKT transition point:**

crossing of appropriate energy levels  
(level spectroscopy)

K. Nomura, J. Phys. A 28, 5451 (1995)

**PT transition point:**

merging of two energy levels  
with square-root scaling  
(characteristic of exceptional point)

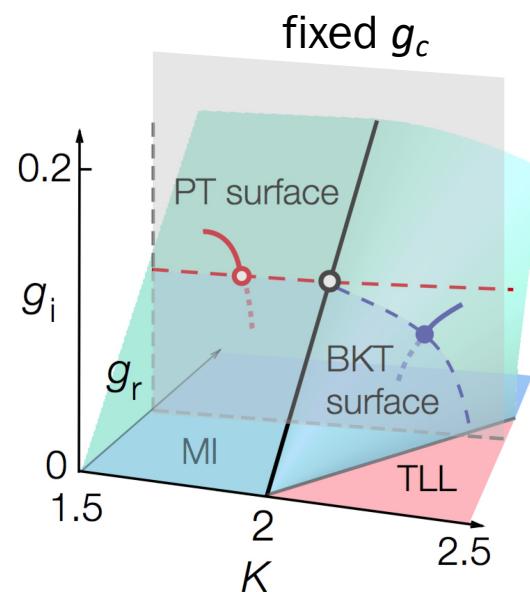
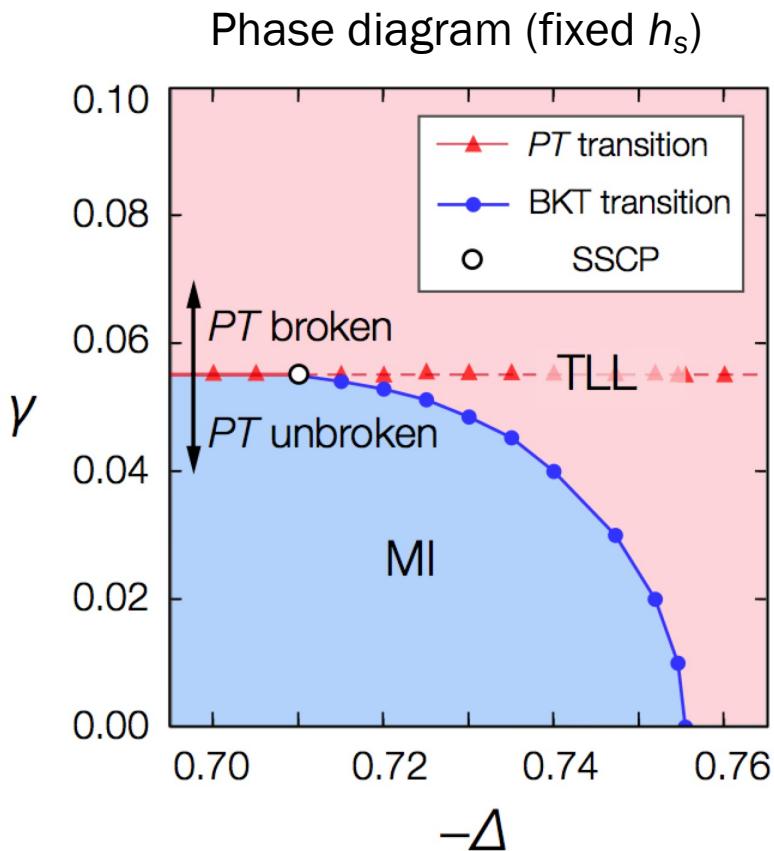
T. Kato, *Perturbation theory for linear operators* (1966)

# Numerical test of RG phase diagram (exact diagonalization)

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Correspondence to the effective field theory:  $(-\Delta, h_s, \gamma) \Leftrightarrow (K, g_c, g_s)$



Numerical **supports** for RG phase diagram.

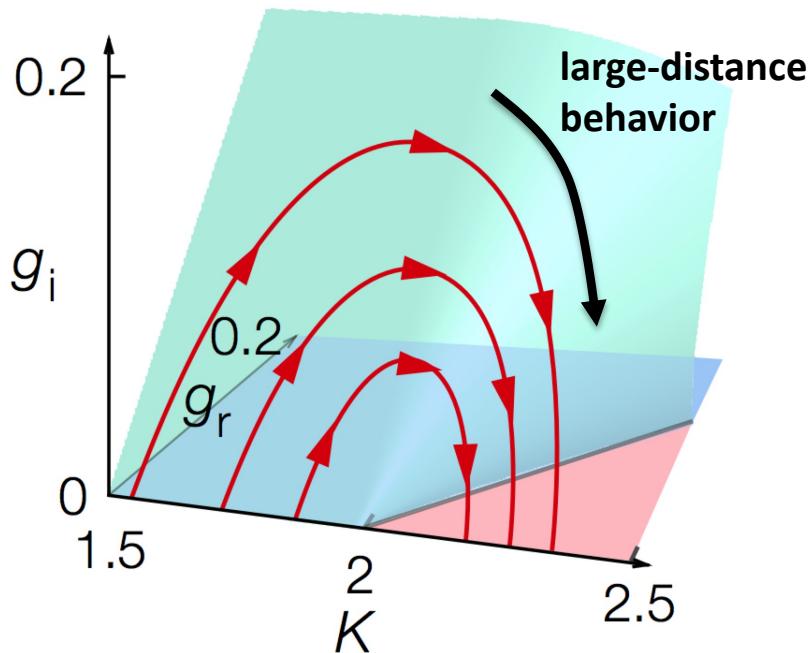
# Numerical test of anomalous RG flows (iTEBD)

PT-symmetric spin-chain model:

$$\hat{H}_L = \sum_{m=1}^N \left[ - (J + (-1)^m i\gamma) (\hat{S}_m^x \hat{S}_{m+1}^x + \hat{S}_m^y \hat{S}_{m+1}^y) + \Delta \hat{S}_m^z \hat{S}_{m+1}^z + (-1)^m h_s \hat{S}_m^z \right]$$

Correspondence to the effective field theory:  $(-\Delta, h_s, \gamma) \Leftrightarrow (K, g_c, g_s)$

**PT broken ( $g_i > g_r$ )**



Semicircular RG flows:

- Varying critical exponent in a larger distance
- Violation of c-theorem

Method:

infinite time-evolving block decimation (iTEBD)  
algorithm (G. Vidal, PRL 98, 070201 (2007))

imaginary-time evolution  $\rightarrow$  ground state

$$\frac{\exp(-\hat{H}\tau)|\Psi_0\rangle}{\|\exp(-\hat{H}\tau)|\Psi_0\rangle\|}$$

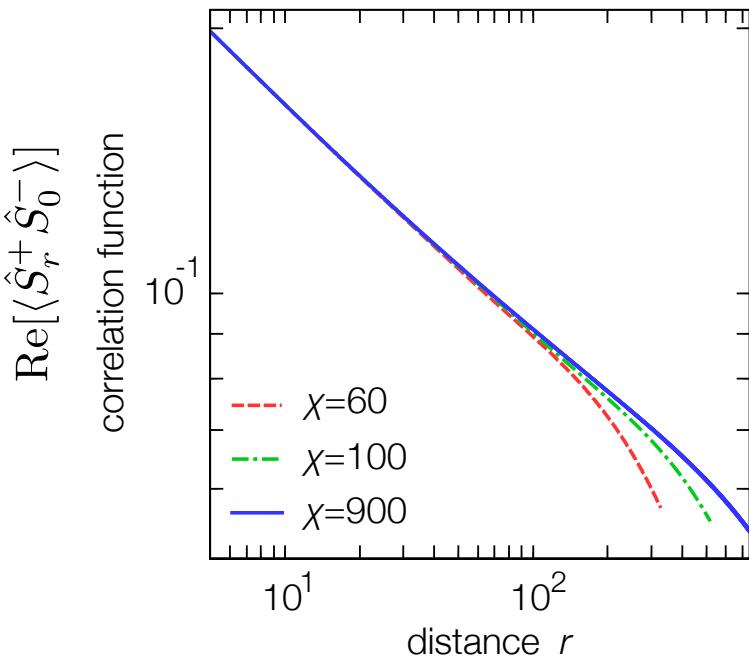
# Numerical test of anomalous RG flows (iTEBD)

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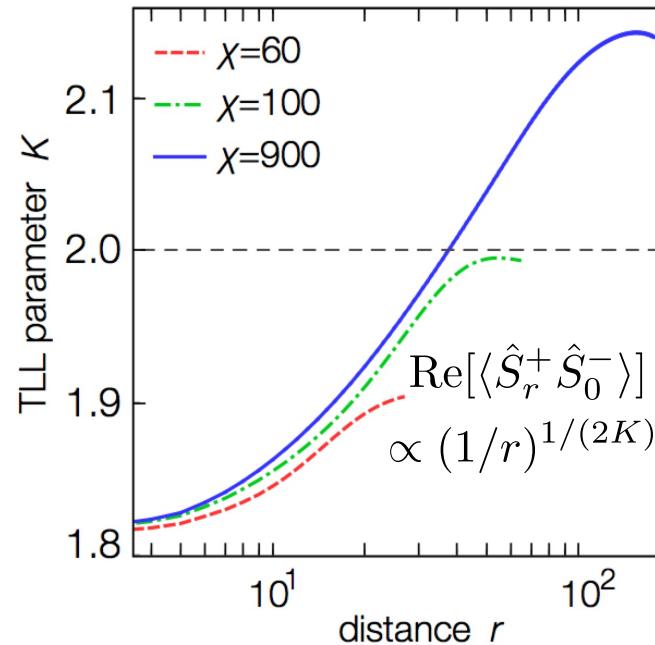
$$\hat{H}_L = \sum_{m=1}^N \left[ - (J + (-1)^m i\gamma) (\hat{S}_m^x \hat{S}_{m+1}^x + \hat{S}_m^y \hat{S}_{m+1}^y) + \Delta \hat{S}_m^z \hat{S}_{m+1}^z + (-1)^m h_s \hat{S}_m^z \right]$$

Correspondence to the effective field theory:  $(-\Delta, h_s, \gamma) \Leftrightarrow (K, g_c, g_s)$

Critical decay in PT broken phase



Varying TLL parameter  $K$



# Numerical test of anomalous RG flows (iTEBD)

PT-symmetric spin-chain model:

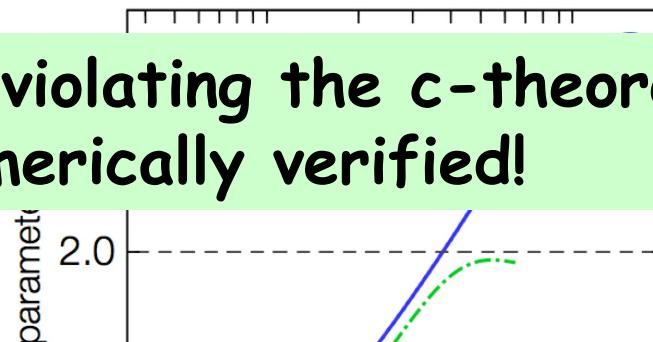
$$\hat{H}_L = \sum_{m=1}^N \left[ - (J + (-1)^m i\gamma) (\hat{S}_m^x \hat{S}_{m+1}^x + \hat{S}_m^y \hat{S}_{m+1}^y) + \Delta \hat{S}_m^z \hat{S}_{m+1}^z + (-1)^m h_s \hat{S}_m^z \right]$$

Correspondence to the effective field theory:  $(-\Delta, h_s, \gamma) \Leftrightarrow (K, g_c, g_s)$

RG analysis

Varying TLL parameter  $K$

Anomalous RG flows violating the c-theorem  
have been numerically verified!



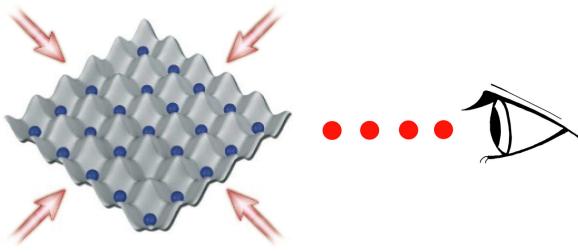
\* Violation of the g-theorem has been also found in  
the non-Hermitian Kondo model:

M. Nakagawa et al., arXiv:1806.04039

Semicircular RG flows

= Enhancement of TLL parameter  
in a larger distance

$10^1$        $10^2$   
distance  $r$



# 1. Quantum many-body systems under continuous observation

Wavefunction collapse and super-resolved imaging:



YA and M. Ueda, PRL 115, 095301 (2015).

YA and M. Ueda, Opt. Lett. 41, 72-75 (2016).

Quantum critical phenomena:

YA, S. Furukawa and M. Ueda, Nat. Commun. 8, 15791 (2017).

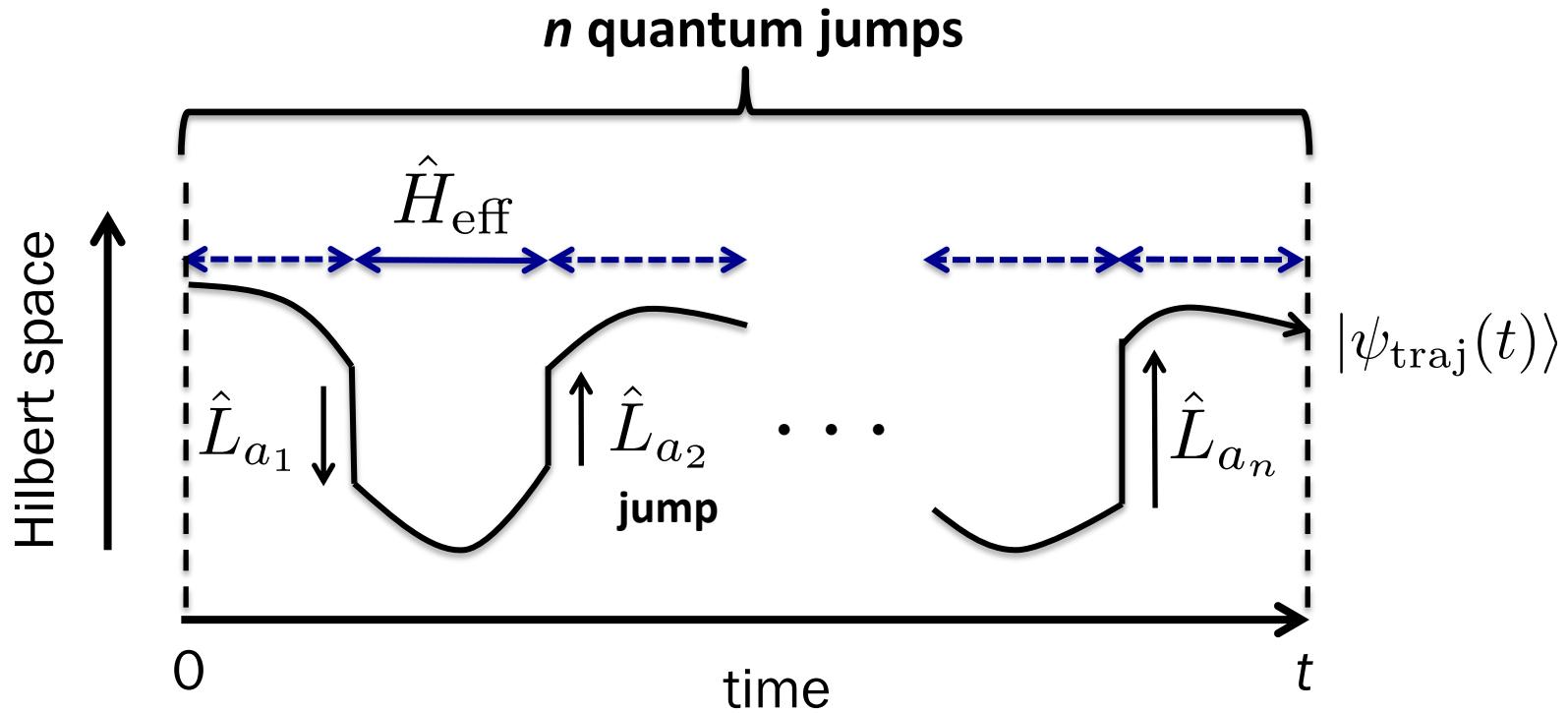
YA, S. Furukawa and M. Ueda, PRA 94, 053615 (2016).

Nonequilibrium dynamics (short summary):

YA and M. Ueda, PRL 120, 185301 (2018).

YA and M. Ueda, PRA 95, 022124 (2017).

# Full-counting “dynamics” under continuous observation



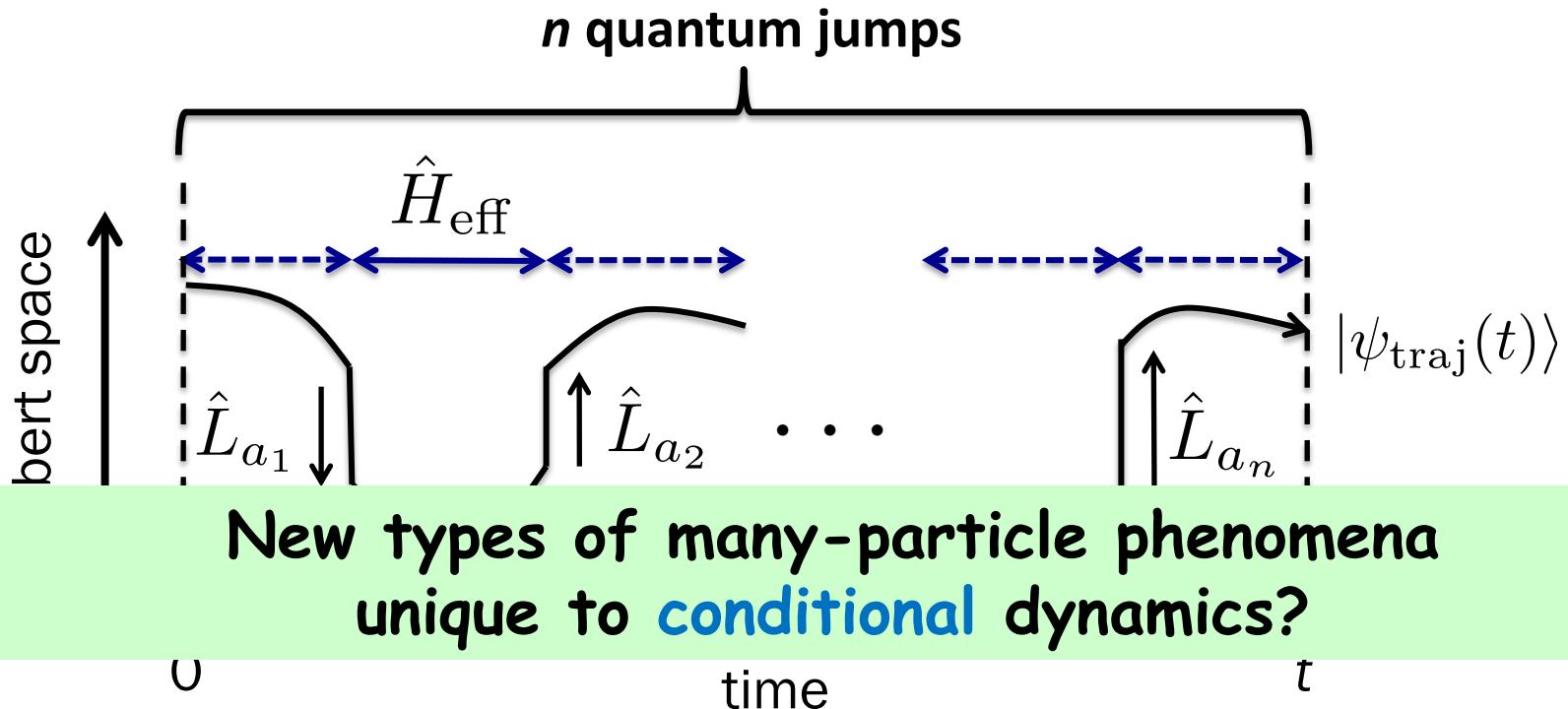
Post-measurement density matrix conditioned on the number of jumps  $n$  :

$$\hat{\rho}_{\text{post}}^{(n)}(t) \propto \sum_{i \in \mathcal{D}_n} |\psi_{\text{traj},i}(t)\rangle \langle \psi_{\text{traj},i}(t)|$$

$\mathcal{D}_n$  : subspace of quantum trajectories having  $n$  jumps

\*different from the unconditional dynamics (= Lindblad dynamics)

# Full-counting “dynamics” under continuous observation



New types of many-particle phenomena  
unique to **conditional dynamics**?

Post-measurement density matrix conditioned on the number of jumps  $n$  :

$$\hat{\rho}_{\text{post}}^{(n)}(t) \propto \sum_{i \in \mathcal{D}_n} |\psi_{\text{traj},i}(t)\rangle \langle \psi_{\text{traj},i}(t)|$$

$\mathcal{D}_n$  : subspace of quantum trajectories  
having  $n$  jumps

\*different from the unconditional dynamics (= Lindblad dynamics)

# Propagation beyond the Lieb-Robinson bound (summary)

## Setup

time

0



$N$  atoms

continuous observation  
jump : one-body loss

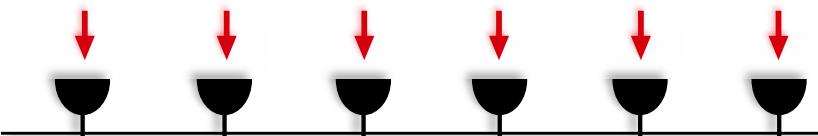


$n$  quantum jumps

$t$



$N - n$  atoms



Measuring total atom number by QGM

## Conditional dynamics

Ensemble average over trajectories  
including  $n$  jumps during  $[0,t]$

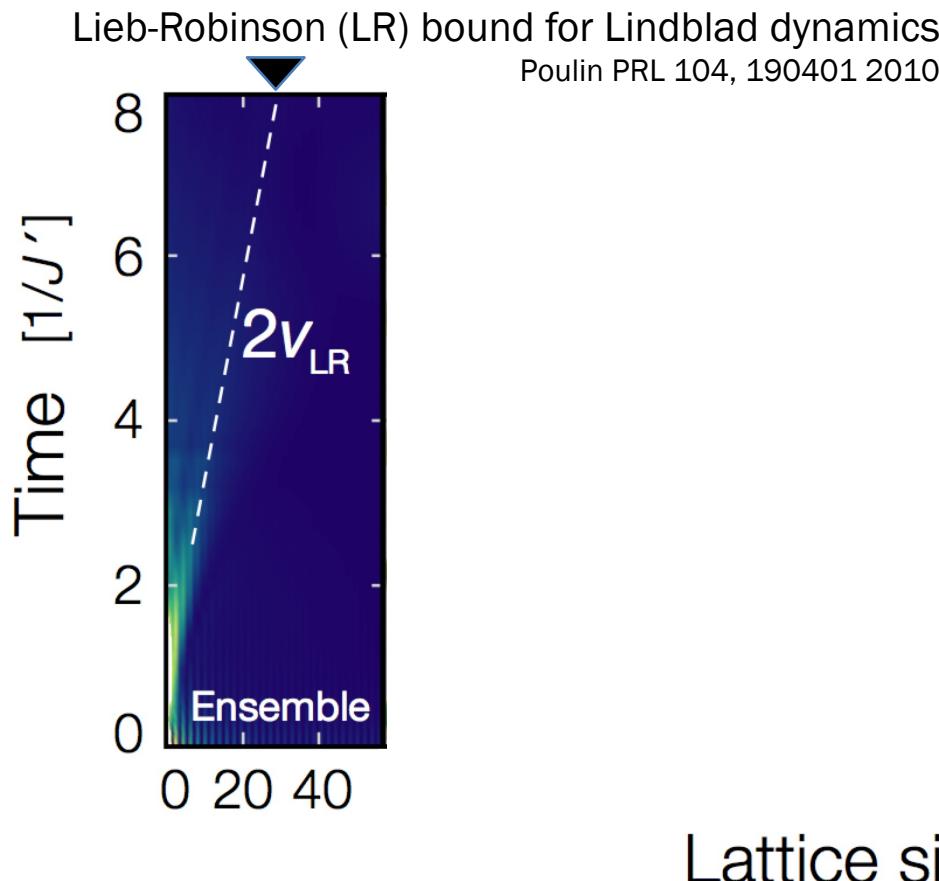
$$\hat{\rho}^{(n)}(t)$$

# Propagation beyond the Lieb-Robinson bound (summary)

## Exact calculations of quench dynamics for solvable model

Lindblad dynamics:

$$C(l, t) = \text{Tr}[\hat{\rho}(t)\hat{c}_l^\dagger\hat{c}_0]$$
$$\hat{\rho}(t) = \sum_n P_n(t)\hat{\rho}^{(n)}(t)$$



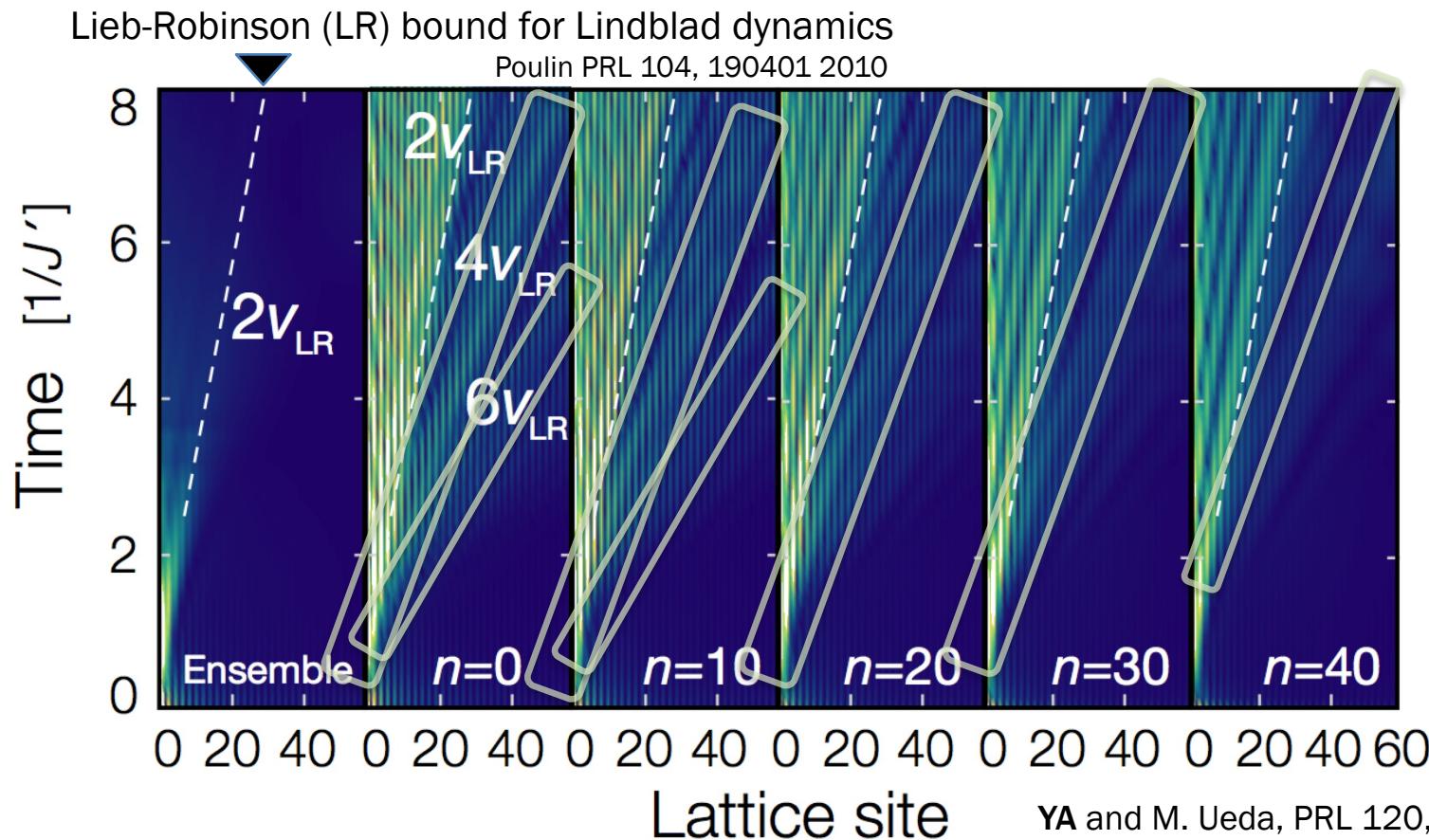
YA and M. Ueda, PRL 120, 185301 (2018).

# Propagation beyond the Lieb-Robinson bound (summary)

Exact calculations of quench dynamics for solvable model

Lindblad dynamics:  $C(l, t) = \text{Tr}[\hat{\rho}(t)\hat{c}_l^\dagger\hat{c}_0]$   $\hat{\rho}(t) = \sum_n P_n(t)\hat{\rho}^{(n)}(t)$

conditional dynamics:  $C^{(n)}(l, t) = \text{Tr}[\hat{\rho}^{(n)}(t)\hat{c}_l^\dagger\hat{c}_0]$

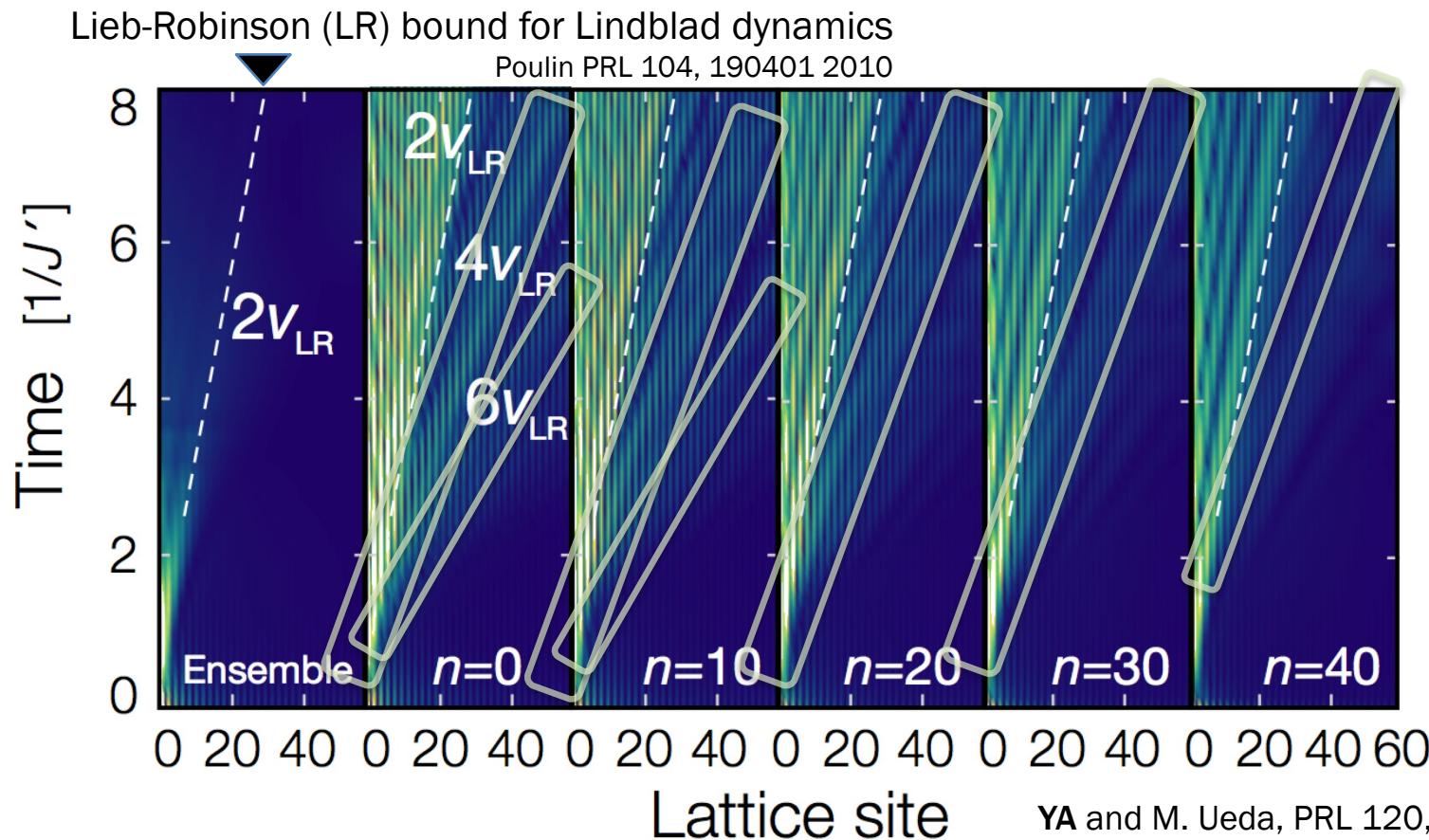


# Propagation beyond the Lieb-Robinson bound (summary)

Exact calculations of quench dynamics for solvable model

Lindblad dynamics:  $C(l, t) = \text{Tr}[\hat{\rho}(t)\hat{c}_l^\dagger\hat{c}_0]$   $\hat{\rho}(t) = \sum P_n(t)\hat{\rho}^{(n)}(t)$

Propagation beyond the LR bound can (probabilistically)  
appear as a result of the global postselection.



# Classical non-Hermitian systems: when continuous observation reduces to one-body dissipative dynamics

Continuous observation  
(non-Hermitian):

$$\hat{H}_{\text{eff}} = \hat{H} - \frac{i}{2} \sum_a \hat{L}_a^\dagger \hat{L}_a$$

Markovian dissipative dynamics:

$$\frac{d\hat{\rho}}{dt} = -i[\hat{H}, \hat{\rho}] - \sum_a \left( \frac{1}{2} \hat{L}_a^\dagger \hat{L}_a \hat{\rho} + \frac{1}{2} \hat{\rho} \hat{L}_a^\dagger \hat{L}_a - \hat{L}_a \hat{\rho} \hat{L}_a^\dagger \right)$$

Sometimes, continuous observation and dissipation can be **equivalent** and reduce to **classical (one-body)** dynamics:

Case I: Loss process in the **single-particle sector**

$\hat{L}_a$  annihilates the particle  $\rightarrow$  Jump term is trivial  $\hat{L}_a \hat{\rho} \hat{L}_a^\dagger \propto |\text{vac}\rangle \langle \text{vac}|$

Case II: Loss process with a **coherent state**

(e.g., classical waves of photons and phonons, or BEC)

$\hat{L}_a$  is an eigenstate of  $\hat{\rho}$   $\rightarrow$  Jump term just modifies the normalization.

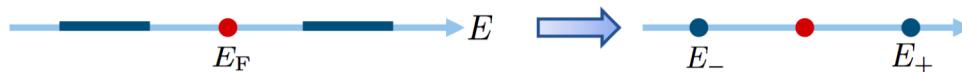
**(Essentially) classical phenomena, yet still interesting and directly relevant to experiments in classical optics & mechanics.**

**Topological aspects of non-Hermitian systems?**

# Topological aspects of non-Hermitian systems (short summary)

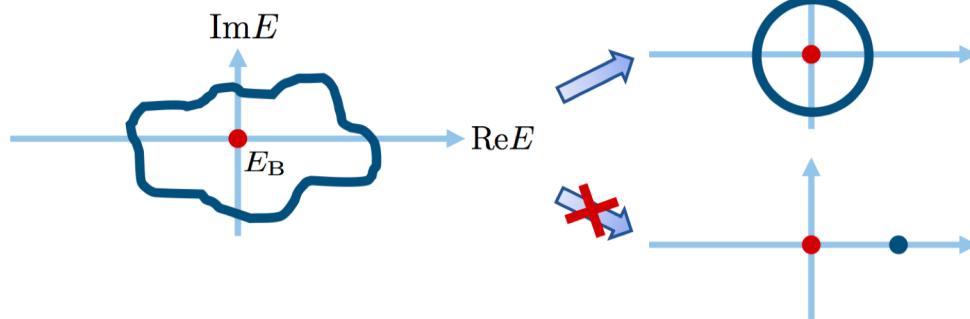
## Definition of allowed continuous deformations

Hermitian



Band gap not closed  
=  $E_F$  (red dot) not touched.

Non-Hermitian



$\Rightarrow E_B$  (red dot) not touched.

Two non-Hermitian Hamiltonians are equivalent  $H(\mathbf{k}) \sim H'(\mathbf{k})$

iff  $\exists H_\lambda(\mathbf{k})$  ( $\lambda \in [0,1]$ ) s.t.

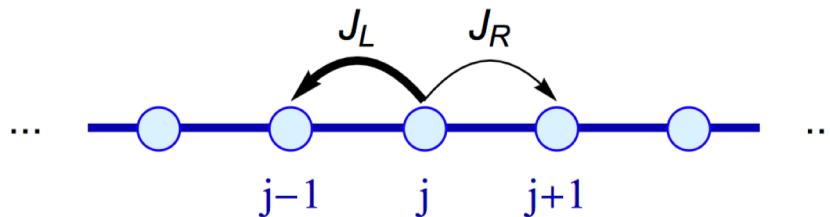
- (i)  $H_0(\mathbf{k}) = H(\mathbf{k}), H_1(\mathbf{k}) = H'(\mathbf{k})$  and
- (ii)  $\det[H_\lambda(\mathbf{k}) - E_B] \neq 0 \forall \lambda \in [0,1]$  and  $\mathbf{k} \in \text{B.Z.}$

- Consistent with the definition in Hermitian systems.
- Parameter fine tuning not required  $\rightarrow$  “Generic” nonunitary operation allowed.

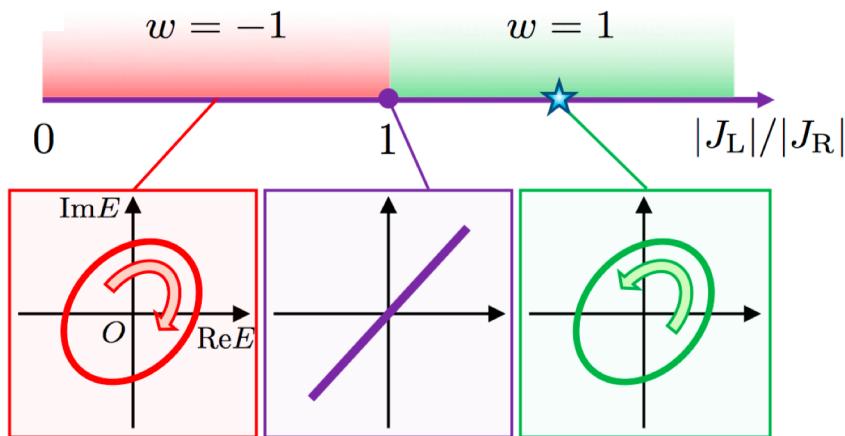
# Topological aspects of non-Hermitian systems (short summary)

Minimal model:

Asymmetric hopping of a single particle on 1D ring.



[c.f. Hatano and Nelson, PRL 77, 570 (1996)]



$$\begin{aligned}w &= \int_{-\pi}^{\pi} \frac{dk}{2\pi i} \text{Tr}[H^{-1}(k) \partial_k H(k)] \\&= \int_{-\pi}^{\pi} \frac{dk}{2\pi i} \frac{E'(k)}{E(k)}\end{aligned}$$

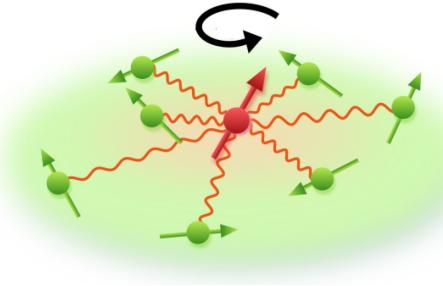
\*Robust against on-site disorder  
\*General classification possible  
(in parallel with Floquet systems)  
c.f. Roy & Harper, PRB 96, 155118 (2017))

**Title:**

# **Open quantum physics: non-Hermitian systems and beyond**

So far, we focused on open quantum systems (weakly) coupled to a Markovian environment.

What happens if **strong system-environment entanglement** is present?  
(Theoretical analysis **beyond** the Born-Markov approximation needed).



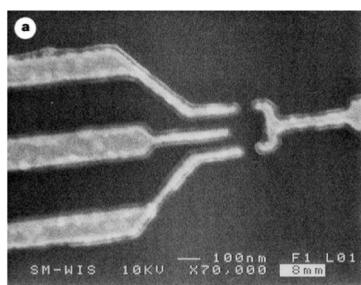
## 2. Strongly correlated open systems: Nonequilibrium quantum impurities

- YA, T. Shi, M. C. Bañuls, J. I. Cirac and E. Demler, PRL 121, 026805 (2018).  
YA, T. Shi, M. C. Bañuls, J. I. Cirac and E. Demler, PRB 98, 024103 (2018).  
M. Kanász-Nagy, YA, T. Shi, C. P. Moca, T. N. Ikeda, S. Foelling, J. I. Cirac,  
G. Zarand and E. Demler, PRB 97, 155156 (2018).  
YA, R. Schmidt, L. Tarruell and E. Demler, PRB 97, 060302(R) (2018).

# Quantum impurity: a paradigm in many-body physics

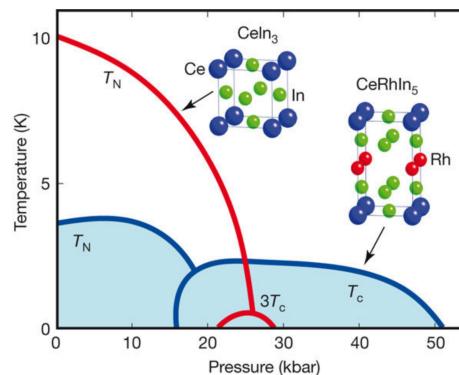
- Relevance to a variety of physical systems:

quantum dots



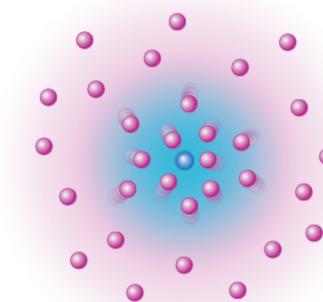
Goldhaber-Gordon et al.,  
Nature 391, 156 (1998).

heavy electron materials



Monthoux et al.,  
Nature 450, 1177 (2007).

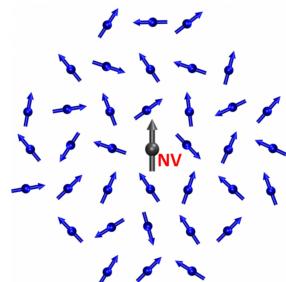
ultracold atoms



Physics 9, 86 (2016).

- Prototypical open system

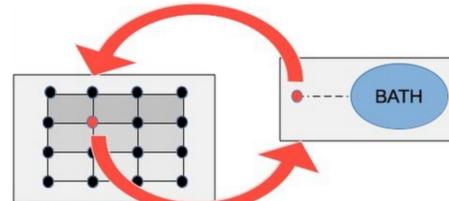
decoherence



Shin et al., PRB 88, 161412 (2013).

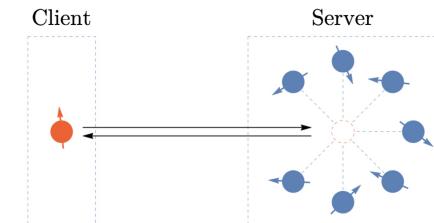
- Numerical method

DMFT solver



From Web page of LMU theoretical nanophysics.

- Universal quantum computation

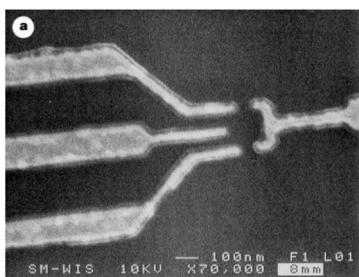


M. C. Tran & J. M. Taylor,  
arXiv:1801.04006

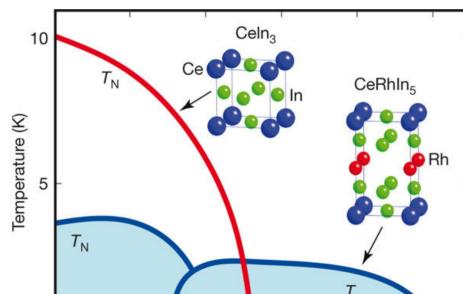
# Quantum impurity: a paradigm in many-body physics

- Relevance to a variety of physical systems:

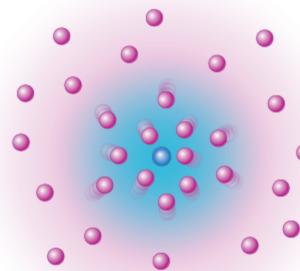
quantum dots



heavy electron materials



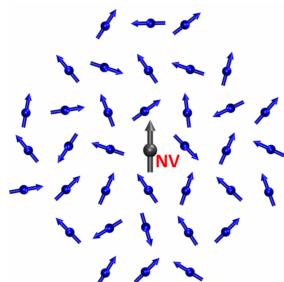
ultracold atoms



New theoretical approach to solve generic **quantum spin impurity** problems (including Kondo or central spin models)?

- Prototypical open system

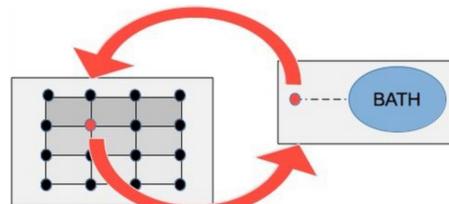
decoherence



Shin et al., PRB 88, 161412 (2013).

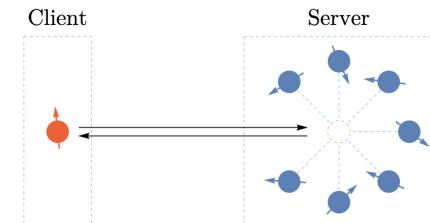
- Numerical method

DMFT solver



From Web page of LMU theoretical nanophysics.

- Universal quantum computation



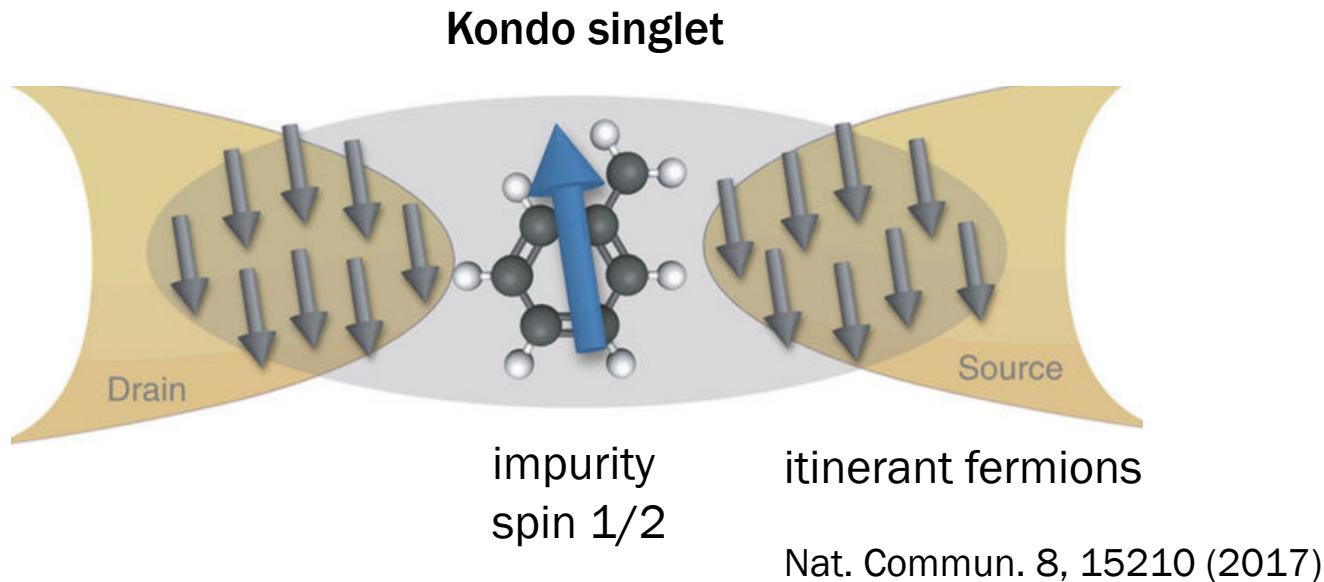
M. C. Tran & J. M. Taylor,  
arXiv:1801.04006

# New “disentangling” canonical transformation

Essential feature of **spin-impurity** systems:

**strong entanglement** between impurity and bath

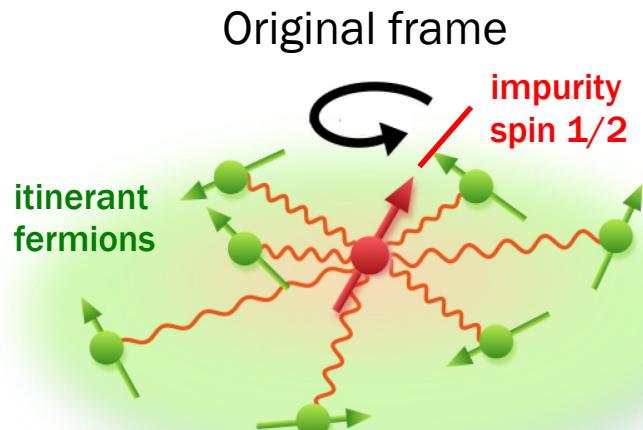
(\*this strong correlation invalidates the Markov approximation).



$$\frac{1}{\sqrt{2}} (|\uparrow\rangle_{\text{imp}} |\Psi_\downarrow\rangle - |\downarrow\rangle_{\text{imp}} |\Psi_\uparrow\rangle)$$

# New “disentangling” canonical transformation

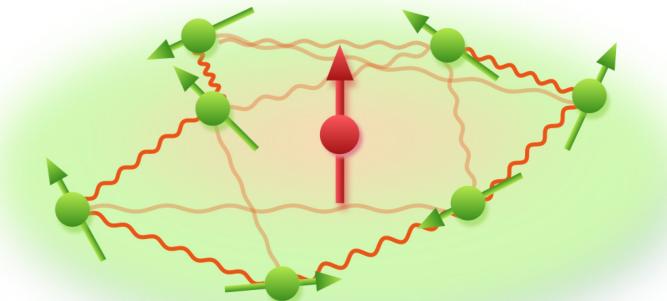
Construct a “disentangling” canonical transformation  $U$



canonical  
transformation

$$\hat{U}^{-1}$$

“Corotating” frame



$$|\Psi_{\text{tot}}\rangle$$

Strong entanglement

$$\hat{U}^{-1}|\Psi_{\text{tot}}\rangle = |\Psi_{\text{imp}}\rangle|\Psi_{\text{bath}}\rangle$$

Impurity spin is **decoupled** from bath!

Efficient variational states:

$$|\Psi_{\text{var}}\rangle = \hat{U}|\Psi_{\text{imp}}\rangle|\Psi_{\text{bath}}\rangle$$

↑  
Gaussian states

YA, T. Shi, M. C. Bañuls, J. I. Cirac and E. Demler, PRL 121, 026805 (2018).

YA, T. Shi, M. C. Bañuls, J. I. Cirac and E. Demler, PRB 98, 024103 (2018).

# New “disentangling” canonical transformation

1. Notice **parity** symmetry in a Hamiltonian of a generic spin-impurity system:

$$\hat{H} = \sum_{nl\alpha} h_{nl} \hat{\Psi}_{n\alpha}^\dagger \hat{\Psi}_{l\alpha} + \frac{1}{4} \sum_{\gamma=x,y,z} \hat{\sigma}_{\text{imp}}^\gamma \cdot \sum_{n\alpha\beta} g_n^\gamma \hat{\Psi}_{n\alpha}^\dagger \sigma_{\alpha\beta}^\gamma \hat{\Psi}_{n\beta} - \frac{h_i}{2} \hat{\sigma}_{\text{imp}}^z$$

**invariance** under  $\pi$  rotation of impurity and bath spins around z axis:

$$\hat{\sigma}^{x,y} \rightarrow \hat{\mathbb{P}}^{-1} \hat{\sigma}^{x,y} \hat{\mathbb{P}} = -\hat{\sigma}^{x,y} \rightarrow [\hat{H}, \hat{\mathbb{P}}] = 0$$

parity operator:  $\hat{\mathbb{P}} = e^{i\pi\hat{\sigma}_{\text{imp}}^z/2} \underbrace{e^{i\pi(\sum_n \hat{\sigma}_n^z + \hat{N})/2}}_{\text{bath parity: } \hat{\mathbb{P}}_{\text{bath}}}$

spin operator of bath mode  $n$ :  $\hat{\sigma}_n^z$

2. Find the unitary transformation  $U$  mapping the parity to the impurity spin-1/2:

$$\hat{U}^\dagger \hat{\mathbb{P}} \hat{U} = \hat{\sigma}_{\text{imp}}^x \rightarrow \text{impurity is decoupled !} \quad [\hat{H}, \hat{\sigma}_{\text{imp}}^x] = 0$$

## Transformed Hamiltonian

$$\begin{aligned} \hat{\tilde{H}} &= \hat{U}^\dagger \hat{H} \hat{U} \leftarrow \hat{U} = \frac{1}{\sqrt{2}} \left( 1 + i \hat{\sigma}_{\text{imp}}^y \hat{\mathbb{P}}_{\text{bath}} \right) && \text{effective bath-bath interaction mediated by the impurity} \\ &= \sum_{lm\alpha} h_{lm} \hat{\Psi}_{l\alpha}^\dagger \hat{\Psi}_{m\alpha} + \frac{1}{4} \sum_l \left[ g_l^x \sigma_{\text{imp}}^x \hat{\sigma}_l^x + \hat{\mathbb{P}}_{\text{bath}} (-ig_l^y \hat{\sigma}_l^y + g_l^z \sigma_{\text{imp}}^x \hat{\sigma}_l^z) \right] \\ &\quad \uparrow \sigma_{\text{imp}}^x = \pm 1 \text{ is c-number.} \end{aligned}$$

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**Time-dependent variational principle**



**Efficient variational **imaginary-** and **real-time** evolutions applicable to **in-** and **out-of-equilibrium** problems!**

$$U^\dagger \hat{\mathbb{P}} U = \hat{\sigma}_{\text{imp}}^x \rightarrow \text{impurity is decoupled !}$$

$$[\tilde{H}, \hat{\sigma}_{\text{imp}}^x] = 0$$

Transformed Hamiltonian

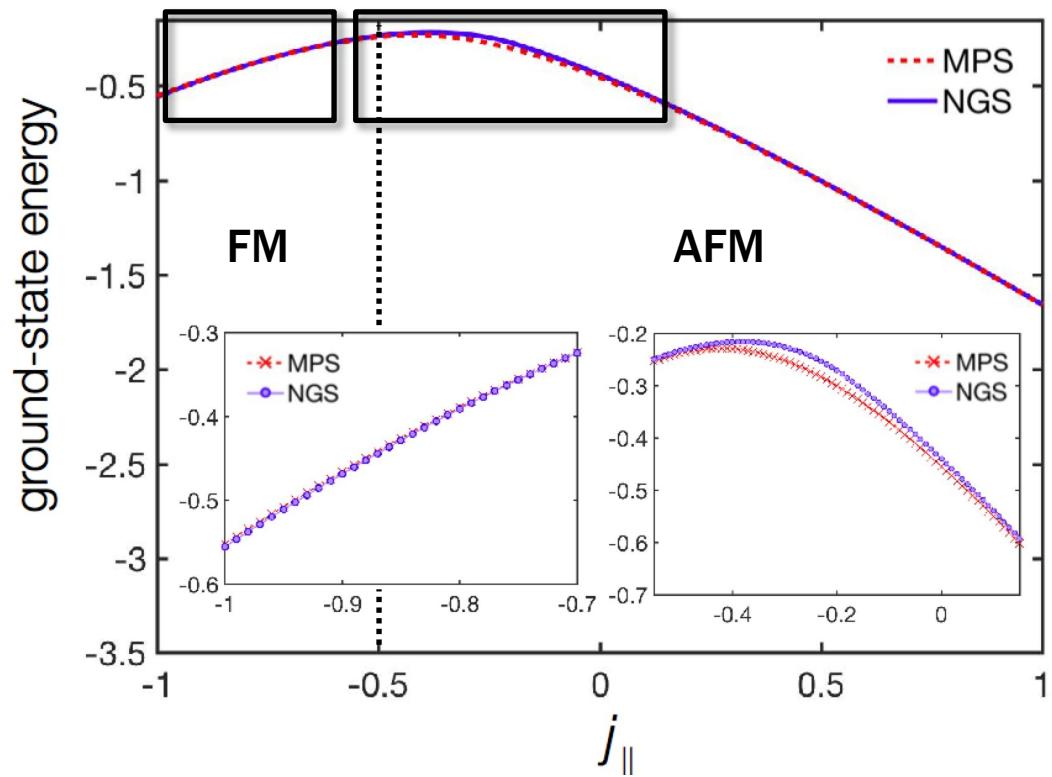
$$\begin{aligned} \hat{\tilde{H}} &= \hat{U}^\dagger \hat{H} \hat{U} & \hat{U} &= \frac{1}{\sqrt{2}} \left( 1 + i \hat{\sigma}_{\text{imp}}^y \hat{\mathbb{P}}_{\text{bath}} \right) && \text{effective bath-bath interaction} \\ &= \sum_{lm\alpha} h_{lm} \hat{\Psi}_{l\alpha}^\dagger \hat{\Psi}_{m\alpha} + \frac{1}{4} \sum_l \left[ g_l^x \sigma_{\text{imp}}^x \hat{\sigma}_l^x + \hat{\mathbb{P}}_{\text{bath}} (-ig_l^y \hat{\sigma}_l^y + g_l^z \sigma_{\text{imp}}^x \hat{\sigma}_l^z) \right] && \text{mediated by the impurity} \\ && \sigma_{\text{imp}}^x = \pm 1 && \text{is c-number.} \end{aligned}$$

# Benchmark tests with MPS results in and out of equilibrium

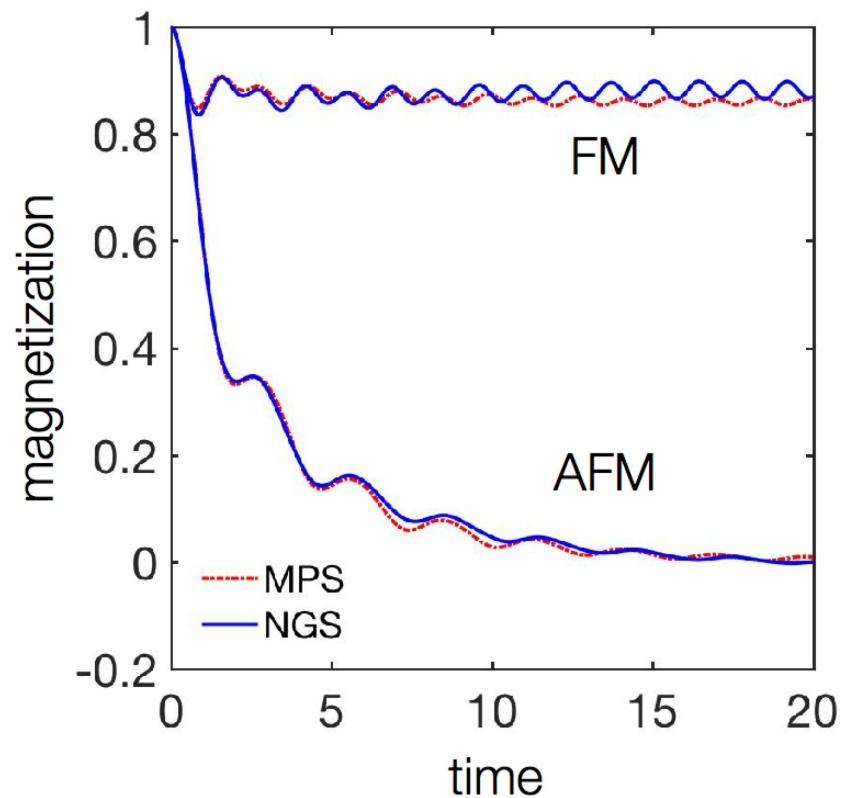
## Ground-state energies ( $L=200$ )

red: MPS ( $D=280$ )

blue: our variational states



## Quench dynamics of the impurity

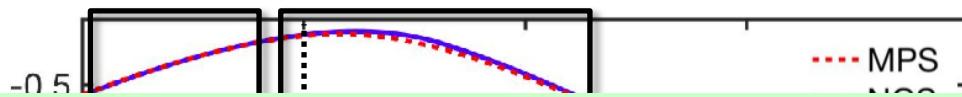
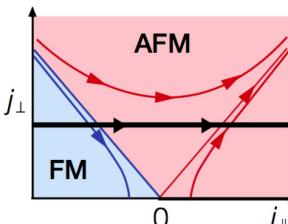


# Benchmark tests with MPS results in and out of equilibrium

Ground-state energies ( $L=200$ )

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State-of-the-art accuracies with  
orders of magnitude fewer variational parameters:

# of parameters

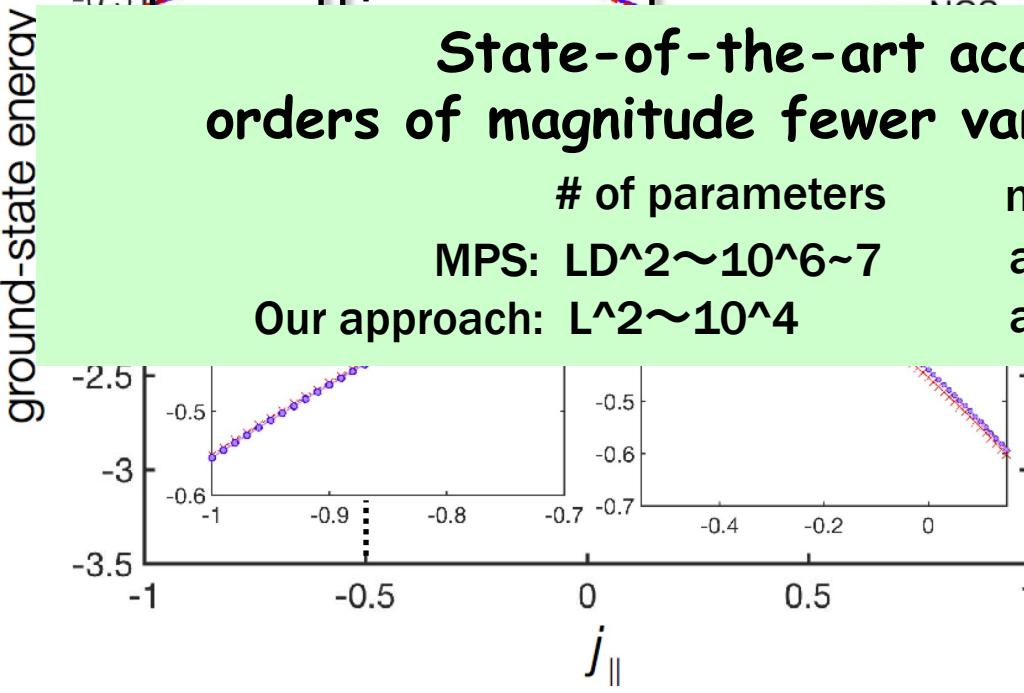
MPS:  $LD^2 \sim 10^6 \sim 7$

Our approach:  $L^2 \sim 10^4$

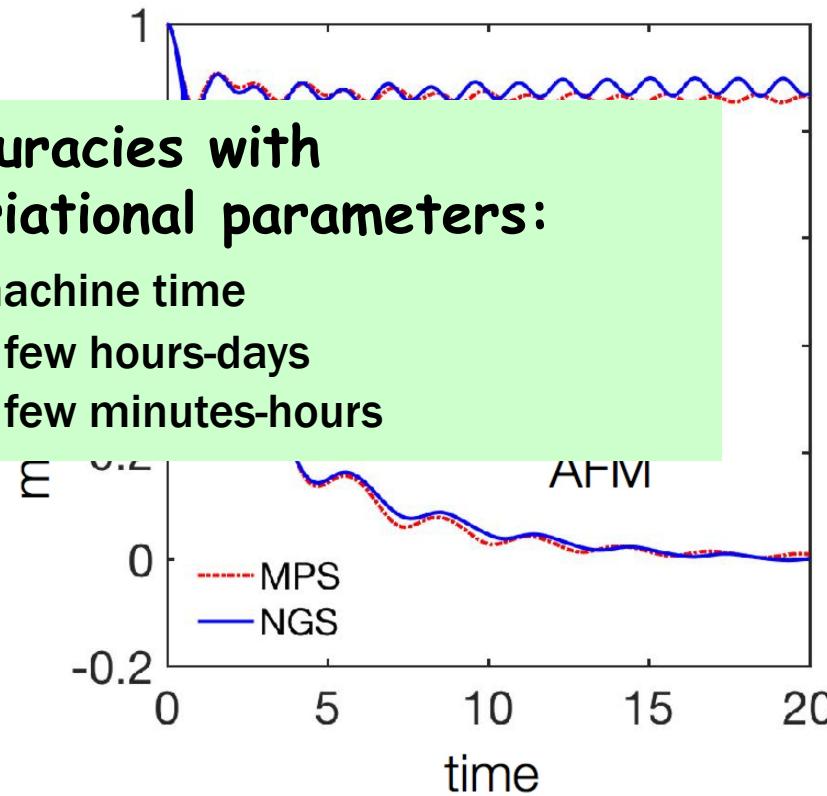
machine time

a few hours-days

a few minutes-hours



Quench dynamics  
of the impurity

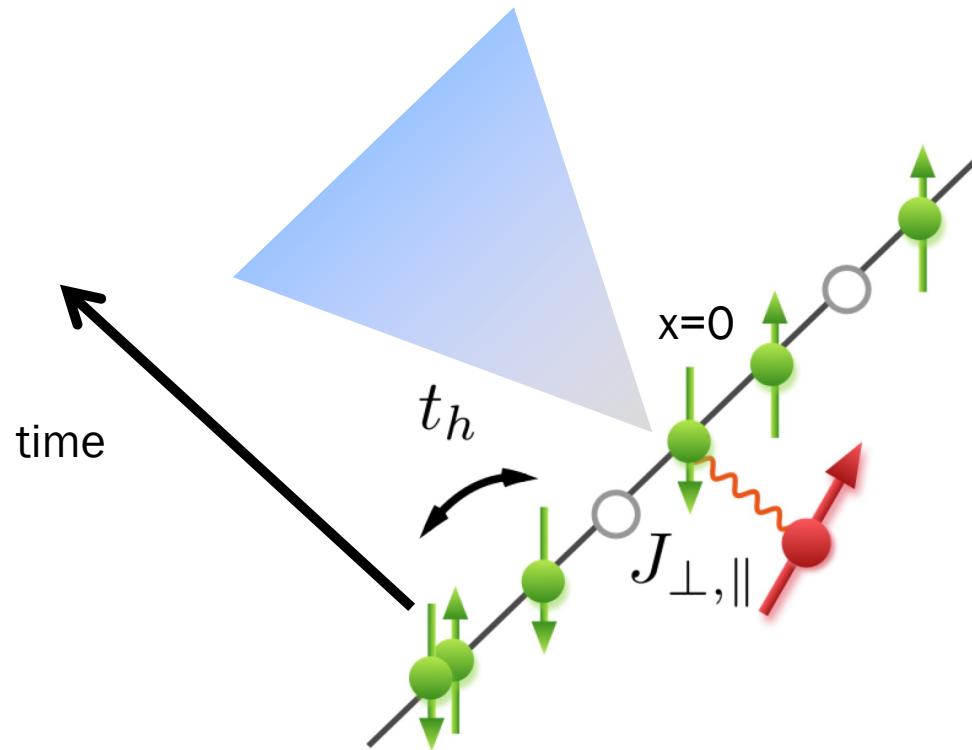
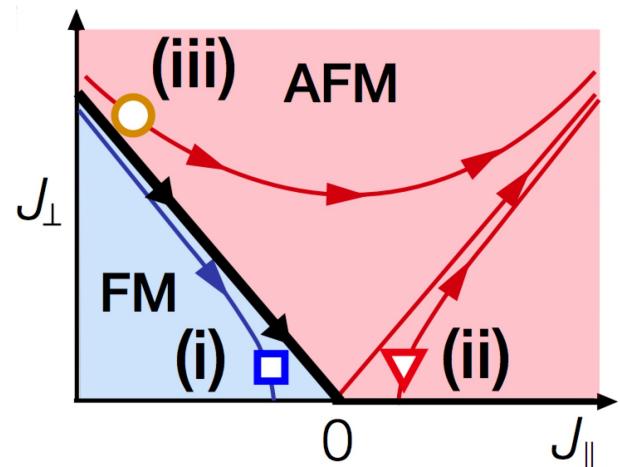


# Spatiotemporal dynamics of correlations after quench

initial state:  $|\Psi_0\rangle = |\uparrow\rangle_{\text{imp}} |\text{Fermi sea}\rangle$

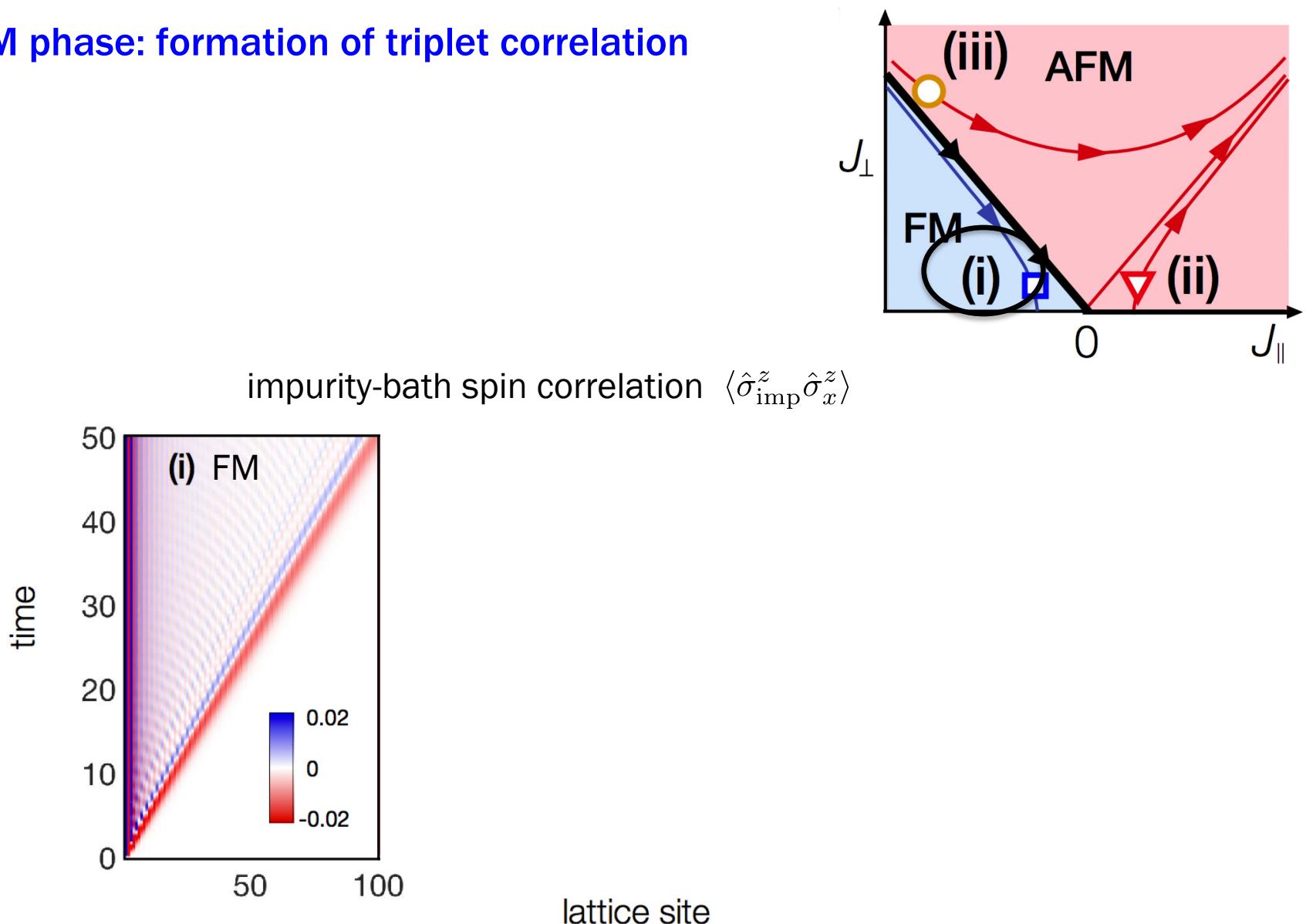
Quench the impurity-bath interaction at site  $x=0$ .

Real-time formation and spread of  
the impurity-bath correlation?



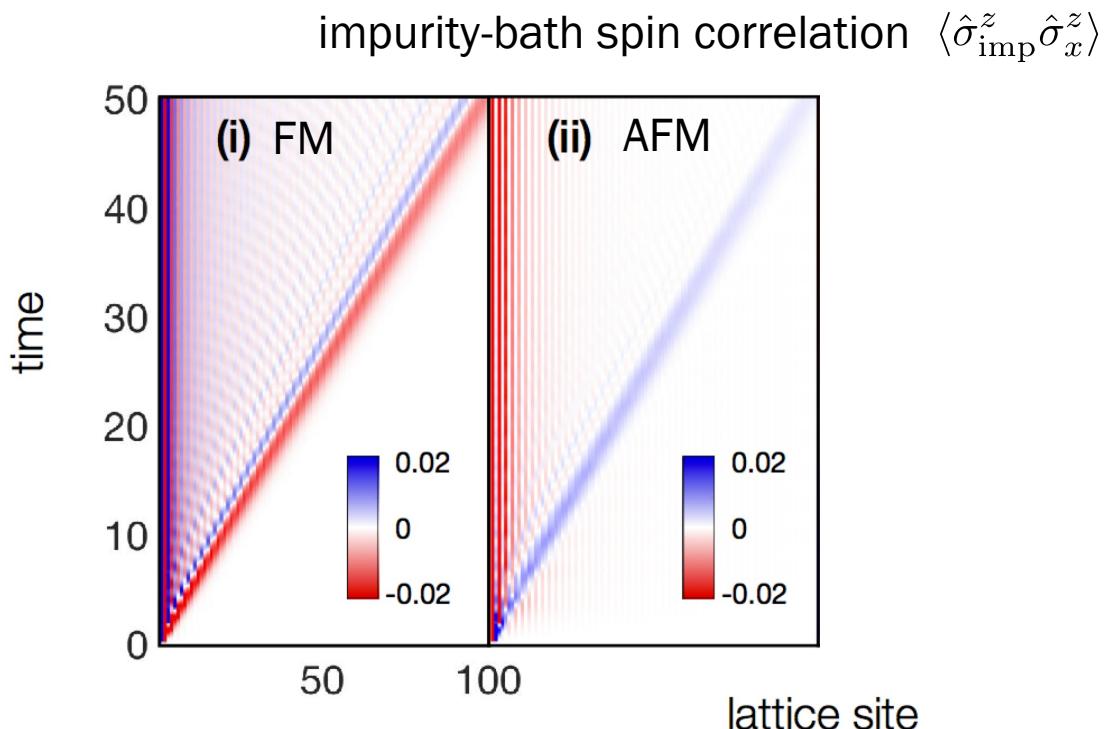
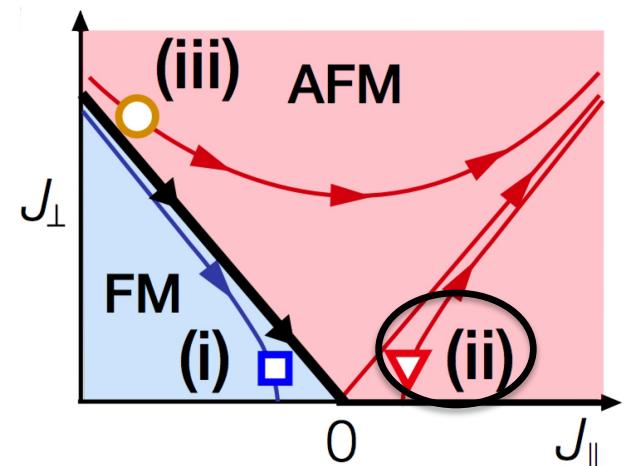
# Spatiotemporal dynamics of correlations after quench

## (i) FM phase: formation of triplet correlation



# Spatiotemporal dynamics of correlations after quench

- (i) FM phase: formation of triplet correlation
- (ii) AFM phase: formation of singlet correlation



# Spatiotemporal dynamics of correlations after quench

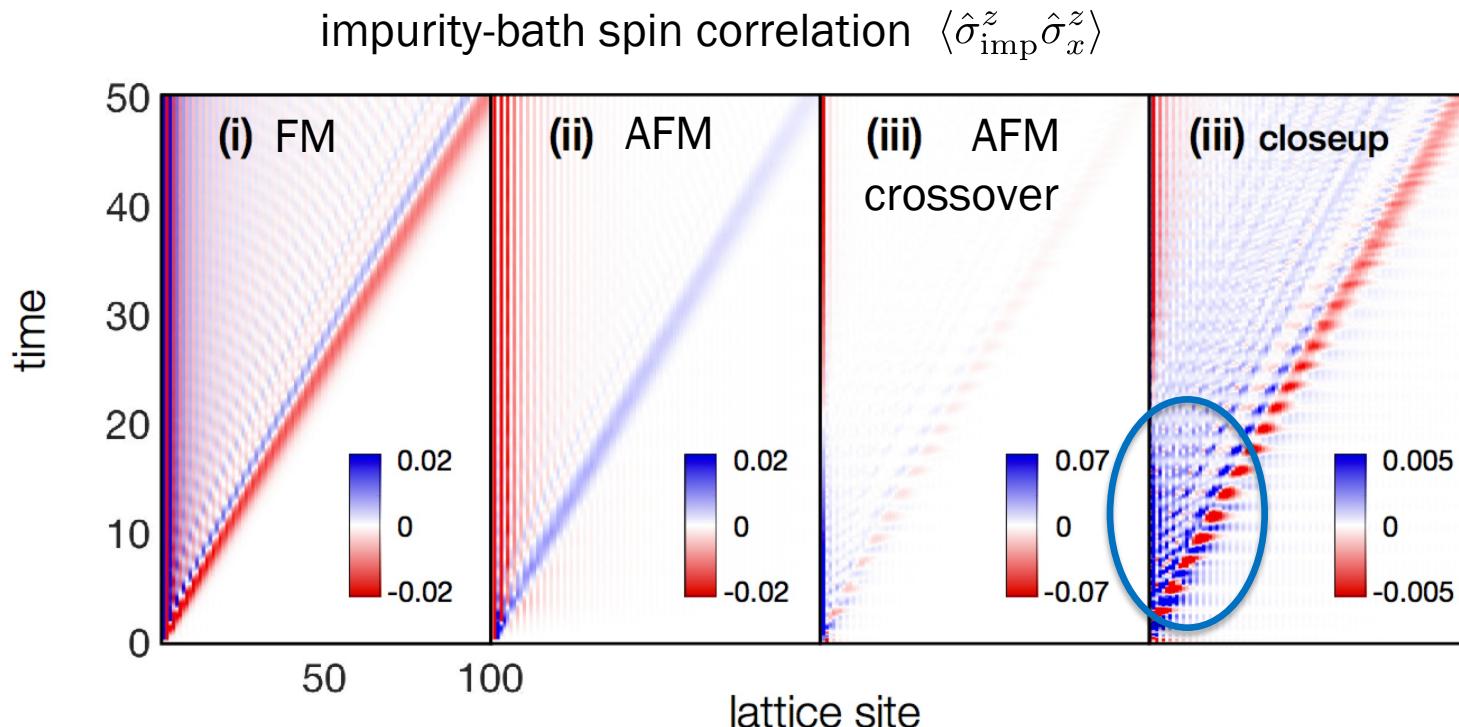
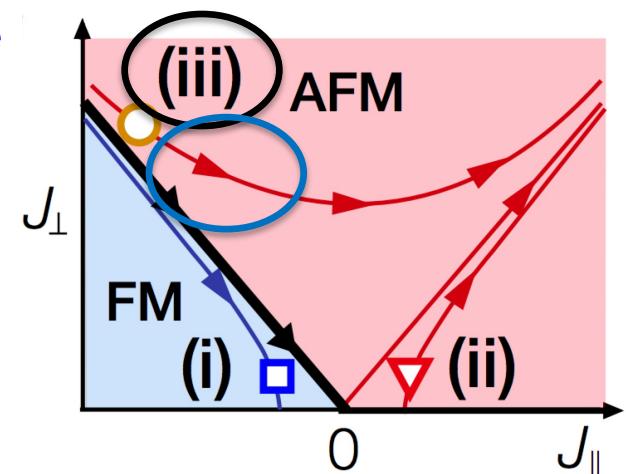
## (iii) FM $\rightarrow$ AFM crossover : mimicking RG flow in time

short-time = high-energy physics  $\rightarrow$  FM ( $J_z < 0$ )

long-time = low-energy physics  $\rightarrow$  AFM ( $J > 0$ )

Effective temperature  
after quench:  $T_{\text{eff}} \simeq \frac{2}{\pi t}$

Nordlander et al., PRL 83, 808 (1999)



# Spatiotemporal dynamics of correlations after quench

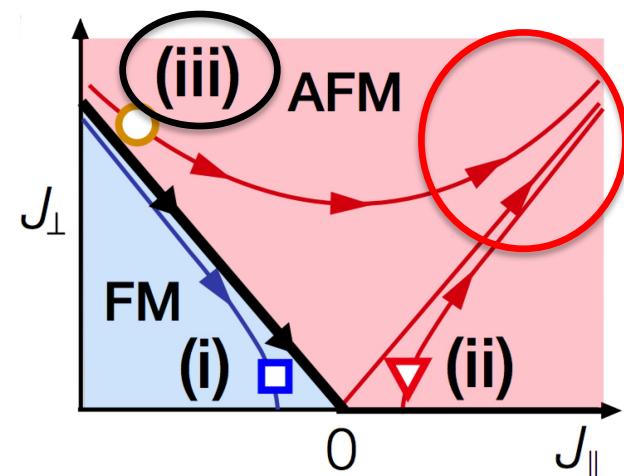
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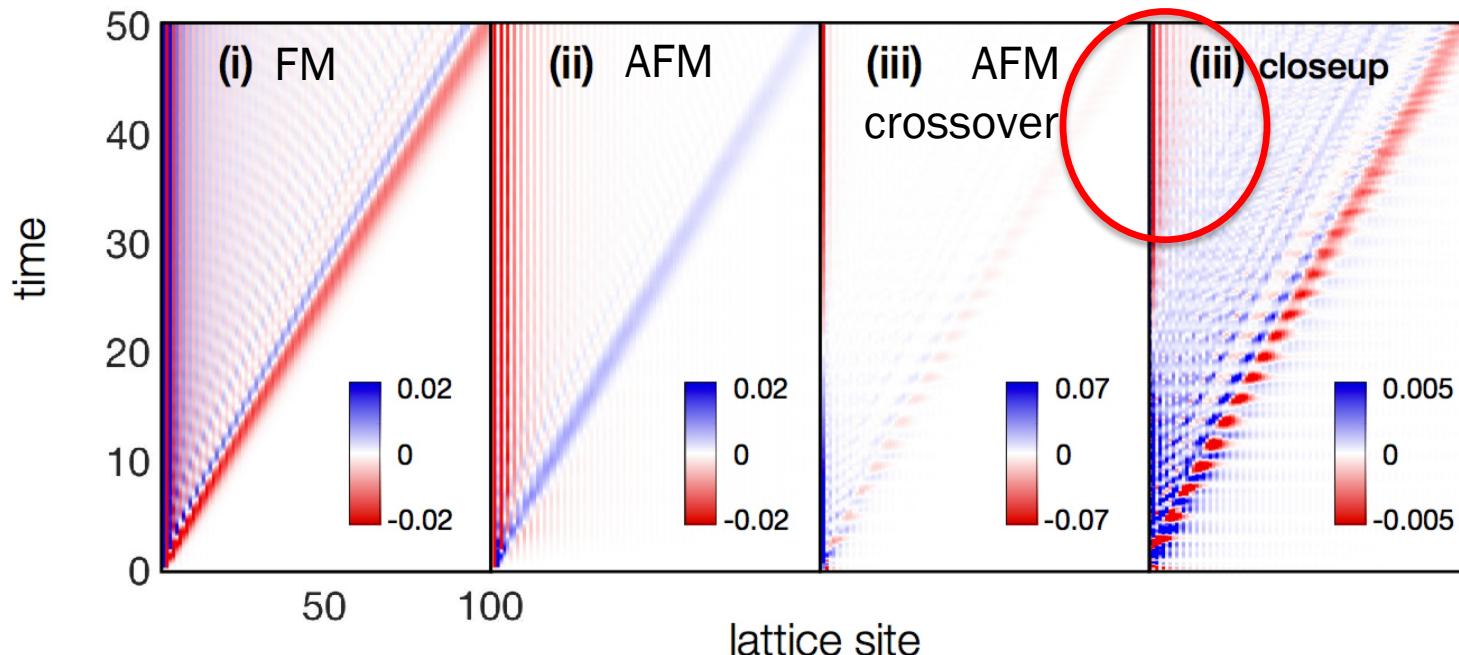
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impurity-bath spin correlation  $\langle \hat{\sigma}_{\text{imp}}^z \hat{\sigma}_x^z \rangle$



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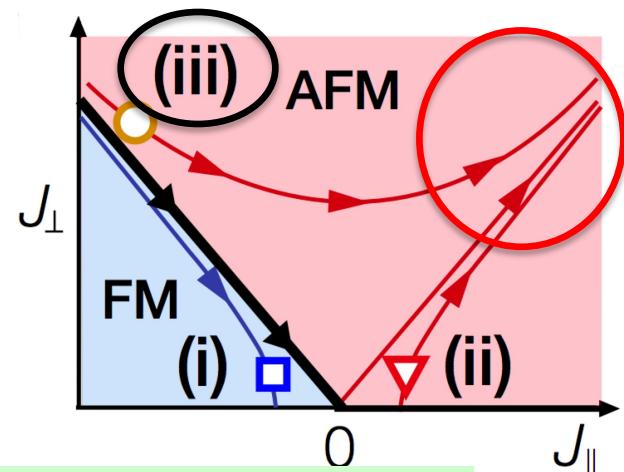
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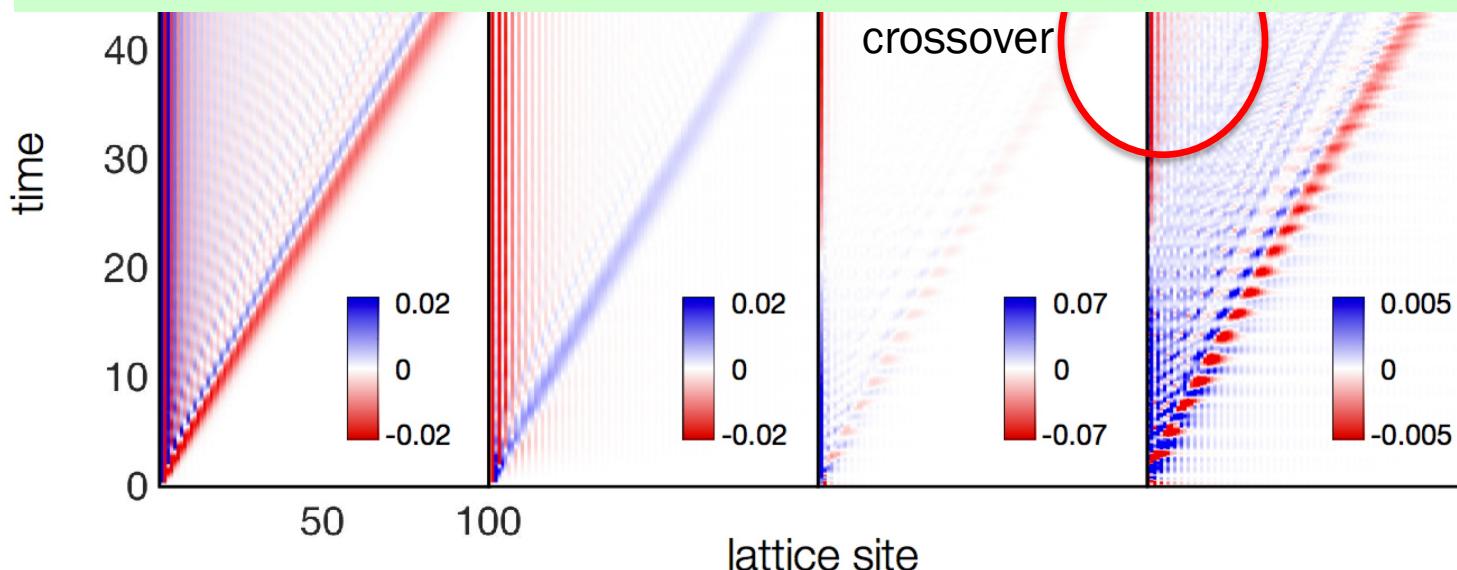
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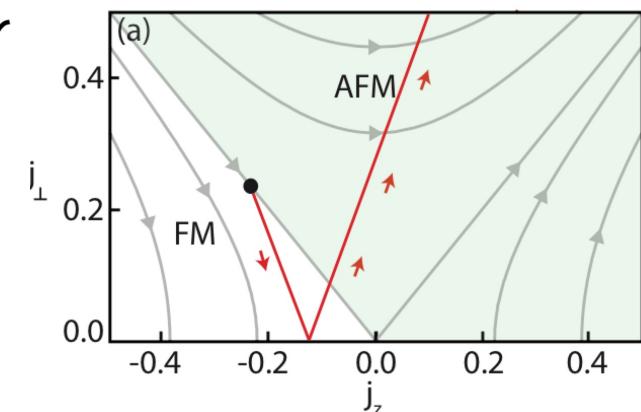
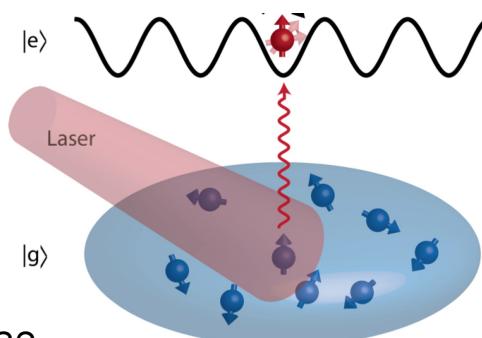
Long-time many-body dynamics  
in previously unexplored regime.



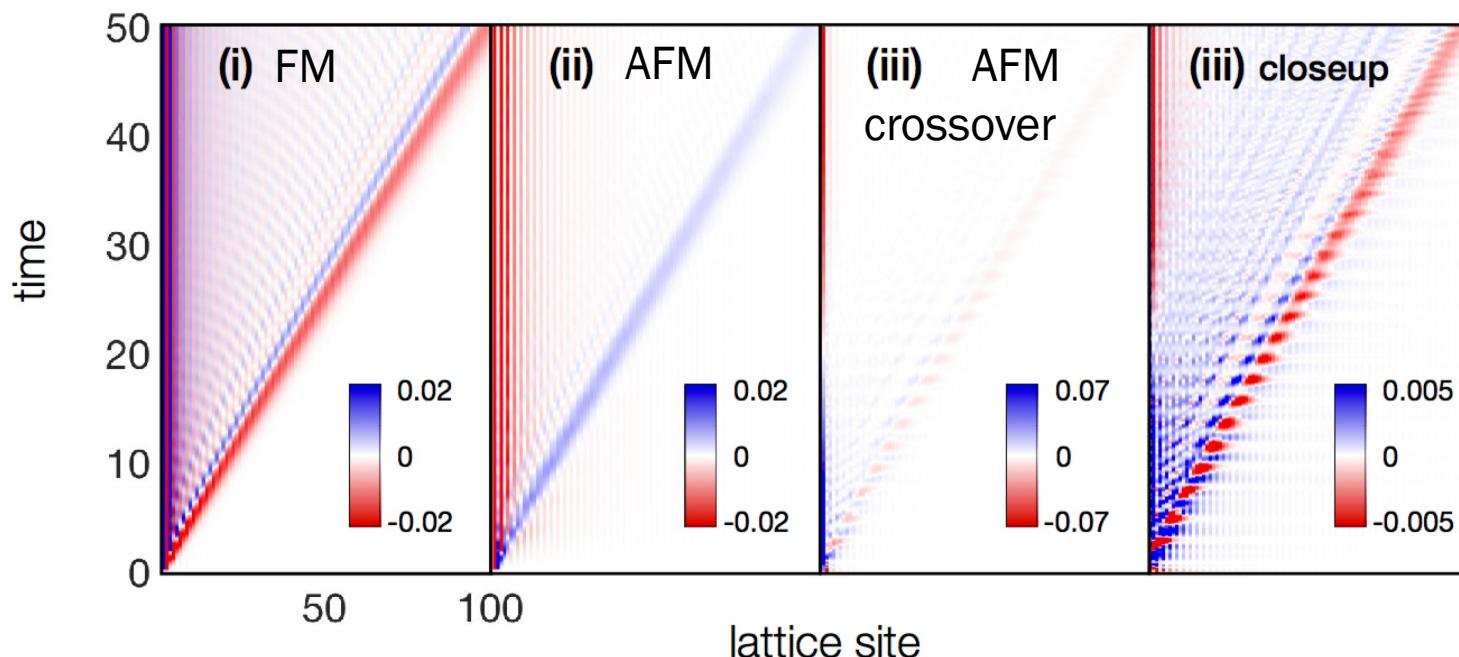
# Spatiotemporal dynamics of correlations after quench

## Experimental proposal with Yb atoms:

M. Kanász-Nagy, YA, T. Shi, C. P. Moca,  
T. N. Ikeda, S. Foelling, J. I. Cirac,  
G. Zarand and E. Demler, arXiv:1801.01132



- ✓ Spatiotemporal dynamics can be measured with QGM.
- ✓ Both FM and AFM regimes can be reached by Floquet engineering.



# Summary: Quantum Many-Body Physics in Open Systems

## I: Many-body physics under continuous observation & Non-Hermitian physics

- New types of critical phenomena, nonequilibrium dynamics and topology.

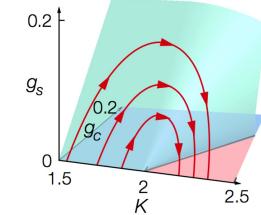
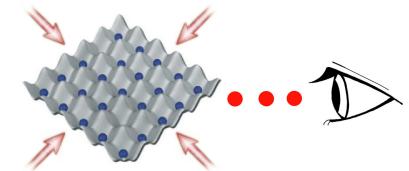


YA and M. Ueda, PRL 115, 095301 (2015).

YA, S. Furukawa and M. Ueda, Nat. Commun. 8, 15791 (2017).

YA and M. Ueda, PRL 120, 185301 (2018).

Z. Gong, YA, K. Kawabata, K. Takasan, S. Higashikawa and M. Ueda, arXiv:1802.07964 [to appear in PRX].



## II: Strongly correlated open quantum systems: nonequilibrium quantum impurity

Versatile and efficient theoretical approach to generic spin-impurity systems.

- Applicable to in- and out-of-equilibrium problems.

YA, T. Shi, M. C. Bañuls, J. I. Cirac and E. Demler, PRL 121, 026805 (2018).

YA, T. Shi, M. C. Bañuls, J. I. Cirac and E. Demler, PRB 98, 024103 (2018).

