

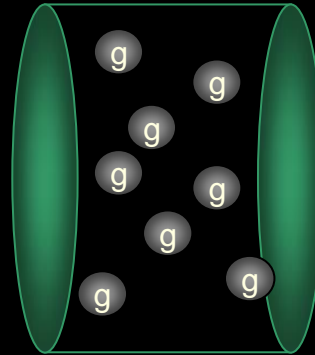
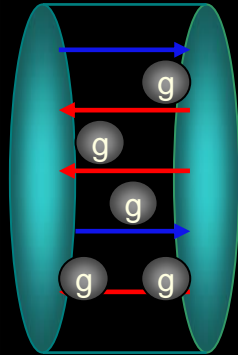
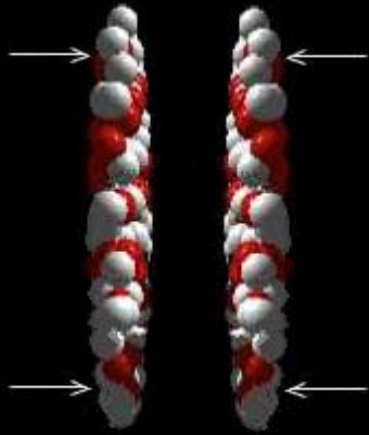
---

# Thermalization of scalar and gauge theory with off-shell entropy

Akihiro Nishiyama  
University of Tokyo  
Institute of Physics

# Background

RHIC experiments



**CGC**  $\tau < 0$  fm/c  
 $\sqrt{s_{NN}} = 130, 200$  GeV

**Glasma**  
 $0 < \tau < 0.6 \sim 1.0$  fm/c

**QGP**  
 $\tau > 1.0$  fm/c

**Hadronization**  
 $\tau \sim 10$  fm/c

**Kinetic freezeout**

Figures from P. SORENSEN

0 fm/c

1 fm/c

10 fm/c

15 fm/c

**Before collision**

Black box

Hydrodynamics

hydro+ hadron gas

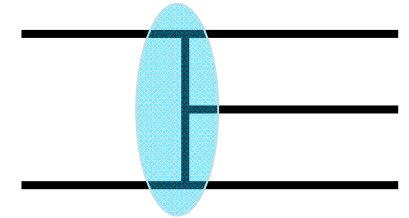
Observation

Nonequilibrium dynamics of gluons

# Topics in nonequilibrium gluodynamics

- Early thermalization for Partons

0.6-1fm/c (Exp.) < 2-3fm/c (Perturbative Analysis)  
Hydrodynamics  $gg \rightarrow gg, gg \rightarrow ggg$



‘Soft’: field

Yang Mills equation

‘Hard’: parton

Vlasov-Boltzmann equation

However

Dense system (Boltzmann eq. should not be applied)

No consideration of particle number changing process  $g \rightarrow gg, g \rightarrow ggg$  (Off-shell effect)

## Purpose of this talk

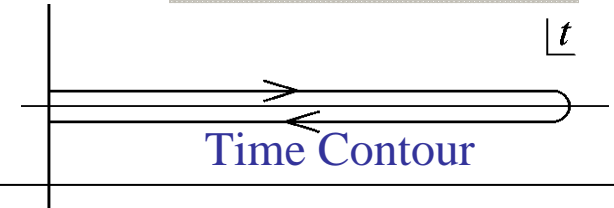
Introduction for the kinetic entropy based on Kadanoff-Baym equation

Proof for the H-theorem for scalar  $O(N)$   $\Phi^4$  theory.

Study for the non-Abelian gauge theory

## 2. Kadanoff-Baym eqn

### in Closed-Time Path formalism



2 Particle-Irreducible Effective Action  $\Gamma$

$$\Gamma[G] = \frac{i}{2} \text{Tr} \ln (G)^{-1} - \frac{1}{2} (\partial^2 + m^2) G + \frac{1}{2} \Phi[G]$$

$$G^{ab}(x, y) = \langle T_C \tilde{\phi}(x) \tilde{\phi}(y) \rangle \quad a, b = 1, 2$$

Schwinger-Dyson equation

$$\frac{\delta \Gamma}{\delta G} = 0 \quad G_0^{-1} G - \Sigma G = i$$

Mean field is omitted.

Kadanoff-Baym equation

in terms of **statistical (distribution)** and **spectral** functions

$$F(x, y) = \frac{1}{2} \langle \{ \tilde{\phi}(x), \tilde{\phi}(y) \} \rangle$$

$$\rho(x, y) = \langle [ \tilde{\phi}(x), \tilde{\phi}(y) ] \rangle$$

For a free field

$$F(p^0, p) = 2\pi \delta(p^2 - m^2) \left( 1 + \frac{1}{e^{\beta|p^0|} - 1} \right)$$

**Boson**

$$\rho(p^0, p) = \frac{\gamma}{(p^0 - \omega)^2 + \gamma^2/4} \xrightarrow{\gamma \rightarrow 0} 2i\pi \epsilon(p^0) \delta(p^2 - m^2)$$

**Breit-Wigner type**

# The Kadanoff-Baym equation:

## Time evolution of **statistical** (distribution) and **spectral** function

$$(-G_0^{-1} + \Sigma_\delta)F(x_0, y_0, \mathbf{p}) = \int_0^{y_0} dz_0 \Sigma_F \rho - \int_0^{x_0} dz_0 \Sigma_\rho F$$

$$(-G_0^{-1} + \Sigma_\delta)\rho(x_0, y_0, \mathbf{p}) = - \int_{y_0}^{x_0} dz_0 \Sigma_\rho \rho$$

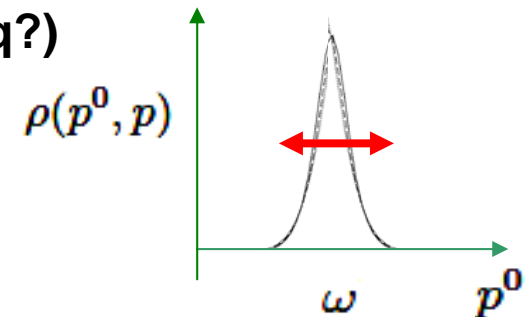
$$G_0^{-1} = -\partial^2 - m^2 \quad \Sigma = \text{Self-energies}$$

**Memory integral**

## Merit

(Why do we use KB eq, not Boltzmann eq?)

- Spectral function  
Time evolution of **spectral function**  
distribution function
- Off-shell effect



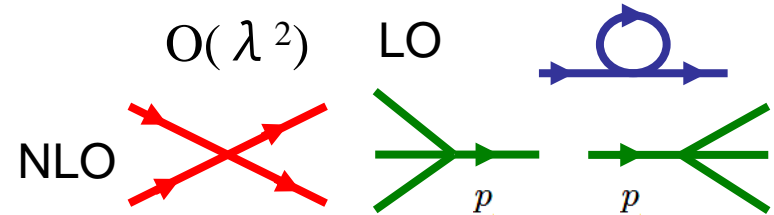
We can trace partons which are unstable by its **particle number changing process** in addition to **collision** effects. we can extract

**gg**→**g** (2 to 1) and **ggg**→**g** (3 to 1) and the inverse **prohibited kinematically** in Boltzmann simulation. This process might contribute the early thermalization.

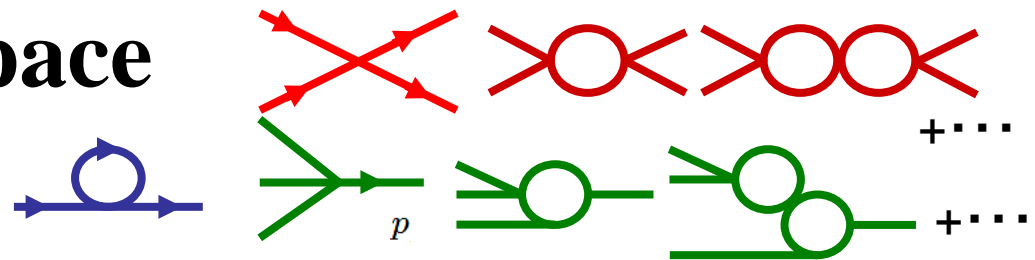
# Scalar theory as a toy model

Application for BEC, Cosmology (or reheating) and DCC dynamics?

- $\phi^4$  theory with no condensate  $\langle \phi \rangle = 0$
- Homogeneous in space
- 1+1 dimensions
- Next Leading Order of coupling



- $O(N)$  theory with no condensate  $\langle \phi_a \rangle = 0$
- Homogeneous in space
- 1+1 dimensions
- Next Leading Order in  $1/N$  expansion



# Entropy in Rel. Kadanoff-Baym equation

- Nonrel. case: Ivanov, Knoll and Voskresenski (2000), Kita (2006)
- **The first order gradient expansion of the Schwinger-Dyson equation.**

[ ] Entropy flow spectral function

$$s^\mu = \int \frac{d^{d+1}p}{(2\pi)^{d+1}} \left[ \frac{\rho}{i} \left( p^\mu - \frac{1}{2} \frac{\partial \text{Re} \Sigma_R}{\partial p_\mu} \right) + \frac{\Sigma_\rho}{i} \frac{1}{2} \frac{\partial \text{Re} G_R}{\partial p_\mu} \right] \sigma$$

$$\sigma = -f \ln f + (1 + f) \ln(1 + f).$$

$$\partial_\mu s^\mu(X) = \int \frac{d^{d+1}p}{(2\pi)^{d+1}} \frac{1}{2} \ln \frac{G^{12}}{G^{21}} C \geq 0$$

For NLO  $\lambda^2(\Phi^4)$

For NLO of  $1/N$  (O(N))

H-theorem needs not to be based on the quasiparticle picture.

**In the quasiparticle limit**

**We reproduce the entropy for the boson.**

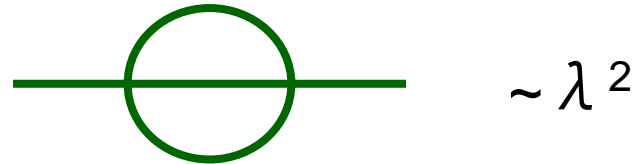
$$s^0 \rightarrow s^0 = \int \frac{d^d p}{(2\pi)^d} [-n_{\mathbf{p}} \ln n_{\mathbf{p}} + (1 + n_{\mathbf{p}}) \ln(1 + n_{\mathbf{p}})]$$

# Sketch of H-theorem ( $O(N)$ )

$\Phi^4$

Coupling expansion

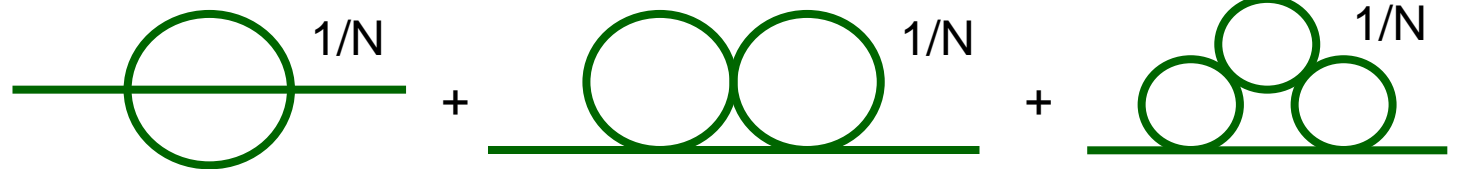
Self-energy



$$\partial_\mu s^\mu(X) = \lambda^2 \times (x-y) \log(x/y) \geq 0$$

$O(N)$

$1/N$  expansion



$$-\frac{\lambda}{4!N} (\hat{\phi}_a \hat{\phi}_a)^2$$

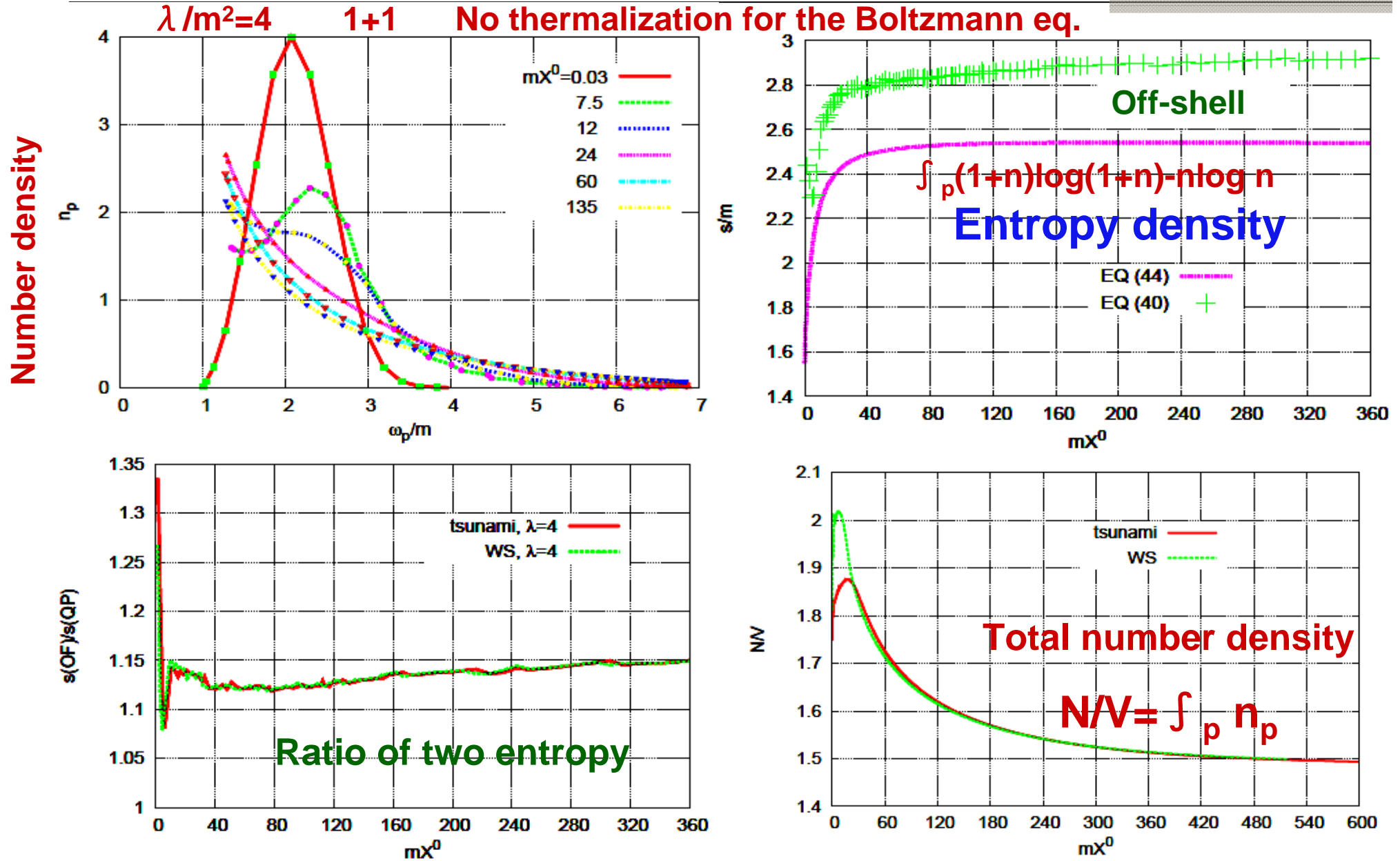


$$\lambda_{\text{eff}} = \frac{\lambda}{1 - \frac{1}{N} \text{ (loop) }} = \text{ (loop with dot) } = \text{ (loop) } + \text{ (loop with loop) } + \text{ (loop with two loops) }$$

$$\partial_\mu s^\mu(X) = \lambda \times \lambda_{\text{eff}} \times (x-y) \log(x/y) \geq 0$$

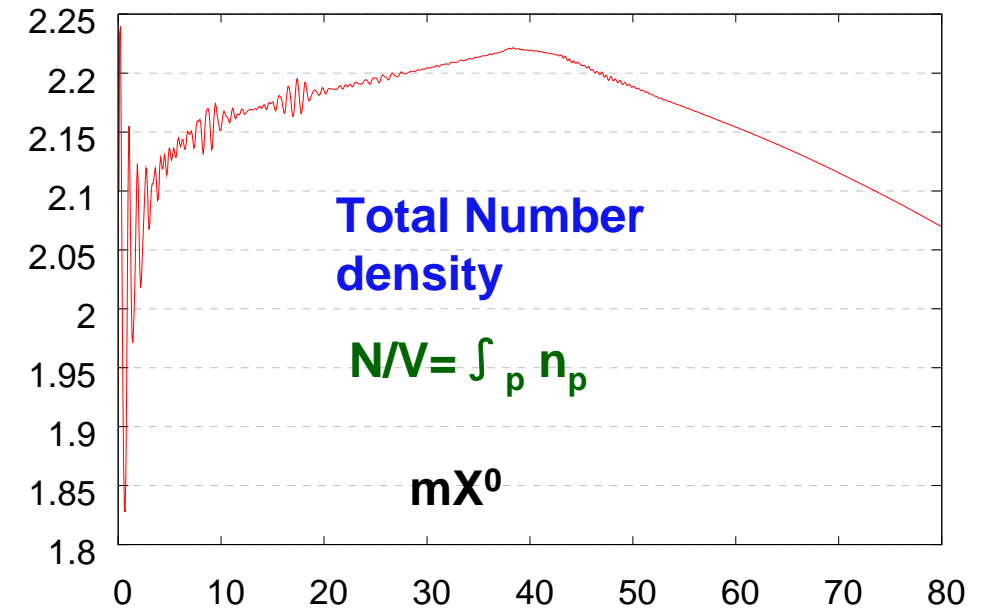
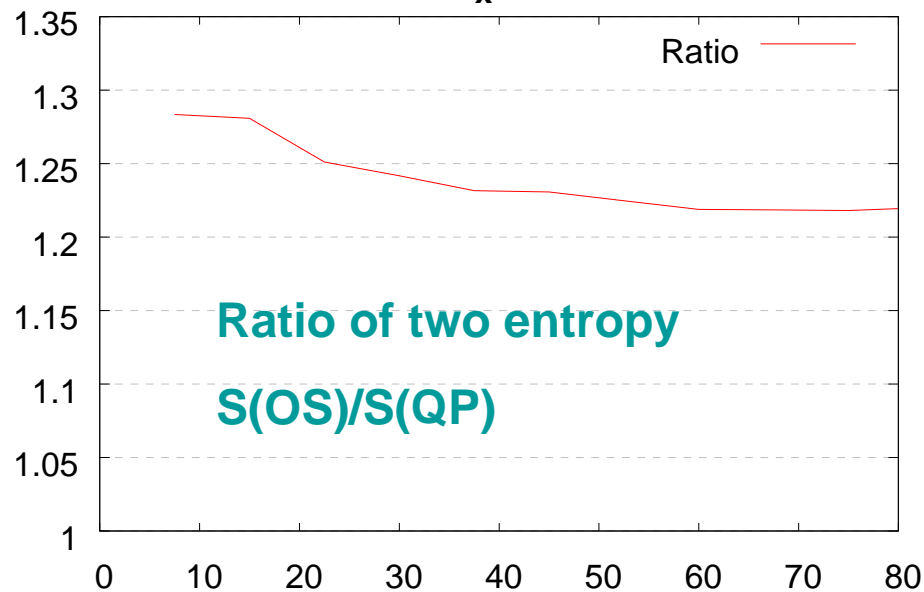
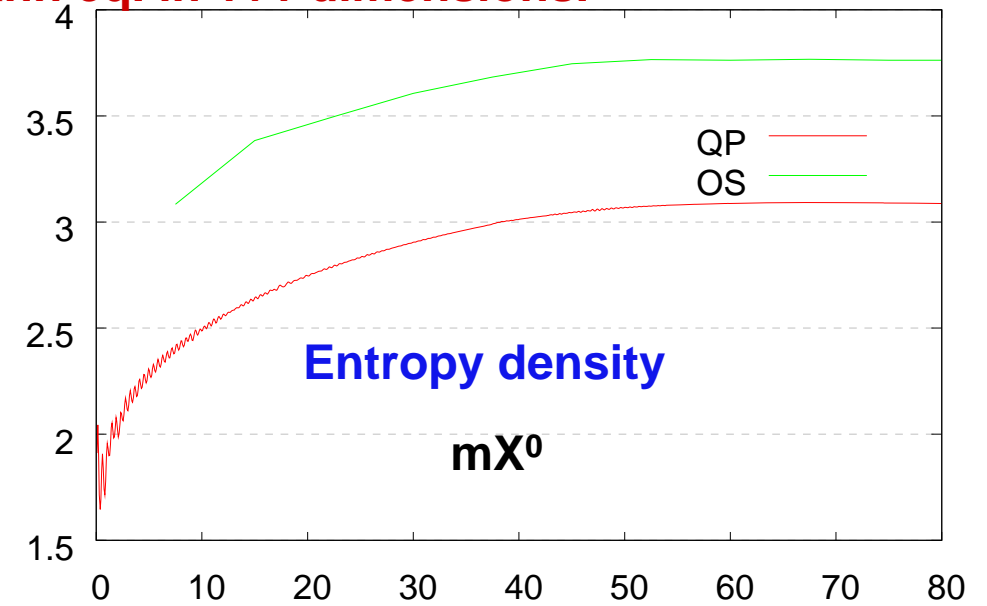
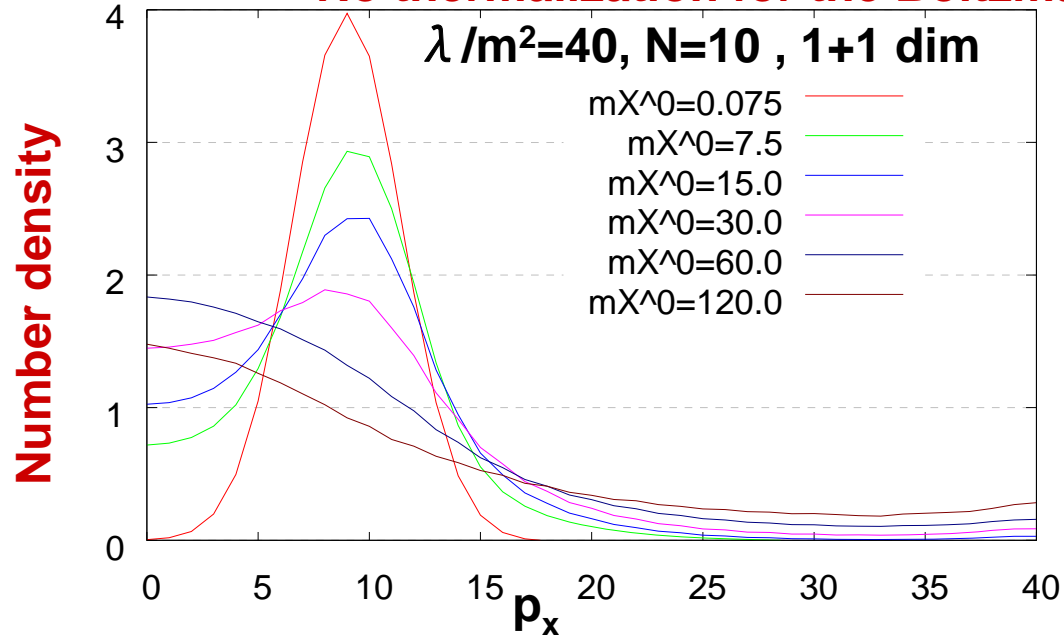


# Evolution of kinetic entropy ( $\Phi^4$ )



# Evolution of kinetic entropy ( $O(N)$ )

No thermalization for the Boltzmann eq. in 1+1 dimensions.



# Non-Abelian Gauge Theory

- Controlled gauge dependence of effective action

(Smit and Arrizabaraga (2002), Carrington et al (2005) )

**Exact**  $\Gamma_{2PI}$  at  $\frac{\delta \Gamma}{\delta D} = 0 \Leftrightarrow D^{-1}(x, y) = D_0^{-1}(x, y) - \Pi(x, y)$

**Gauge invariant** **Green's function** **Self-energy**

Nielsen (1975)

$\Gamma_{2PI} \Rightarrow$  Gauge invariant **Energy, Pressure** and **Entropy** derived from  $\delta \Gamma / \delta T$

This might not be the entropy in the previous page.

## Truncated effective action

$$\Gamma_{2PI} = \Gamma_L + \Gamma_{ex} \quad \Gamma_L \sim O(g^{2L-2}) \quad \Gamma_{ex} = O(g^{2L})$$

**Expansion of coupling of self energy**

Stationary point  $\frac{\delta \Gamma_L}{\delta D} = 0 \Leftrightarrow$  **Schwinger-Dyson equation**

**Under gauge transformation**  $\delta \Gamma_L \sim g^2 \Gamma_L$  Higher order gauge dependence

$\Gamma_L \Rightarrow$  **Energy, Pressure** and **Entropy** derived from  $\delta \Gamma / \delta T$  has controlled gauge dependence. Gauge invariance is reliable in the truncated order.

# 4. Summary and Remaining Problems

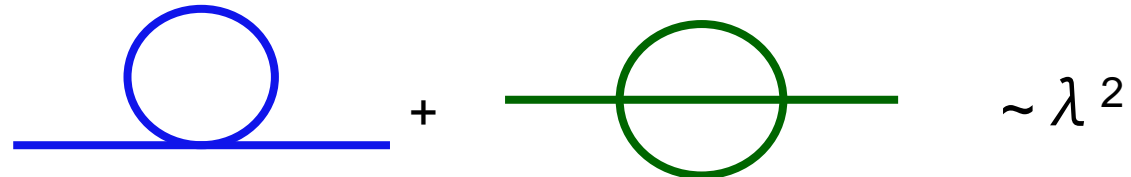
- We have introduced the kinetic entropy based on the Kadanoff-Baym equation.
- The kinetic entropy satisfies H-theorem for NLO of  $\lambda (\Phi^4)$  and  $1/N (O(N))$ .
- $S(OS)/S(QP)$  is nearly constant, but  $S(QP)$  tends to be affected by the total number density.
- Gauge dependence is controlled in deriving thermodynamic variables (energy, pressure and entropy and so on).

- Longer time simulation in the  $O(N)$  case
- Thermal solution for the SD eq. for the LO of  $g^2$  for the gauge theory (2+1 dimensions)
- H-theorem for the gauge theory, gauge invariance of the entropy

# Proof of H-theorem ( $O(N)$ )

$\Phi^4$

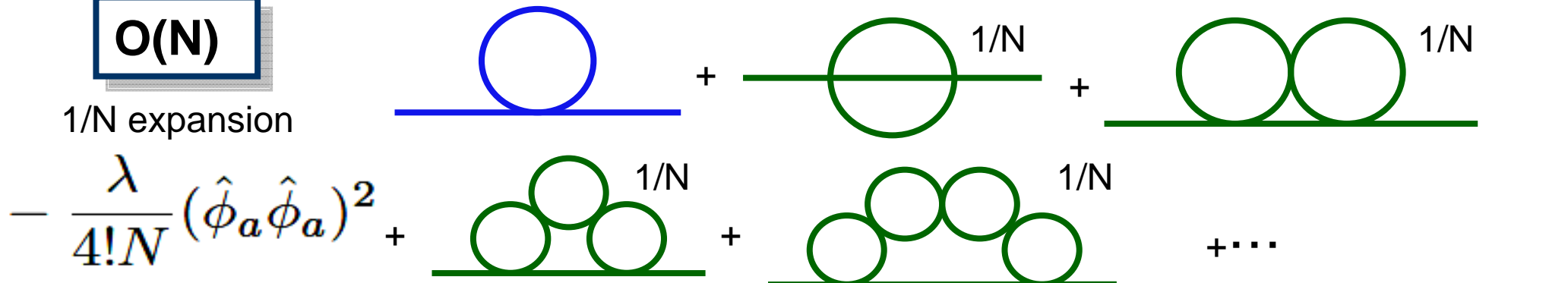
Coupling expansion

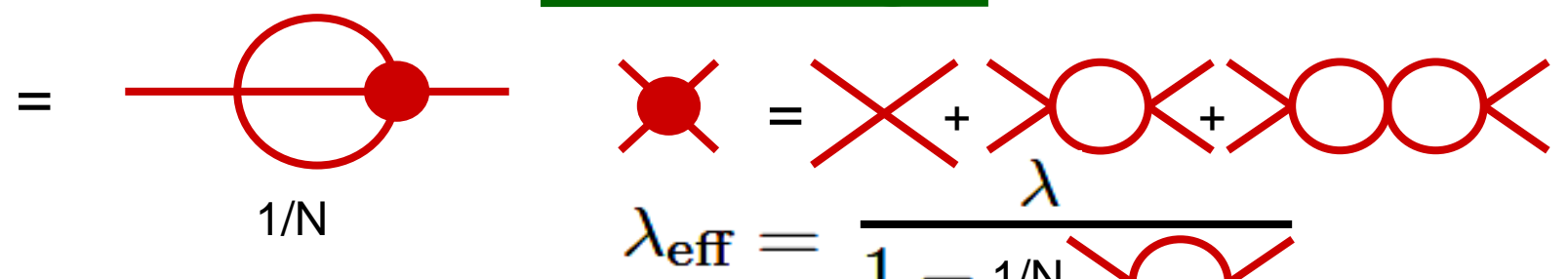


$$\partial_\mu s^\mu(X) = \lambda^2 \times (x-y)\log(x/y) \geq 0$$

$O(N)$

$1/N$  expansion






$$-\frac{\lambda}{4!N} (\hat{\phi}_a \hat{\phi}_a)^2 + \dots$$


$$\lambda_{\text{eff}} = \frac{\lambda}{1 - 1/N}$$

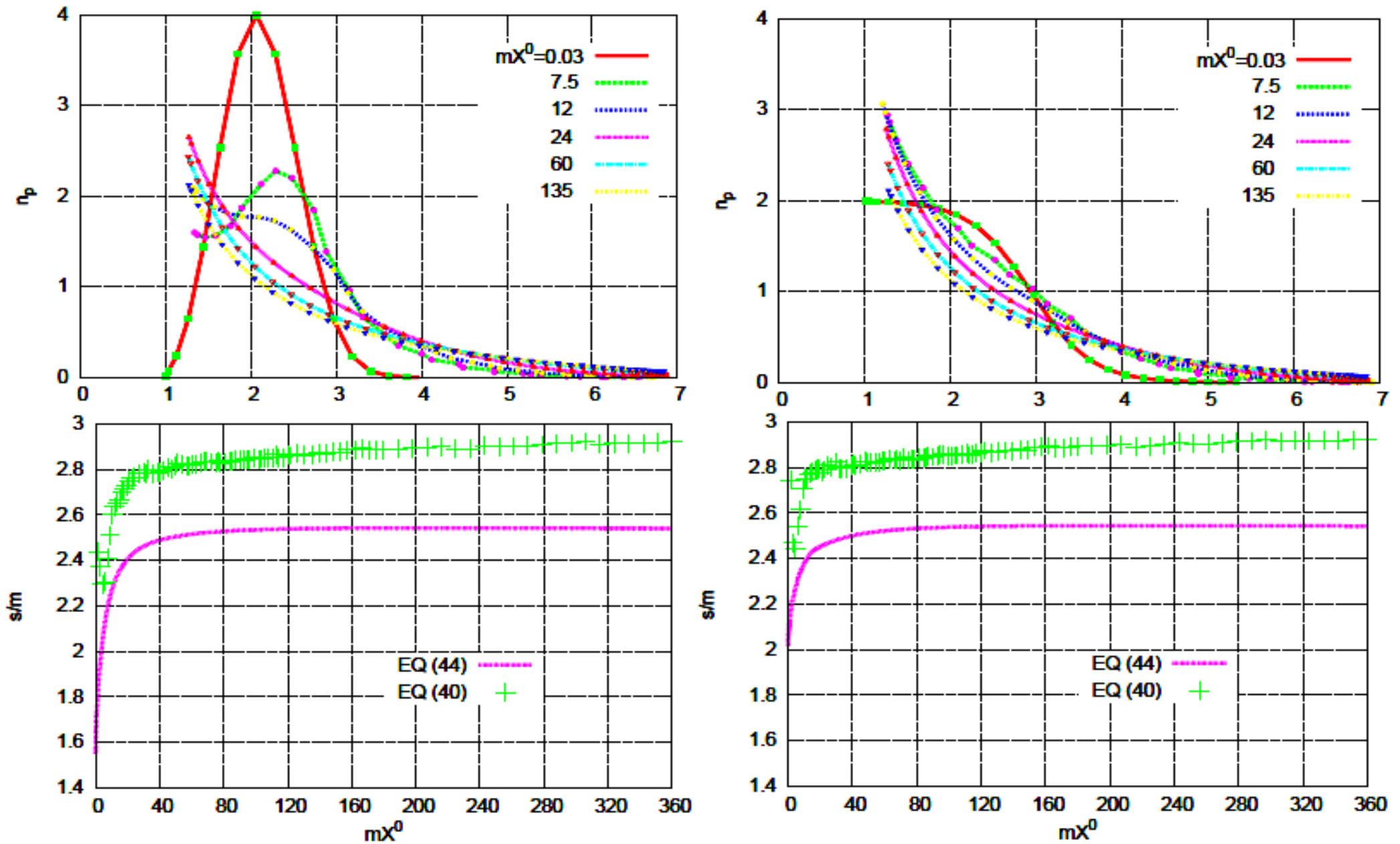
$$\partial_\mu s^\mu(X) = \lambda \times \lambda_{\text{eff}} \times (x-y)\log(x/y) \geq 0$$

# Time irreversibility

Symmetric phase  $\langle \Phi \rangle = 0$

	$\lambda \Phi^4$	$O(N)$	$SU(N)$
Exact 2PI (no truncation)	✗	✗	✗
Truncation	NLO of $\lambda$  $\Delta$	NLO of $1/N$  $\Delta$	LO of $g^2$  ?
LO of Gradient expansion <b>H-theorem</b>	$\bigcirc$	$\bigcirc$	?

# Time evolution of number density



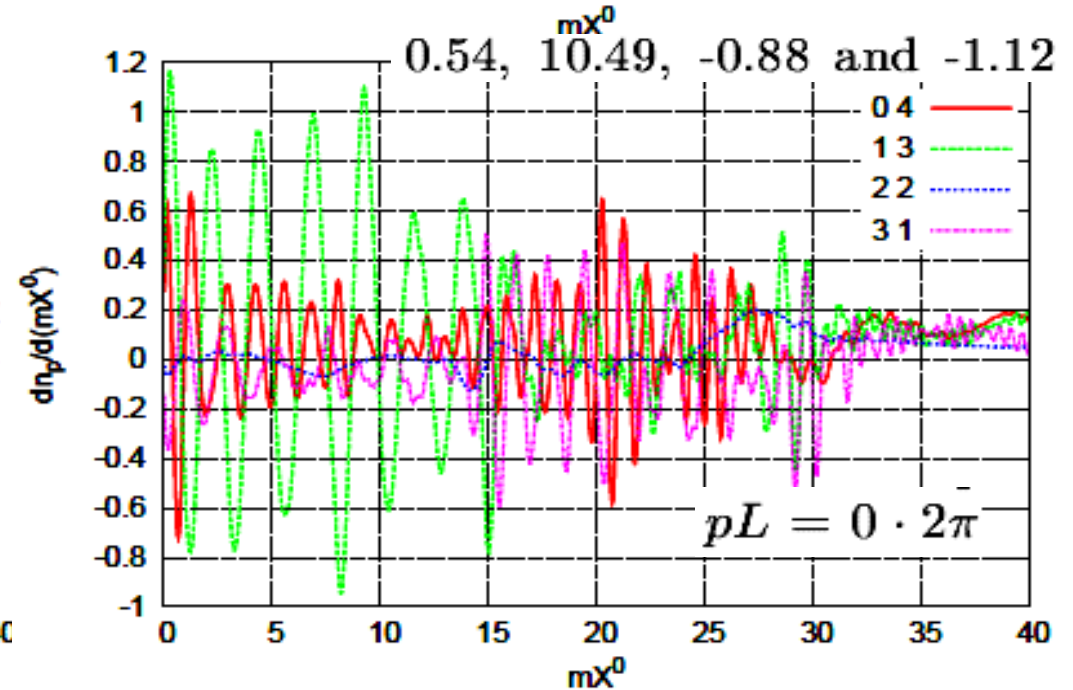
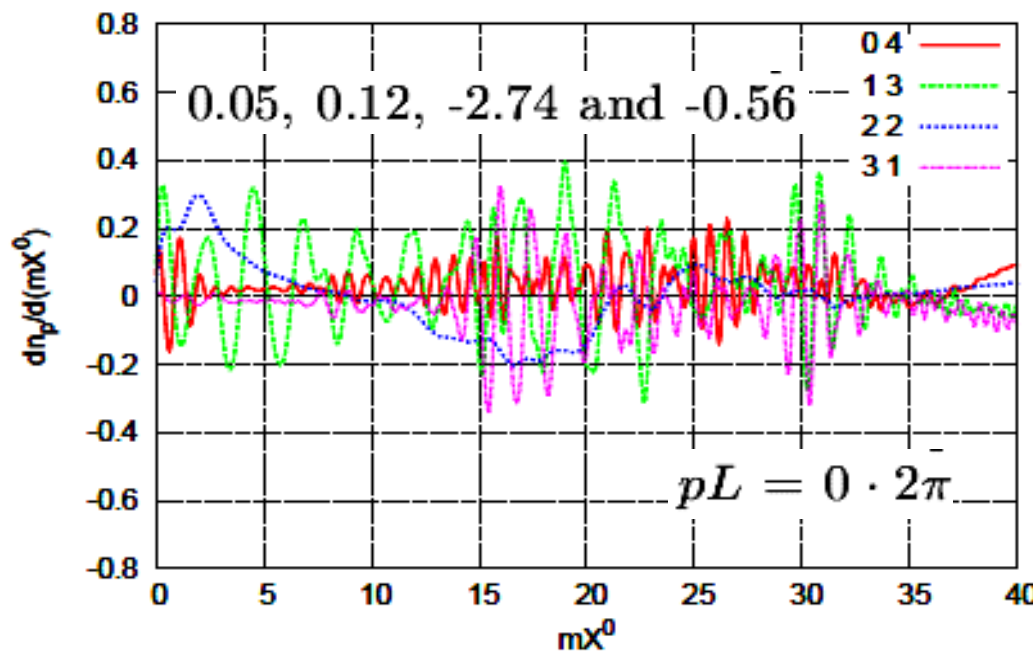
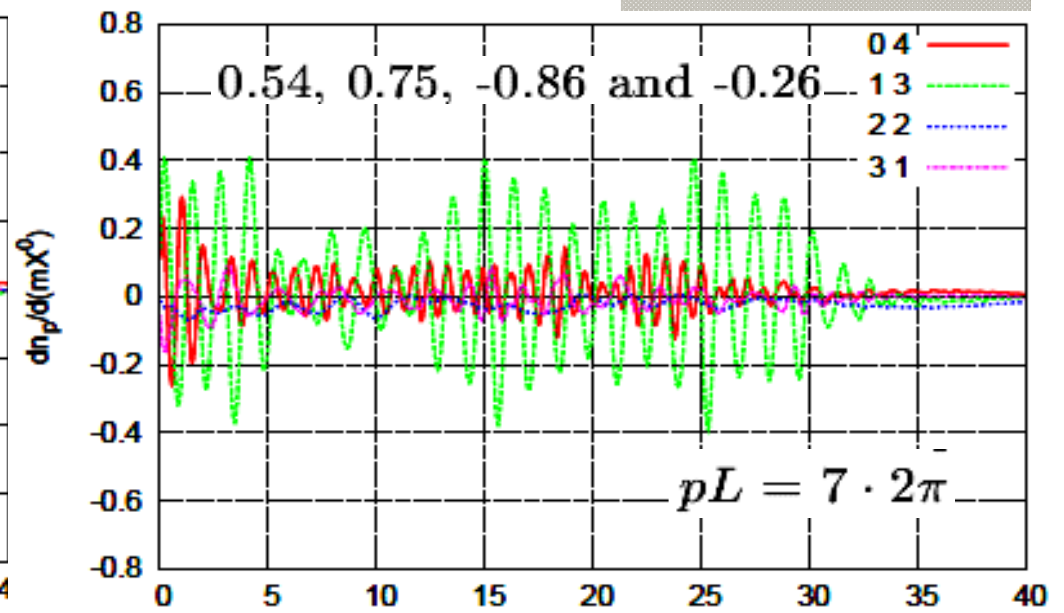
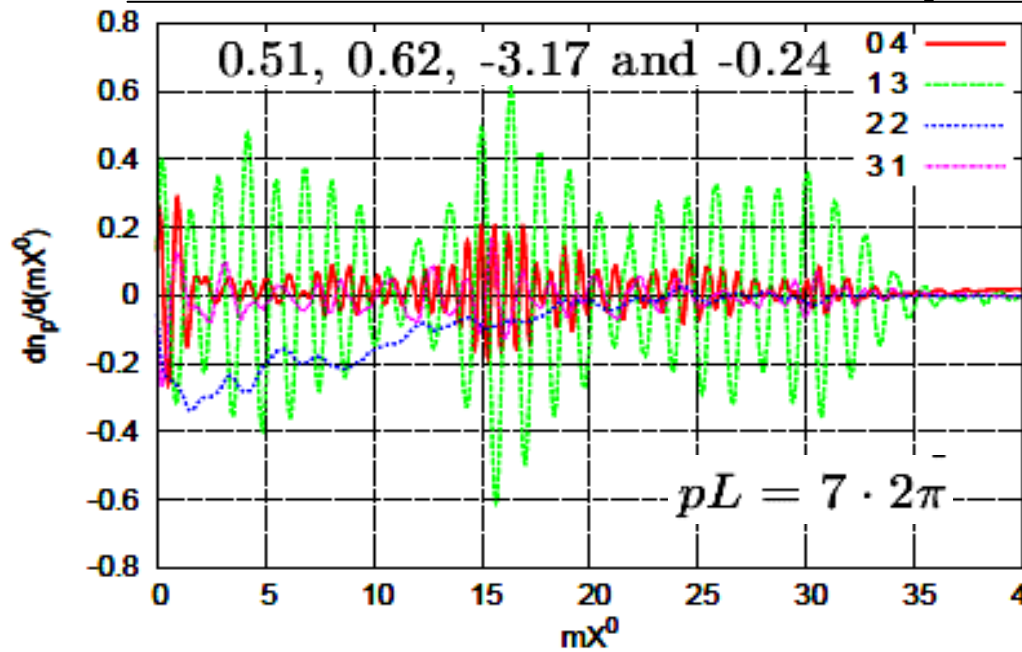
# For quasiparticle approximation

---

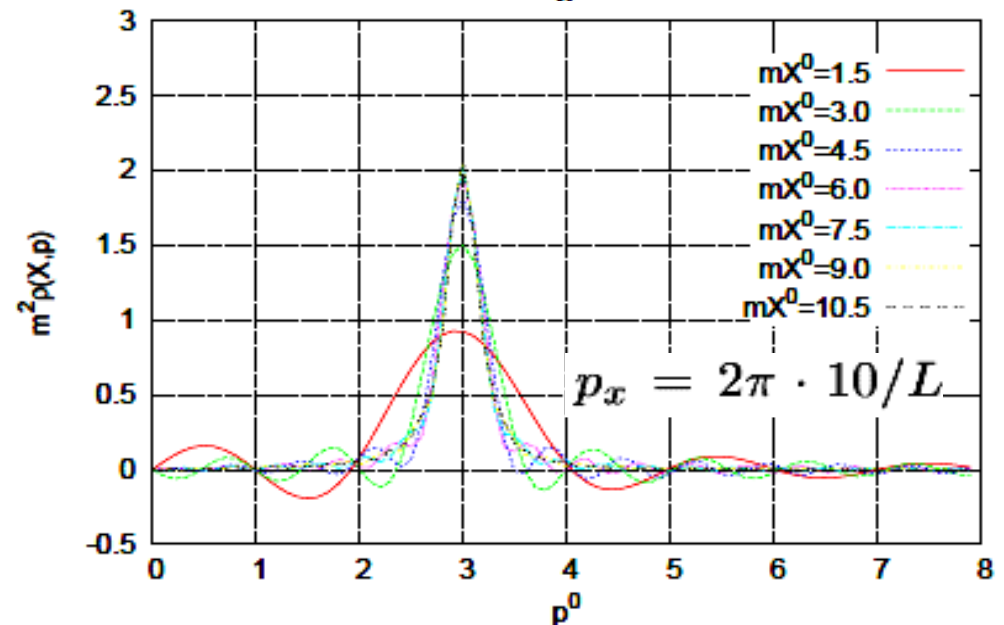
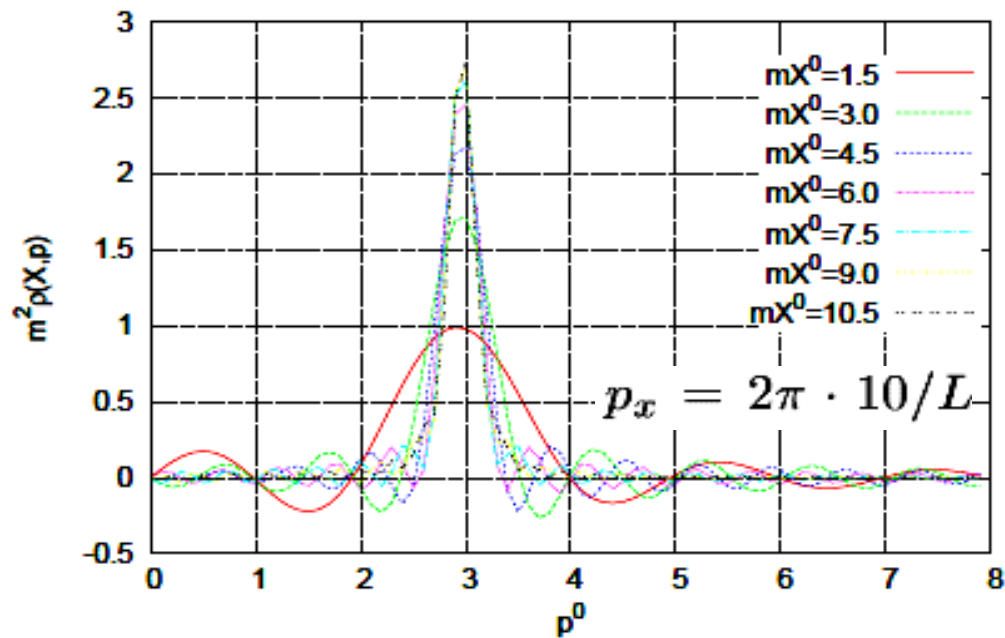
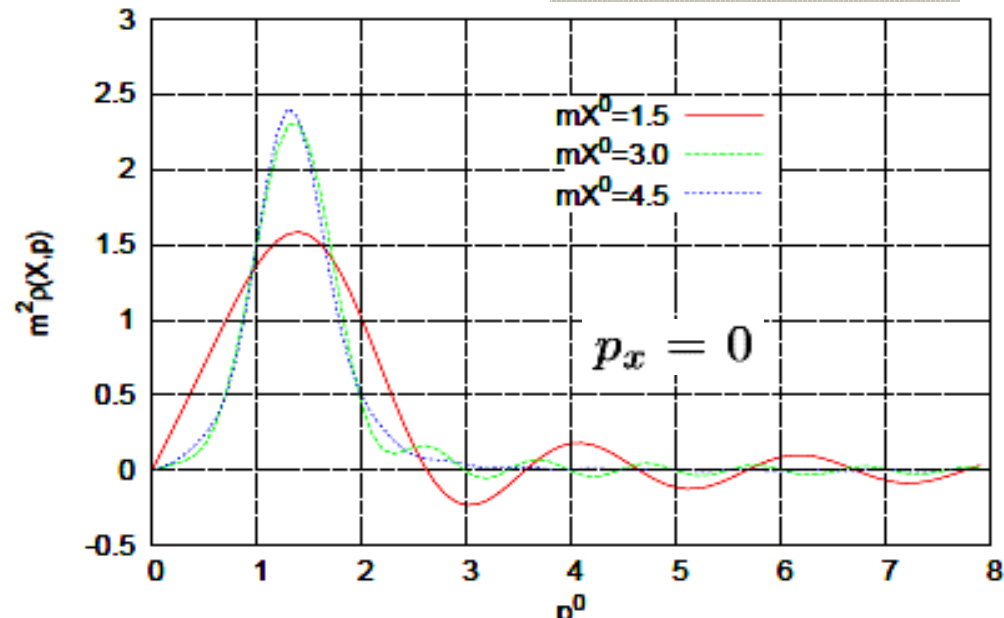
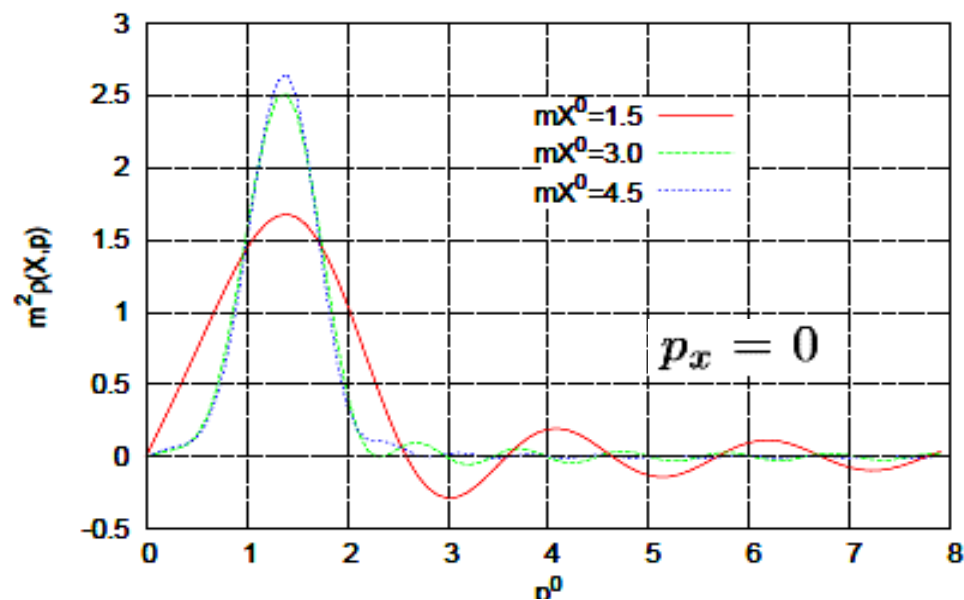
$$\begin{aligned}
 \partial_t n_{\mathbf{p}}(t) = & \frac{\lambda^2}{3} \int \frac{d^d \mathbf{q}}{(2\pi)^d} \frac{d^d \mathbf{k}}{(2\pi)^d} \int_{t_0}^t dt' \frac{1}{2\tilde{\omega}(\mathbf{p})2\tilde{\omega}(\mathbf{q})2\tilde{\omega}(\mathbf{k})2\tilde{\omega}(\mathbf{p}-\mathbf{k}-\mathbf{q})} \\
 & \left\{ [(1+n_{\mathbf{p}})(1+n_{\mathbf{q}})(1+n_{\mathbf{k}})(1+n_{\mathbf{p}-\mathbf{k}-\mathbf{q}}) - n_{\mathbf{p}}n_{\mathbf{q}}n_{\mathbf{k}}n_{\mathbf{p}-\mathbf{k}-\mathbf{q}}(t')] \right. \\
 & \times \cos [(\tilde{\omega}(\mathbf{p}) + \tilde{\omega}(\mathbf{q}) + \tilde{\omega}(\mathbf{k}) + \tilde{\omega}(\mathbf{p}-\mathbf{k}-\mathbf{q}))(t-t')] \quad \mathbf{0} \Leftrightarrow \mathbf{4} \\
 & + 3 [(1+n_{\mathbf{p}})(1+n_{\mathbf{q}})(1+n_{\mathbf{k}})n_{\mathbf{p}-\mathbf{k}-\mathbf{q}} - n_{\mathbf{p}}n_{\mathbf{q}}n_{\mathbf{k}}(1+n_{\mathbf{p}-\mathbf{k}-\mathbf{q}})(t')] \\
 & \times \cos [(\tilde{\omega}(\mathbf{p}) + \tilde{\omega}(\mathbf{q}) + \tilde{\omega}(\mathbf{k}) - \tilde{\omega}(\mathbf{p}-\mathbf{k}-\mathbf{q}))(t-t')] \quad \mathbf{1} \Leftrightarrow \mathbf{3} \\
 & + 3 [(1+n_{\mathbf{p}})(1+n_{\mathbf{q}})n_{\mathbf{k}}n_{\mathbf{p}-\mathbf{k}-\mathbf{q}} - n_{\mathbf{p}}n_{\mathbf{q}}(1+n_{\mathbf{k}})(1+n_{\mathbf{p}-\mathbf{k}-\mathbf{q}})(t')] \\
 & \times \cos [(\tilde{\omega}(\mathbf{p}) + \tilde{\omega}(\mathbf{q}) - \tilde{\omega}(\mathbf{k}) - \tilde{\omega}(\mathbf{p}-\mathbf{k}-\mathbf{q}))(t-t')] \quad \mathbf{2} \Leftrightarrow \mathbf{2} \\
 & + [(1+n_{\mathbf{p}})n_{\mathbf{q}}n_{\mathbf{k}}n_{\mathbf{p}-\mathbf{k}-\mathbf{q}} - n_{\mathbf{p}}(1+n_{\mathbf{q}})(1+n_{\mathbf{k}})(1+n_{\mathbf{p}-\mathbf{k}-\mathbf{q}})(t')] \\
 & \left. \times \cos [(\tilde{\omega}(\mathbf{p}) - \tilde{\omega}(\mathbf{q}) - \tilde{\omega}(\mathbf{k}) - \tilde{\omega}(\mathbf{p}-\mathbf{k}-\mathbf{q}))(t-t')] \right\}. \quad \mathbf{3} \Leftrightarrow \mathbf{1}
 \end{aligned}$$



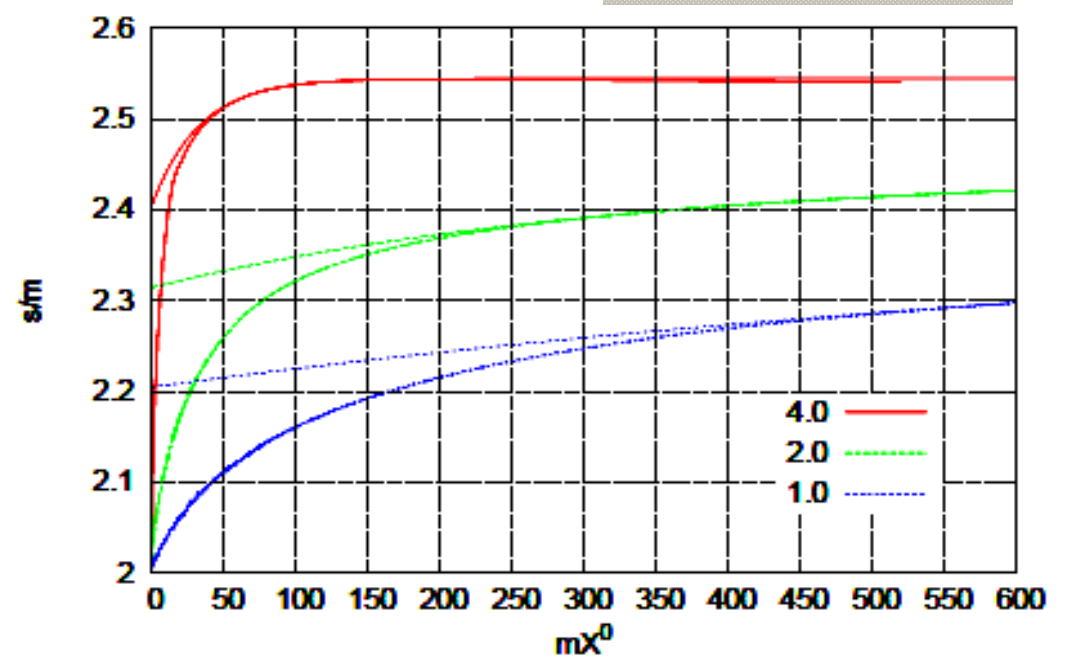
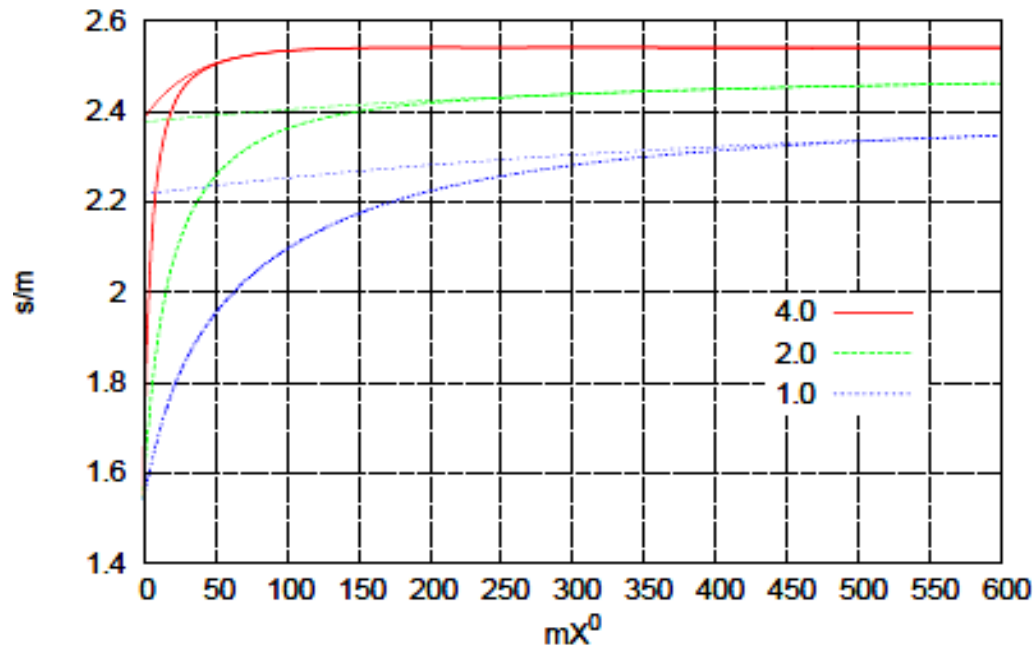
# Microscopic processes



# Spectral function



# Coupling dependence



$$s(X^0) = s_{\max} - Ae^{-\gamma(mX^0)}$$

$\lambda$	$\gamma_0$	$s_{\max}$	$A$	$\gamma$	$\gamma_0$	$s_{\max}$	$A$	$\gamma$	
4(OS)	-	2.93	0.16	0.0071	-	2.93	0.15	0.0071	
4	0.24	2.54	0.16	0.030	0.14	2.54	0.14	0.030	$100 \leq mX^0 \leq 150$
2	0.084	2.48	0.10	0.0031	0.036	2.44	0.13	0.0031	$300 \leq mX^0 \leq 600$
1	0.027	2.39	0.17	0.0024	0.0085	2.39	0.19	0.0011	$600 \leq mX^0 \leq 900$

# Self-energy

---

**Schwinger-Dyson eqn**

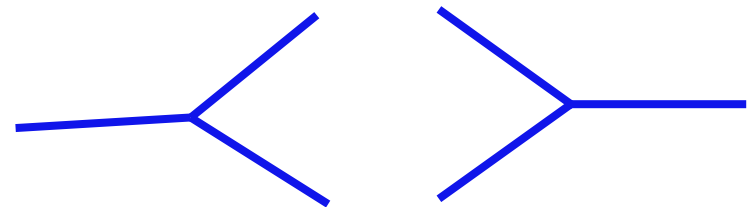
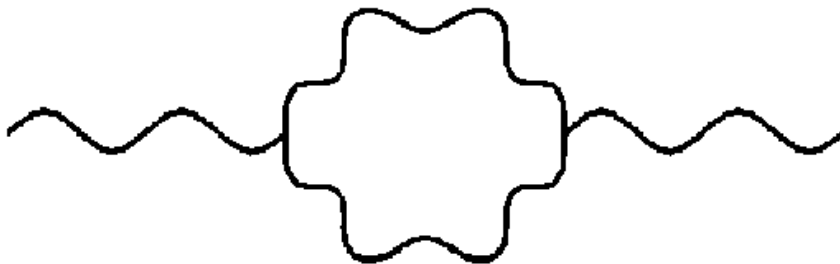
$$D^{-1}(x, y) = D_0^{-1}(x, y) - \Pi(x, y)$$

**For perturbative Green's functions**

**Imaginary part**

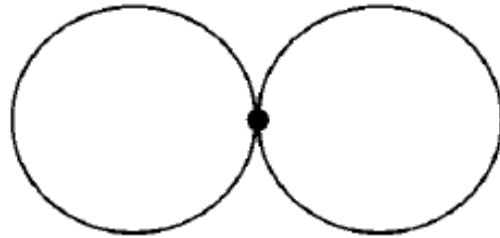
$$\text{Im } \Pi_{\mu\nu}(\omega, \mathbf{p}) = -\pi m_D^2 \omega \int \frac{d\Omega}{4\pi} v_\mu v_\nu \delta(\omega - \mathbf{v} \cdot \mathbf{p})$$

**contributes to the particle number changing process  $g \leftrightarrow gg$**

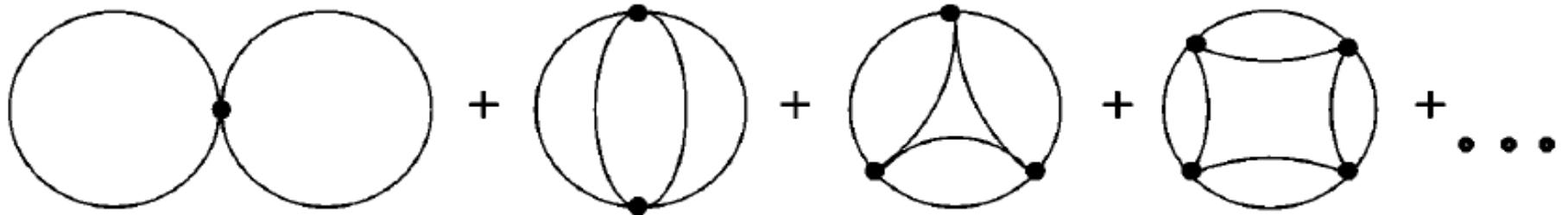


# $O(N)$ : $1/N$ expansion

LO  $O(N)$



NLO  
 $O(1)$



# O(N): spectral function

