

# ワイルフェルミオンの非断熱なポンプと ニールセン・二宮の定理の破れ

University of Tokyo  
**Sho HIGASHIKAWA**

Collaborator  
RIKEN CEMS

**Masaya NAKAGAWA**  
University of Tokyo, RIKEN CEMS  
**Masahito UEDA**



# Message

**Exotic topological band structure** can be realized in periodically driven (Floquet) system.

e.g. **Weyl fermion**

- Topological semimetal
- **Single Weyl fermion: Impossible** to realize in equilibrium lattice system (Nielsen-Ninomiya theorem)

T. Kitagawa, et.al., Phys. Rev. B 82, 235114 (2010).

M. S. Rudner, et.al., Phys. Rev. X 3, 031005 (2013).

N. Nielsen and M. Ninomiya, Nucl. Phys. B 185, 20 (1981), 193, 173 (1981).

# Floquet theory

- **Periodically driven (Floquet)** system

$$i \partial_t \psi_F(t) = H(t) \psi_F(t)$$

$H(t)$ : driving Hamiltonian ( $H(t + T) = H(t)$ ,  $T$ : period)

→ Difficult to analyze ☹

→ Map to **static** system.

1. Floquet operator  $U_F$ , 2. Effective Hamiltonian  $H_{\text{eff}}$

# Floquet theory

• Periodically driven (Floquet) system:  $i\partial_t\psi_F(t) = H(t)\psi_F(t)$

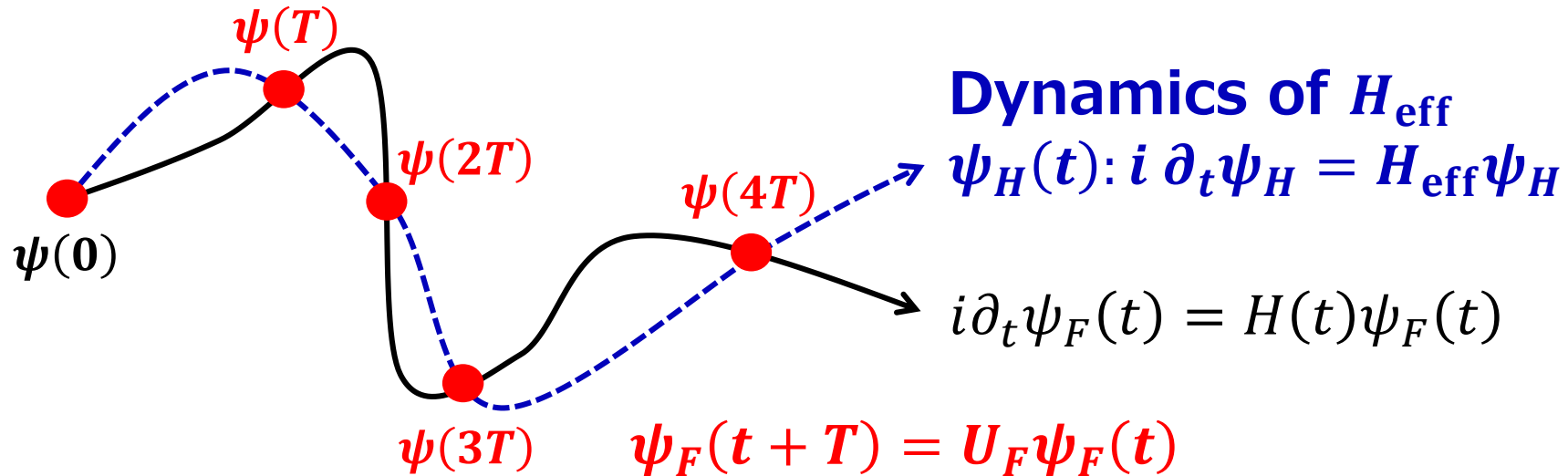
1. Floquet operator  $U_F := \mathcal{T} \exp\left(-i\int_0^T H(t)dt\right)$

Time evolution in one period:  $\psi_F(t+T) = U_F\psi_F(t)$

→ **stroboscopic description**  $\psi_F(0), \psi_F(T), \psi_F(2T), \psi_F(3T) \dots$

2. Effective Hamiltonian  $H_{\text{eff}}$  ( $U_F =: \exp(-iH_{\text{eff}}T)$ )

**Floquet dynamics** mimic **dynamics of  $H_{\text{eff}}$** :  $\psi_F(nT) = \psi_H(nT)$ .



# Band topology in Floquet system

- Periodically driven system + **lattice**  $\rightarrow$  momentum  $\mathbf{k}$ :

$$\rightarrow U_F = \sum_{\mathbf{k}} U(\mathbf{k}), H_{\text{eff}}(\mathbf{k}) = \sum_{\mathbf{k}} h(\mathbf{k})$$

$$\text{Time evolution: } U(\mathbf{k}) = \sum_{\alpha} e^{-i\tilde{\epsilon}_{\alpha}(\mathbf{k})T} |\mathbf{k}, \alpha\rangle\langle\mathbf{k}, \alpha|$$

$$\text{Effective Bloch Hamiltonian: } h(\mathbf{k}) = \sum_{\alpha} \tilde{\epsilon}_{\alpha}(\mathbf{k}) |\mathbf{k}, \alpha\rangle\langle\mathbf{k}, \alpha|$$

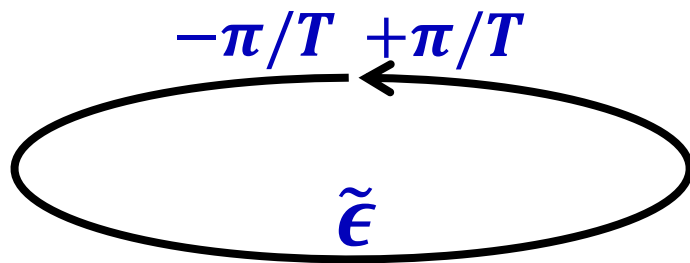
$\rightarrow$  **Band topology**

$\tilde{\epsilon}_{\alpha}(\mathbf{k})$ : Quasi energy

$$\rightarrow \text{Ambiguity of } 2\pi/T: e^{-i\tilde{\epsilon}_{\alpha}(\mathbf{k})T} = e^{-i(\tilde{\epsilon}_{\alpha}(\mathbf{k}) + \frac{2\pi}{T})T}$$

Periodicity in quasi energy

$\rightarrow$  **Unique topology**



c.f. Equilibrium system

No periodicity

$$\epsilon = -\infty \quad \epsilon \quad \epsilon = \infty$$

A diagram showing a non-periodic energy spectrum in the equilibrium system. A horizontal line with an arrow pointing to the right is shown. The left end of the line is labeled  $\epsilon = -\infty$  and the right end is labeled  $\epsilon = \infty$ . A blue  $\epsilon$  is centered above the line.

# 1 dimensional analogue of the story

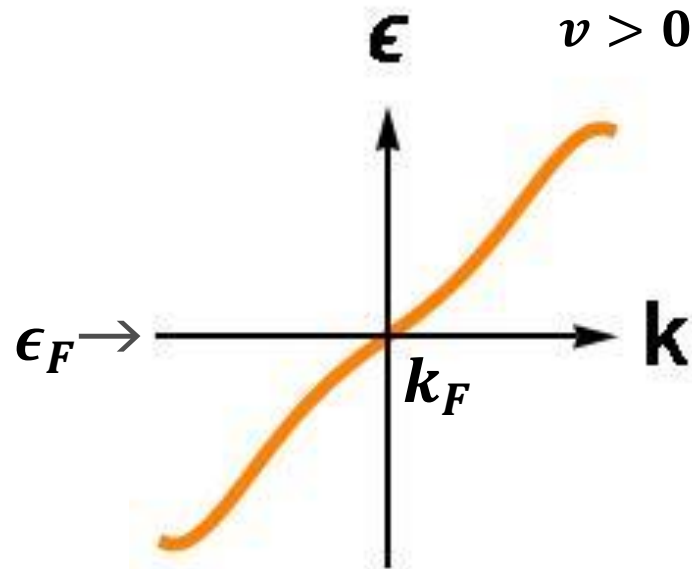
	Equilibrium (lattice) system	<b>Periodically driven system</b>
<b>1 dim. spinless fermion</b>	Single <b>chiral</b> fermion → <b>Impossible</b> 1 dim Nielsen-Ninomiya	Single <b>chiral</b> fermion → <b>Possible</b> <b>Thouless pump</b>

# 1 dim chiral fermion & Nielsen-Ninomiya theorem

- Spinless fermion in 1 dimension  
→ 2 types of (chiral) fermions near fermi surface

## Chirality+1 fermion

$$\epsilon(k) = +v(k - k_F)$$



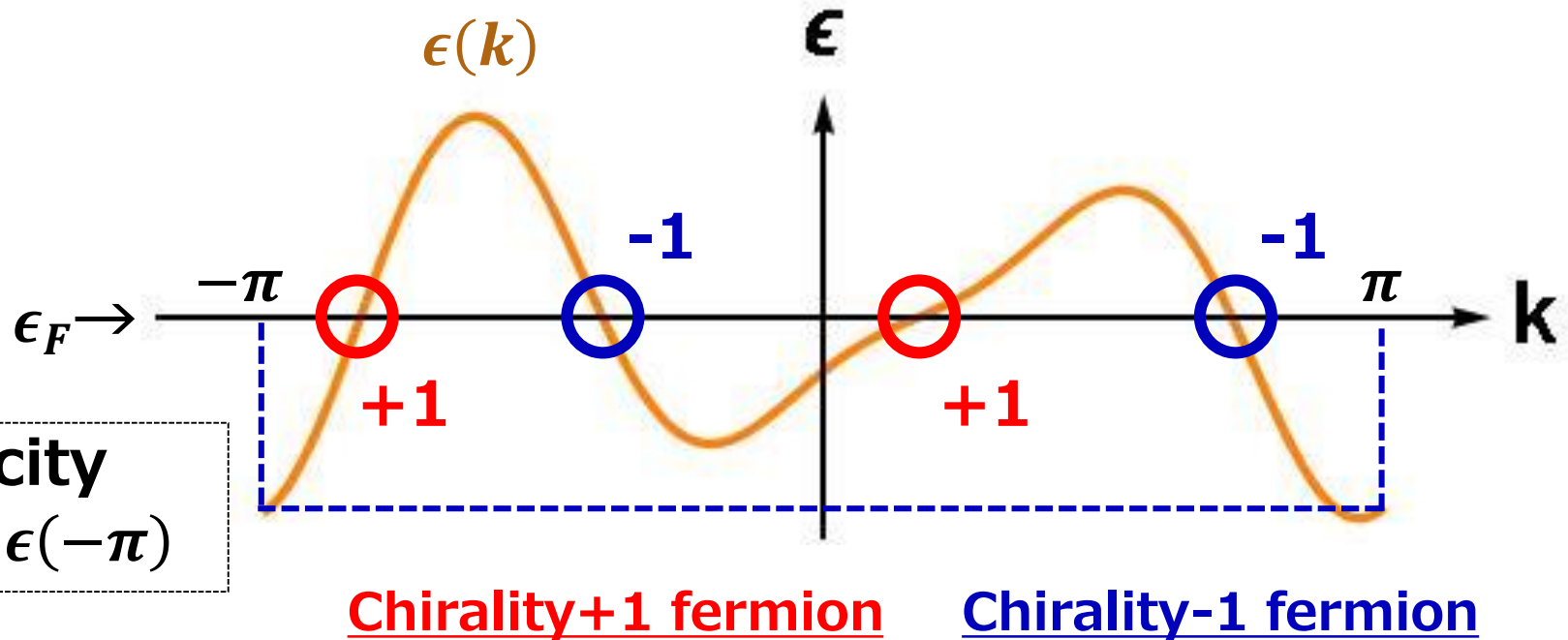
## Chirality-1 fermion

$$\epsilon(k) = -v(k - k_F)$$

$\epsilon$   $k$

# 1 dim chiral fermion & Nielsen-Ninomiya theorem

- 1 dim lattice system  
→ Single chiral fermion: Impossible !  
(1 dim. Nielsen-Ninomiya theorem)





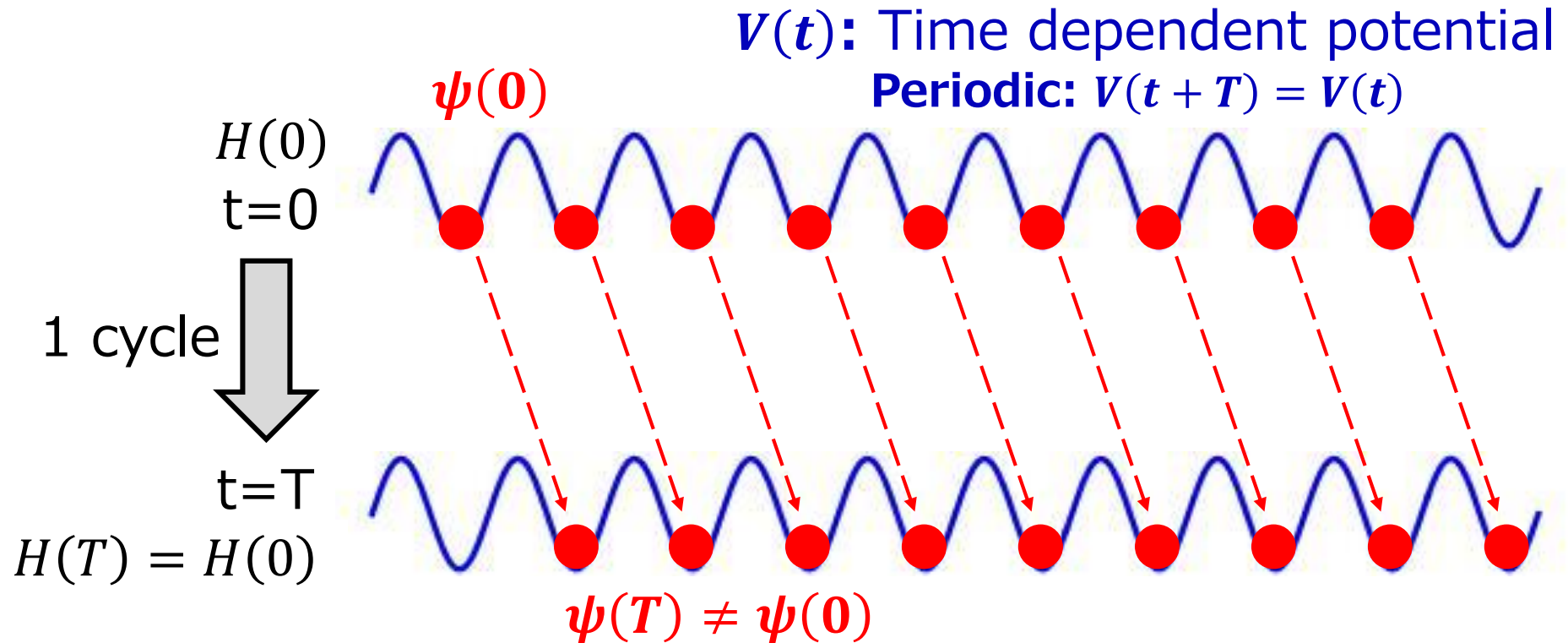
# 1 dimensional analogue of the story

	Equilibrium (lattice) system	<b>Periodically driven system</b>
<b>1 dim. spinless fermion</b>	Single <b>chiral</b> fermion → <b>Impossible</b> 1 dim Nielsen-Ninomiya	Single <b>chiral</b> fermion → <b>Possible</b> <b>Thouless pump</b>

T. Kitagawa, et.al., Phys. Rev. B 82, 235114 (2010).  
D. J. Thouless, Phys. Rev. B 27 6083 (1983).

# Thouless pump as periodically driven system

- Thouless pump
  - 1 dim lattice + adiabatic parameter change  $H(t)$   
**Periodic:**  $H(t + T) = H(t)$
  - 1 cycle  $\rightarrow$  **1 site translation:**  $\psi(T) = \exp(-ika)\psi(0)$

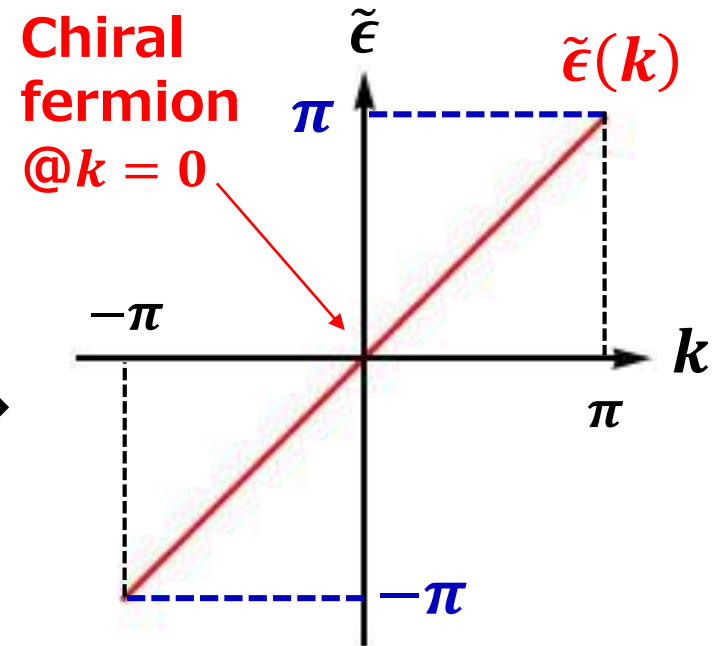
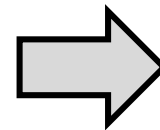
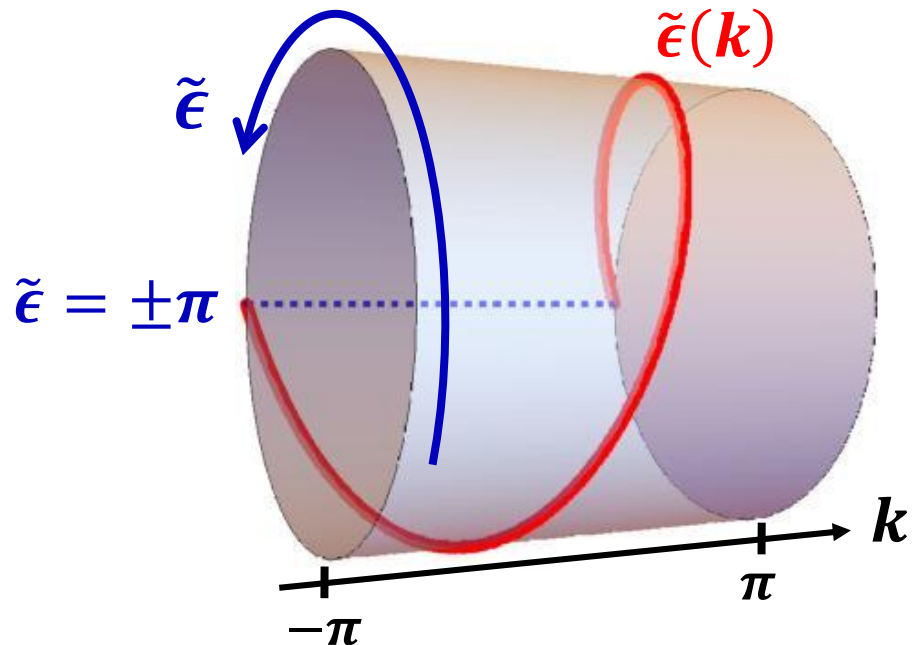


# Thouless pump as periodically driven system

- 1 cycle  $\rightarrow$  1 site translation:  $\psi(T) = \exp(-ik)\psi(0)$   
Floquet operator  $U_F$  [ $\psi(T) = U_F\psi(0)$ ]  
 $\rightarrow$  Floquet operator  $U_F = \exp(-ik)$   $\times T = 1, a = 1$   
 $\rightarrow$  Effective Hamiltonian  $H_{\text{eff}} = i \log U_F = k$   
**Single chiral fermion at  $k = 0$**   
 $\rightarrow$  **Chiral transport in Thouless pump**

## Change in Topology

$$U_F = \exp(-i\tilde{\epsilon}(k)) = \exp[-i(\tilde{\epsilon}(k) + 2\pi)]$$



# 1 dimensional analogue of the story

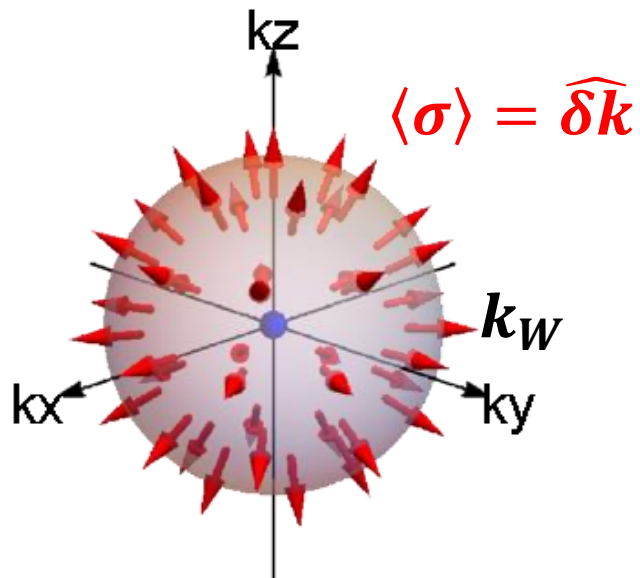
	Equilibrium (lattice) system	<b>Periodically driven system</b>
1 dim. spinless fermion	Single chiral fermion → Impossible 1 dim Nielsen-Ninomiya	Single chiral fermion → Possible Thouless pump
3 dim. spinful fermion	<b>Single</b> Weyl fermion → Impossible <b>3-dim Nielsen-Ninomiya</b>	Single Weyl fermion → Possible

# Weyl fermion(WF) & chiral magnetic effect

- Spinful  $(\sigma_x, \sigma_y, \sigma_z)$  fermion, 3D  $(k_x, k_y, k_z)$   
Semimetal:  $\mathbf{h}(\mathbf{k}_W) = \mathbf{0} \rightarrow$  Dispersion near  $k_W$ :  $\delta\mathbf{k} = \mathbf{k} - \mathbf{k}_W \simeq \mathbf{0}$  ?

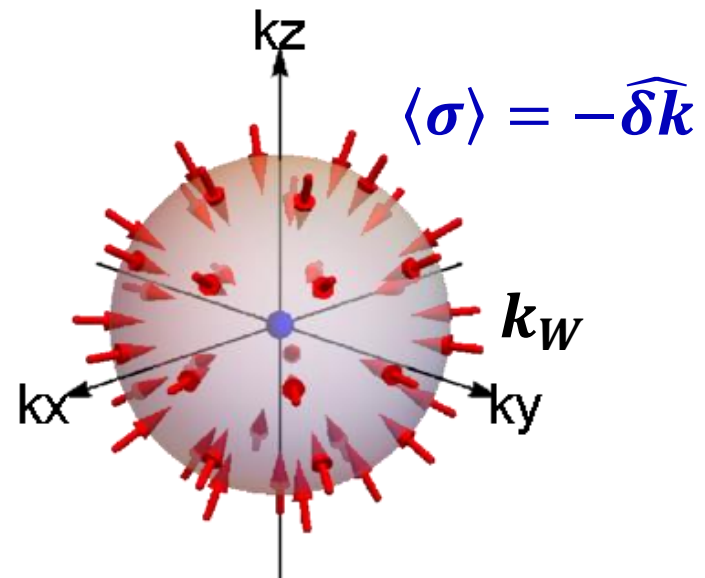
## Left handed WF

- $\mathbf{h}(\mathbf{k}) \simeq +\boldsymbol{\sigma} \cdot \delta\mathbf{k}$
- 1. Spin momentum locking  
 $\langle \mathbf{k} | \boldsymbol{\sigma} | \mathbf{k} \rangle = +\widehat{\delta\mathbf{k}}$
- 2. Hedgehog



## Right handed WF

- $\mathbf{h}(\mathbf{k}) \simeq -\boldsymbol{\sigma} \cdot \delta\mathbf{k}$
- 1.  $\langle \mathbf{k} | \boldsymbol{\sigma} | \mathbf{k} \rangle = -\widehat{\delta\mathbf{k}}$
- 2. Antihedgehog  
 $\boldsymbol{\sigma} \cdot \delta\mathbf{k} = \sigma_x \delta k_x + \sigma_y \delta k_y + \sigma_z \delta k_z$



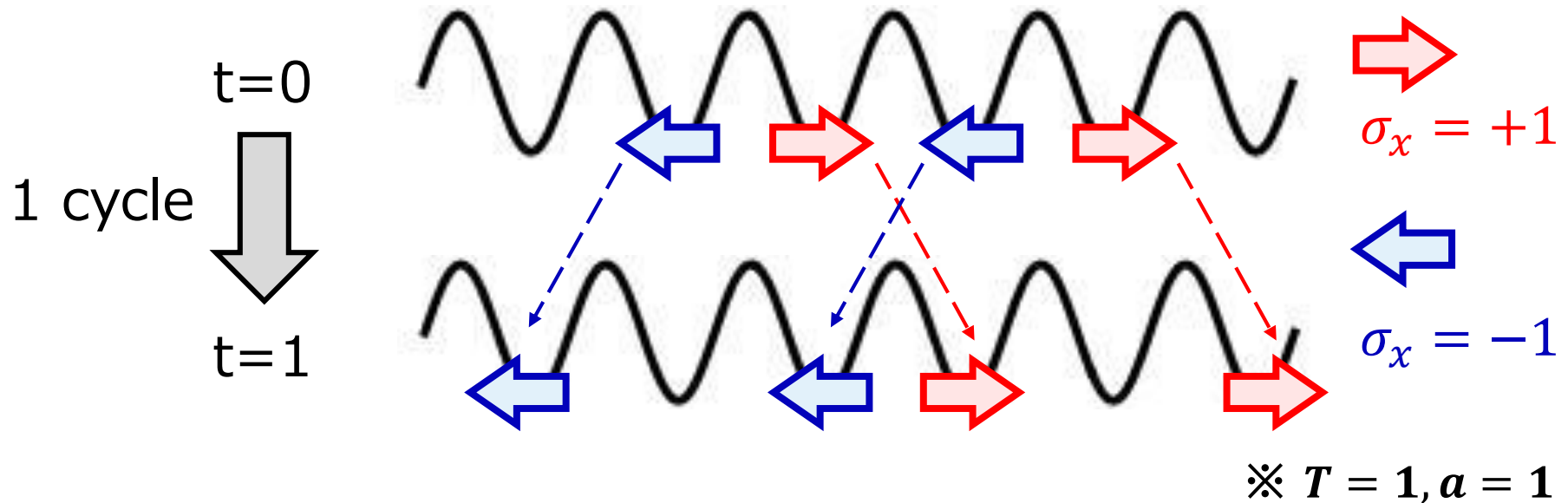
# Weyl fermion & chiral magnetic effect

- Single Weyl fermion + magnetic field  $B$ 
  - Current  $j$  flows parallel to  $B$
  - Left handed  $j_L = +cB$ , Right handed  $j_R = -cB$
- 3D lattice system
  - Equal number of left & right handed fermions (Nielsen-Ninomiya theorem)
  - $j_{\text{tot}} = j_L + j_R = j_L + (-j_L) = 0$ : No chiral current  $j_{\text{tot}} \parallel B$  ☹ ☹

K. Fukushima, D. E. Kharzeev, and H. J. Warringa, Phys. Rev. D 78, 074033 (2008).  
A. A. Zyuzin and A. A. Burkov, Phys. Rev. B 85, 165110 (2012).  
A. A. Zyuzin, S. Wu, and A. A. Burkov, Phys. Rev. B 86, 115113 (2012).

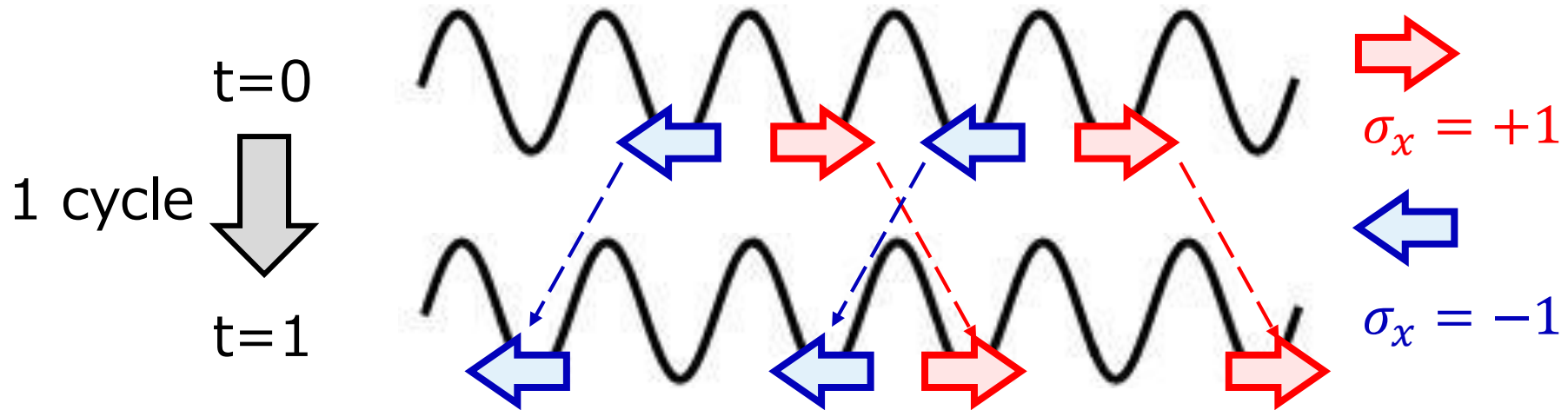
# Helical pump

- "Spinful" Thouless pump: 1dim lattice + spin d.o.f
  - **+1 site** translation for  $\sigma_x = +1$
  - & **-1 site** translation for  $\sigma_x = -1$
- Possible to realize in ultracold atomic gas



J. Budich, Y. Hu, and P. Zoller, Phys. Rev. Lett 118, 105302 (2017).

# Helical pump



- Time evolution:  $U(k_x) = e^{-ik_x}|k_x, +\rangle\langle k_x, +| + e^{ik_x}|k_x, -\rangle\langle k_x, -|$   
 $U(k_x) = \exp(-i\sigma_x k_x) \rightarrow H_{\text{eff}}(k_x) = i \log U(k_x) = \sigma_x k_x$

## Helical fermion

$$H = \sigma_x k_x$$

## Helical pump

$$U_1(k) = \exp(-i\sigma_x k_x)$$



# Supplemental Materials

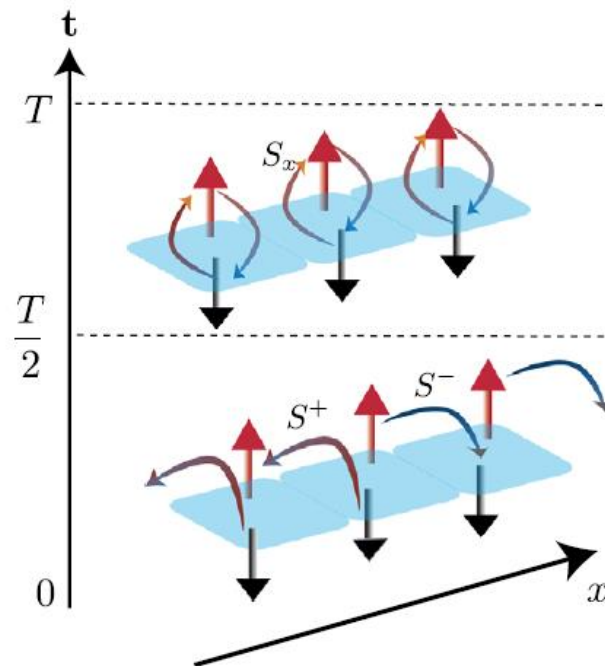
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# Helical pump in ultracold atomic gas

•  $U(k_x) = \exp(-ik_x\sigma_x) = \exp(-iH_2T/2) \exp(-iH_1T/2)$   
= successive application of

$H_1 = -(\pi/T)(\sigma_x \cos k_x - \sigma_y \sin k_x)$ : spin-flip hopping

$H_2 = (\pi/T)\sigma_x$ : on-site spin flip



J. Budich, Y. Hu, and P. Zoller, Phys. Rev. Lett 118, 105302 (2017).

# Relation to high-frequency expansion

$$H(t) = \bar{H}(\omega t) \quad (\omega: \text{frequency}, t_0 = \omega^{-1})$$

High-frequency limit ( $t_0 \rightarrow 0$ ):  $H(t) \rightarrow H_0$  (static Hamiltonian)

**Adiabatic pump**  
**Nonadiabatic pump**

$$t_0 = \infty$$

$$t_0$$

$$\omega = 0$$

$$\omega$$

**High-frequency expansion**

$$t_0 = 0$$

$$\omega = \infty$$

**Nielsen-Ninomiya theorem**